

# THE SPIN BRAUER CATEGORY

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ABSTRACT. We introduce a diagrammatic monoidal category, the *spin Brauer category*, that plays the same role for the spin and pin groups as the Brauer category does for the orthogonal groups. In particular, there is a full functor from the spin Brauer category to the category of finite-dimensional modules for the spin and pin groups. This functor becomes essentially surjective after passing to the Karoubi envelope, and its kernel is the tensor ideal of negligible morphisms. In this way, the spin Brauer category can be thought of as an interpolating category for the spin and pin groups. We also define an affine version of the spin Brauer category, which acts on categories of modules for the pin and spin groups via translation functors.

## 1. INTRODUCTION

One of the most classical results in representation theory is Schur–Weyl duality, one half of which is the statement that the algebra homomorphism

$$\mathbb{C}\mathfrak{S}_r \rightarrow \text{End}_{\text{GL}(V)}(V^{\otimes r})$$

is surjective, where  $\mathfrak{S}_r$  is the symmetric group on  $r$  letters, acting on  $V^{\otimes r}$  by permutation of the factors. If one replaces the general linear group by the orthogonal group, the analogous statement is that one has a surjective algebra homomorphism

$$\text{Br}_r \rightarrow \text{End}_{\text{O}(V)}(V^{\otimes r}),$$

where  $\text{Br}_r$  is the Brauer algebra.

A more modern approach to the above involves considering morphisms between *different* powers of the natural module  $V$  to rephrase the results in terms of monoidal categories. More precisely, there is a full and essentially surjective functor

$$\mathcal{OB}(N) \rightarrow \text{GL}(V)\text{-mod}$$

from the *oriented Brauer category* to the category of finite-dimensional rational  $\text{GL}(V)$ -modules, where  $N = \dim V$ . Similarly, one has a full and essentially surjective functor

$$\mathcal{B}(N) \rightarrow \text{O}(V)\text{-mod}$$

where  $\mathcal{B}(N)$  is the *Brauer category*. The categories  $\mathcal{OB}(N)$  and  $\mathcal{B}(N)$  are defined for *any* choice of parameter  $N \in \mathbb{C}$ . Their additive Karoubi envelopes are Deligne’s interpolating categories for the general linear and orthogonal groups [Del07].

Since the orthogonal group is not simply connected, it is natural to want to extend the above picture to its double cover, the pin group  $\text{Pin}(V)$ , or the identity component, the spin group  $\text{Spin}(V)$ . This desire is further underlined by the importance of the spin group in other areas of representation theory and physics. A first step in this direction is the recent work of Wenzl [Wen20] describing the endomorphism algebra of  $S^{\otimes r}$ , where  $S$  is the spin module. (In fact, [Wen20] works with representations of quantized enveloping algebra.) Other partial results were obtained in [OW02,

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Wen12]. In type  $D$ , see also [Abo22, Th. 3.6] for similar results. The goal of the current paper is to develop the monoidal category approach and find a spin analogue of the Brauer category, allowing one to describe morphisms between *all* tensor products of the spin and vector modules.

After recalling and developing, in Sections 2 to 4, some of the representation theory of the spin and pin groups, we introduce the *spin Brauer category*  $\mathcal{SB}(d, D; \kappa)$  in Section 5. Here  $d, D$  are elements of the ground field and  $\kappa \in \{\pm 1\}$ . Our definition of this strict monoidal category is diagrammatic, given via a presentation in terms of generators and relations. Whereas the Brauer category has one generating object, which should be thought of as a formal version of the natural module, the spin Brauer category has an additional generating object corresponding to the spin module. The parameters  $d$  and  $D$  are the categorical dimensions of the two generating objects. We then describe, in Theorem 6.1, a functor

$$\mathbf{F}: \mathcal{SB}(N, \sigma_N 2^n; \kappa_N) \rightarrow \mathbf{G}(V)\text{-mod}$$

where  $N = \dim V$ ,  $n = \lfloor \frac{N}{2} \rfloor$ ,  $\sigma_N, \kappa_N \in \{\pm 1\}$  depend on  $N$  (see (6.1)), and

$$\mathbf{G}(V) := \begin{cases} \text{Pin}(V) & \text{if } N \text{ is even,} \\ \text{Spin}(V) & \text{if } N \text{ is odd.} \end{cases}$$

(See Remarks 2.5 and 4.8 for an explanation of why we split into these cases.)

We prove that the functor  $\mathbf{F}$  is essentially surjective after passing to the Karoubi envelope (Theorem 7.5), full (Theorem 8.9), and that its kernel is precisely the tensor ideal of negligible morphisms (Theorem 8.10). This implies that the category  $\mathbf{G}(V)\text{-mod}$  is equivalent to the semisimplification of the Karoubi envelope of  $\mathcal{SB}(N, \sigma_N 2^n; \kappa_N)$ . The Karoubi envelope of  $\mathcal{SB}(d, D; \kappa)$  should be thought of as an interpolating category for the spin and pin groups, in the spirit of Deligne's interpolating categories [Del07].

Both the oriented Brauer category and the Brauer category have affine analogues, defined in [BCNR17, RS19]. In Section 9, we define an affine version  $\mathcal{ASB}(d, D; \kappa)$  of the spin Brauer category, together with affine incarnation functors (Theorem 9.8)

$$\mathcal{ASB}(N, \sigma_N 2^n; \kappa_N) \rightarrow \mathcal{E}nd_{\mathbb{C}}(\mathbf{G}(V)\text{-mod}) \quad \text{and} \quad \mathcal{ASB}(N, \sigma_N 2^n; \kappa_N) \rightarrow \mathcal{E}nd_{\mathbb{C}}(\mathfrak{so}(V)\text{-Mod})$$

where  $\mathcal{E}nd_{\mathbb{C}}(\mathcal{C})$  denotes the monoidal category of  $\mathbb{C}$ -linear endofunctors of a  $\mathbb{C}$ -linear category  $\mathcal{C}$ , with natural transformations as morphisms, and  $\mathfrak{so}(V)\text{-Mod}$  denotes the category of all  $\mathfrak{so}(V)$ -modules. This functor yields tools for studying the translation functors given by tensoring with the spin and vector modules. Such translation functors have proved to be extremely useful in representation theory. Finally, in Theorem 10.1, we show that the image of the induced algebra homomorphism

$$\text{End}_{\mathcal{ASB}(N, \sigma_N 2^n; \kappa_N)}(\mathbb{1}) \rightarrow \mathcal{E}nd_{\mathbb{C}}(\mathfrak{so}(V)\text{-Mod}) \cong Z(\mathfrak{so}(V))$$

is  $Z(\mathfrak{so}(V))^{\mathbf{G}(V)}$ , where  $Z(\mathfrak{so}(V))$  is the centre of the universal enveloping algebra  $U(\mathfrak{so}(V))$ .

The results of the current paper bring the power of diagrammatic techniques to the study of the representation theory of the spin and pin groups. As such, they lead to many natural directions of future research. We plan to develop a quantum analogue of our results, replacing the spin group by the quantized enveloping algebra  $U_q(\mathfrak{so}(n))$ . Such a quantum version would also suggest an approach to webs of types  $B$  and  $D$ , and so should be related to recent work of Bodish and Wu [BW23].

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## 2. THE SPIN REPRESENTATION

In this section, we recall the explicit construction of the most important representation theoretic ingredient in the current paper: the spin representation. Throughout this section we work over the field  $\mathbb{C}$  of complex numbers.

**2.1. The Clifford algebra.** Let  $V$  be a vector space of finite dimension  $N$  and let  $\Phi_V : V \times V \rightarrow \mathbb{C}$  be a nondegenerate symmetric bilinear form. Let

$$(2.1) \quad \text{Cl} = \text{Cl}(V) := T(V) / (vw + wv - 2\Phi_V(v, w) : v, w \in V)$$

denote the Clifford algebra associated to  $V$ . Here  $T(V)$  is the tensor algebra on  $V$ . The algebra  $\text{Cl}$  is  $(\mathbb{Z}/2\mathbb{Z})$ -graded by declaring that elements of  $V$  are odd (that is, have degree  $\bar{1}$ ). We let  $\deg x \in \mathbb{Z}/2\mathbb{Z}$  denote the degree of a homogeneous element  $x \in \text{Cl}$ .

Fix an orthonormal basis  $e_1, \dots, e_N$  of  $V$ . Then, in  $\text{Cl}$ , we have

$$(2.2) \quad e_i e_j + e_j e_i = 2\delta_{ij}.$$

Let

$$(2.3) \quad n = \left\lfloor \frac{N}{2} \right\rfloor \in \mathbb{N}, \quad \text{so that} \quad N = \begin{cases} 2n & \text{if } N \text{ is even,} \\ 2n + 1 & \text{if } N \text{ is odd.} \end{cases}$$

Now define

$$(2.4) \quad \psi_j := \frac{1}{2}(e_{2j-1} + \sqrt{-1}e_{2j}), \quad \psi_j^\dagger := \frac{1}{2}(e_{2j-1} - \sqrt{-1}e_{2j}), \quad 1 \leq j \leq n.$$

Then we have

$$\Phi_V(\psi_i, \psi_j) = 0, \quad \Phi_V(\psi_i^\dagger, \psi_j^\dagger) = 0, \quad \Phi_V(\psi_i, \psi_j^\dagger) = \frac{1}{2}\delta_{ij}, \quad 1 \leq i, j \leq n,$$

and so

$$(2.5) \quad \psi_i \psi_j + \psi_j \psi_i = 0 = \psi_i^\dagger \psi_j^\dagger + \psi_j^\dagger \psi_i^\dagger, \quad \psi_i \psi_j^\dagger + \psi_j^\dagger \psi_i = \delta_{ij}, \quad 1 \leq i, j \leq n.$$

When  $N$  is even, (2.5) gives a presentation of  $\text{Cl}$ . When  $N$  is odd, we need to include the additional relations

$$(2.6) \quad \psi_i e_N + e_N \psi_i = 0 = \psi_i^\dagger e_N + e_N \psi_i^\dagger, \quad e_N^2 = 1, \quad 1 \leq i \leq n,$$

to obtain a presentation of  $\text{Cl}$ .

**2.2. Clifford modules.** The algebra  $\text{Cl}$  is semisimple. If  $N$  is even, then the algebra  $\text{Cl}$  has a unique simple module up to isomorphism. If  $N$  is odd, then  $\text{Cl}$  has exactly two simple modules. We will now describe these.

Let

$$S := \Lambda(W) = \bigoplus_{r=0}^n \Lambda^r(W), \quad \text{where} \quad W = \text{span}_{\mathbb{C}}\{\psi_i^\dagger : 1 \leq i \leq n\}.$$

As a  $\mathbb{C}$ -module,  $S$  has basis

$$(2.7) \quad x_I := \psi_{i_1}^\dagger \wedge \psi_{i_2}^\dagger \wedge \cdots \wedge \psi_{i_k}^\dagger, \\ I = \{i_1, \dots, i_k\} \subseteq [n], \quad i_1 < i_2 < \cdots < i_k, \quad 0 \leq k \leq n,$$

where

$$[n] = \{1, 2, \dots, n\},$$

a notation we use throughout. In particular,

$$(2.8) \quad \dim_{\mathbb{C}}(S) = 2^n.$$

We will now construct a Cl-module structure on  $S$ . If  $N$  is even, we turn  $S$  into a Cl-module by defining

$$(2.9) \quad \psi_i^\dagger x_I = \psi_i^\dagger \wedge x_I, \quad \psi_i x_I = \begin{cases} (-1)^{|\{j \in I: j < i\}|} x_{I \setminus \{i\}} & \text{if } i \in I, \\ 0 & \text{if } i \notin I, \end{cases} \quad I \subseteq [n], \quad 1 \leq i \leq n.$$

It is straightforward to verify that the relations (2.5) are satisfied.

If  $N$  is odd, then we define two Cl-module structures on  $S$ , depending on a choice of  $\varepsilon \in \{\pm 1\}$ . We again use the action defined in (2.9), and additionally define

$$(2.10) \quad e_{2n+1} x_I = \varepsilon (-1)^{|I|} x_I.$$

It is straightforward to verify that relations (2.6) are satisfied.

For both even and odd  $N$ , the Cl-modules defined above are called the *spin modules*. If  $N$  is even, then  $S$  is the unique simple Cl-module. If  $N$  is odd, then the two modules constructed above are the two nonisomorphic simple Cl-modules. In both cases, Cl is semisimple.

**Remark 2.1.** An equivalent construction of the spin module is as  $\text{Cl}/A$ , where  $A$  is the left ideal generated by the  $\psi_j$ ,  $1 \leq j \leq n$ , if  $N$  is even, and is the left ideal generated by the  $\psi_j$ ,  $1 \leq j \leq n$ , and  $e_N - \varepsilon$  if  $N$  is odd.

We conclude this subsection with a technical lemma that will be used in the proof of Theorem 6.1. Suppose  $N$  is odd, and let

$$(2.11) \quad \psi_0 = \frac{1}{\sqrt{2}} e_N, \quad \psi_{-i} = \psi_i^\dagger, \quad i \in [n].$$

**Lemma 2.2.** *For all permutations  $\varpi$  of  $\{-n, 1-n, \dots, n-1, n\}$ , we have*

$$(2.12) \quad \psi_{\varpi(-n)} \psi_{\varpi(1-n)} \cdots \psi_{\varpi(n-1)} \psi_{\varpi(n)} x_I = \begin{cases} \frac{\varepsilon}{\sqrt{2}} \text{sgn}(\varpi) x_I & \text{if } I = I_\varpi, \\ 0 & \text{otherwise,} \end{cases}$$

where  $I_\varpi = \{i \in [n] : \varpi^{-1}(-i) < \varpi^{-1}(i)\}$ .

*Proof.* For  $i = 1, 2, \dots, n$ , let

$$A_i = \begin{cases} \psi_{-i} \psi_i & \text{if } i \in I_\varpi, \\ \psi_i \psi_{-i} & \text{if } i \notin I_\varpi. \end{cases}$$

We compare the products  $\psi_{\varpi(-n)} \psi_{\varpi(1-n)} \cdots \psi_{\varpi(n-1)} \psi_{\varpi(n)}$  and  $\psi_0 A_1 A_2 \cdots A_n$ . They are both a product of  $\psi_{-n}, \psi_{1-n}, \dots, \psi_n$  in some order. For each  $i \in [n]$ , the elements  $\psi_i$  and  $\psi_{-i}$  appear in the same order in each of these two products. Therefore, we can pass from one to the other by swapping adjacent pairs  $\psi_i$  and  $\psi_j$  for  $i \neq \pm j$ . In the Clifford algebra, each of these swaps introduces a minus sign, since  $\psi_i \psi_j = -\psi_j \psi_i$  for  $i \neq \pm j$ . So, in order to compare  $\psi_{\varpi(-n)} \psi_{\varpi(1-n)} \cdots \psi_{\varpi(n-1)} \psi_{\varpi(n)}$  and  $\psi_0 A_1 A_2 \cdots A_n$  in Cl, we need to compute the sign of the permutation by which these two orderings of the indices differ.

The sign of the permutation sending  $(\varpi(-n), \varpi(1-n), \dots, \varpi(n))$  to  $(-n, 1-n, \dots, n)$  is  $\text{sgn}(\varpi)$ . The sign of the permutation sending  $(-n, 1-n, \dots, n)$  to  $(0, -1, 1, -2, 2, \dots, -n, n)$  is  $(-1)^n$ . The sign of the permutation sending  $(0, -1, 1, -2, 2, \dots, -n, n)$  to the order of the indices in the product  $\psi_0 A_1 A_2 \cdots A_n$  is  $(-1)^{n-|I_\varpi|}$ . Hence we obtain the identity

$$\psi_{\varpi(-n)} \psi_{\varpi(1-n)} \cdots \psi_{\varpi(n-1)} \psi_{\varpi(n)} = \text{sgn}(\varpi) (-1)^{|I_\varpi|} \psi_0 A_1 A_2 \cdots A_n.$$

From (2.9) and (2.10) we have  $A_i x_{I_{\varpi}} = x_{I_{\varpi}}$  and  $\psi_0 x_{I_{\varpi}} = \varepsilon(-1)^{|I_{\varpi}|}/\sqrt{2}$ . This completes the proof of (2.12) when  $I = I_{\varpi}$ . On the other hand, when  $I \neq I_{\varpi}$ , we have  $A_i x_I = 0$  for any  $i \in (I \setminus I_{\varpi}) \cup (I_{\varpi} \setminus I)$ .  $\square$

**2.3. The pin and spin groups.** Recall that  $\text{Cl}$  is  $(\mathbb{Z}/2\mathbb{Z})$ -graded. Define

$$(2.13) \quad \text{GPin}(V) := \{g \in \text{Cl}(V)^{\times} : g \text{ is homogeneous and } gVg^{-1} = V\},$$

and let

$$(2.14) \quad \iota: \text{Cl}(V) \rightarrow \text{Cl}(V)$$

be the unique antiautomorphism of  $\text{Cl}(V)$  that is the identity on elements of  $V$ . Then the *spinor norm* on  $\text{GPin}(V)$  is the group homomorphism given by

$$\text{GPin}(V) \rightarrow \mathbb{C}^{\times}, \quad g \mapsto g\iota(g).$$

The *pin group* associated to the inner product space  $V$  is the subgroup of  $\text{GPin}(V)$  consisting of elements of spinor norm one:

$$(2.15) \quad \text{Pin}(V) := \{g \in \text{GPin}(V) : g\iota(g) = 1\}.$$

The corresponding *spin group* is

$$(2.16) \quad \text{Spin}(V) := \text{Pin}(V) \cap \text{Cl}_{\bar{0}},$$

where  $\text{Cl}_{\bar{0}}$  is the even part of  $\text{Cl}$ .

We will need the following analogue of the Cartan–Dieudonné Theorem for the pin and spin groups. Note that if  $v \in V$  satisfies  $\Phi_V(v, v) = 1$ , then  $v \in \text{Pin}(V)$ .

**Theorem 2.3.** *Suppose  $N \geq 1$ . Then*

$$(2.17) \quad \text{Pin}(V) = \{v_1 v_2 \cdots v_k : k \in \mathbb{N}, v_i \in V, \Phi_V(v_i, v_i) = 1 \forall 1 \leq i \leq k\} \subseteq \text{Cl}(V)^{\times},$$

$$(2.18) \quad \text{Spin}(V) = \{v_1 v_2 \cdots v_k : k \in 2\mathbb{N}, v_i \in V, \Phi_V(v_i, v_i) = 1 \forall 1 \leq i \leq k\} \subseteq \text{Pin}(V).$$

*Proof.* We will deduce this from the usual Cartan–Dieudonné Theorem, which states that the orthogonal group  $\text{O}(V)$  is generated by reflections. First we prove (2.17).

There is a homomorphism  $p: \text{Pin}(V) \rightarrow \text{O}(V)$  given by the following action of  $\text{Pin}(V)$  on  $V$ :

$$(2.19) \quad p(g)(v) = (-1)^{\deg g} g v g^{-1}$$

for  $g \in \text{Pin}(V)$  and  $v \in V$ . If  $w \in V$  satisfies  $\Phi_V(w, w) = 1$ , then its image in  $\text{O}(V)$  under this homomorphism is the reflection across the hyperplane orthogonal to  $w$ .

Let  $g \in \text{Pin}(V)$ . By the Cartan–Dieudonné Theorem, there exist  $v_1, v_2, \dots, v_k \in V$  with  $\Phi_V(v_i, v_i) = 1$ , such that  $p(g) = p(v_1 v_2 \cdots v_k)$ . Therefore  $g^{-1} v_1 v_2 \cdots v_k \in \ker p$ . If  $x \in \ker p$  then  $x v x^{-1} = (-1)^{\deg x} v$  for all  $v \in V$ . Since  $V$  generates  $\text{Cl}$ , this implies  $x y x^{-1} = (-1)^{(\deg x)(\deg y)} y$  for all homogeneous  $y \in \text{Cl}$ . This is the condition that  $x$  lies in the supercentre of  $\text{Cl}$  (where we consider  $\text{Cl}$  as a superalgebra via its  $(\mathbb{Z}/2\mathbb{Z})$ -grading), which consists only of scalars. The only scalars lying in  $\text{Pin}(V)$  are  $\pm 1$ . Hence,  $g^{-1} v_1 v_2 \cdots v_k = \pm 1$ . If  $g^{-1} v_1 v_2 \cdots v_k = 1$ , we are done. If  $g^{-1} v_1 v_2 \cdots v_k = -1$ , then pick  $w \in V$  with  $\Phi_V(w, w) = 1$ , and write  $-1 = w(-w)$ . Then we have  $g = v_1 v_2 \cdots v_{k+2}$  with  $v_{k+1} = w$  and  $v_{k+2} = -w$ , and we are done.

Finally, (2.18) follows from (2.17) by noting that each  $v_i$  lies in  $\text{Cl}_{\bar{1}}$ .  $\square$

**Remark 2.4** (Low values of  $N$ ). It will be important for some of the inductive arguments in the paper that we allow  $N \in \{0, 1, 2\}$ , even though, in some ways, these behave differently than the cases  $N \geq 3$ . Let  $C_2$  denote the cyclic group on 2 elements. Then we have the following:

- When  $N = 0$ ,  $\text{Pin}(V) = \text{Spin}(V) = \{\pm 1\} \cong C_2$ .

- When  $N = 1$ ,  $\text{Pin}(V) = \{\pm 1, \pm v\} \cong C_2 \times C_2$ , where  $v \in V$  satisfies  $\Phi_V(v, v) = 1$  (so that  $v^2 = 1$ ), and  $\text{Spin}(V) = \{\pm 1\} \cong C_2$ .
- When  $N = 2$ , we have an isomorphism

$$(2.20) \quad \mathbb{G}_m \xrightarrow{\cong} \text{Spin}(V), \quad t \mapsto t + (t^{-1} - t)\psi_1^\dagger \psi_1,$$

where  $\mathbb{G}_m$  is the multiplicative group. Next, note that  $\text{Pin}(V) = \text{Spin}(V) \sqcup \text{Spin}(V)e_1$  and conjugation by  $e_1$  corresponds, under the above isomorphism, to inversion on  $\mathbb{G}_m$ . Thus,  $\text{Pin}(V) \cong \mathbb{G}_m \rtimes C_2$ , where the nontrivial element of  $C_2$  acts on  $\mathbb{G}_m$  by inversion.

Implicit in the proof of Theorem 2.3 is a short exact sequence (for all  $N \in \mathbb{N}$ )

$$\{1\} \rightarrow \{\pm 1\} \rightarrow \text{Pin}(V) \rightarrow \text{O}(V) \rightarrow \{1\}.$$

Restricting to  $\text{Spin}(V)$  yields another short exact sequence

$$\{1\} \rightarrow \{\pm 1\} \rightarrow \text{Spin}(V) \rightarrow \text{SO}(V) \rightarrow \{1\}.$$

The group  $\text{Spin}(V)$  is connected for  $N \geq 2$ . This explains why the image of the third map above lies in the connected group  $\text{SO}(V)$ , and realises  $\text{Spin}(V)$  as the universal cover of  $\text{SO}(V)$  for  $N \geq 3$ .

**Remark 2.5.** If  $N$  is odd, then the element  $e_1 e_2 \cdots e_N \in \text{Pin}(V) \setminus \text{Spin}(V)$  is central, and  $\text{Pin}(V)$  is generated by  $\text{Spin}(V)$  and this central element. In this case, the difference between the representation theory of  $\text{Pin}(V)$  and  $\text{Spin}(V)$  is not significant. We will focus on  $\text{Spin}(V)$ -modules when  $N$  is odd; see Remark 4.8.

### 3. SPECIAL ORTHOGONAL LIE ALGEBRAS

In this section we collect some basic facts about the special orthogonal Lie algebra  $\mathfrak{so}(V)$ . Since  $\mathfrak{so}(V)$  is the zero Lie algebra when  $N \leq 1$ , we assume throughout this section that  $N \geq 2$ .

The Lie algebra  $\text{Lie}(\text{Cl}^\times)$  is  $\text{Cl}$  with the commutator Lie bracket. The inclusion  $\text{Spin}(V) \hookrightarrow \text{Cl}^\times$  induces an inclusion  $\text{Lie}(\text{Spin}(V)) \hookrightarrow \text{Cl}$ . The image of this inclusion is

$$\text{Cl}^2 := \text{span}_{\mathbb{C}}\{uv - vu : u, v \in V\} \subseteq \text{Cl}.$$

The group homomorphism  $\text{Spin}(V) \rightarrow \text{SO}(V)$  induces an isomorphism of Lie algebras. Under the identification of  $\text{Lie}(\text{Spin}(V))$  with  $\text{Cl}^2$  above, this isomorphism is

$$(3.1) \quad \gamma: \text{Cl}^2 \rightarrow \mathfrak{so}(V), \quad \gamma(uv - vu) = 4M_{u,v},$$

where  $M_{u,v} \in \mathfrak{so}(V)$  is defined by

$$(3.2) \quad M_{u,v}w = \Phi_V(v, w)u - \Phi_V(u, w)v.$$

**3.1. Type  $D$  (even  $N$ ).** We suppose throughout this subsection that  $N = 2n$  is even, and we continue to assume that  $N \geq 2$ . Note that

- when  $n = 1$ ,  $\mathfrak{so}(V)$  is a one-dimensional abelian Lie algebra, and
- when  $n \geq 2$ ,  $\mathfrak{so}(V)$  is the semisimple Lie algebra of type  $D_n$ .

For  $A \in \text{Mat}_n(\mathbb{C})$ , let  $A'$  denote the flip of  $A$  in the anti-diagonal. More precisely,

$$(3.3) \quad \text{if } A = (a_{ij})_{i,j=1}^n \text{ then } A' = (a_{n-j+1, n-i+1})_{i,j=1}^n.$$

Note that  $(AB)' = B'A'$  for  $A, B \in \text{Mat}_n(\mathbb{C})$ . In the ordered basis  $\psi_1, \dots, \psi_n, \psi_n^\dagger, \dots, \psi_1^\dagger$  of  $V$ , the matrices of  $\mathfrak{so}(V)$  are those of the form

$$\begin{pmatrix} A & B \\ C & -A' \end{pmatrix}, \quad A, B, C \in \text{Mat}_n(\mathbb{C}), \quad B' = -B, \quad C' = -C.$$

The Cartan subalgebra  $\mathfrak{h}$  consists of the diagonal matrices. For  $1 \leq i \leq n$ , define

$$\epsilon_i \in \mathfrak{h}^*, \quad \epsilon_i(\text{diag}(a_1, \dots, a_n, -a_n, \dots, -a_1)) = a_i.$$

Let  $E_{ij}$ ,  $1 \leq i, j \leq n$  denote the usual matrix units of  $\text{Mat}_n(\mathbb{C})$ , and define, for  $1 \leq i, j \leq n$ ,

$$(3.4) \quad A_{ij} = \begin{pmatrix} E_{ij} & 0 \\ 0 & -E'_{ij} \end{pmatrix}, \quad B_{ij} = \begin{pmatrix} 0 & E_{i,n-j+1} - E_{j,n-i+1} \\ 0 & 0 \end{pmatrix}, \quad C_{ij} = \begin{pmatrix} 0 & 0 \\ E_{n-i+1,j} - E_{n-j+1,i} & 0 \end{pmatrix}.$$

Then the  $A_{ij}$ ,  $B_{ij}$ , and  $C_{ij}$  are the root vectors, with corresponding roots  $\epsilon_i - \epsilon_j$ ,  $\epsilon_i + \epsilon_j$ , and  $-\epsilon_i - \epsilon_j$ , respectively. We choose the positive system of roots given by

$$\epsilon_i \pm \epsilon_j, \quad 1 \leq i < j \leq n.$$

Thus, the positive root spaces of  $\mathfrak{so}(V)$  are spanned by

$$A_{ij}, \quad B_{ij}, \quad 1 \leq i < j \leq n.$$

It is straightforward to verify, recalling the definition (3.2) of  $M_{u,v}$ , that

$$2M_{\psi_i, \psi_j^\dagger} = A_{ij}, \quad 2M_{\psi_i, \psi_j} = B_{ij}, \quad 2M_{\psi_i^\dagger, \psi_j^\dagger} = C_{ij}, \quad 1 \leq i, j \leq n.$$

Thus, the positive root vectors are

$$2M_{\psi_i, \psi_j^\dagger}, \quad 2M_{\psi_i, \psi_j}, \quad 1 \leq i < j \leq n.$$

The images under the isomorphism  $\gamma^{-1}$ , given in (3.1), of these elements are

$$(3.5) \quad \psi_i \psi_j^\dagger, \quad \psi_i \psi_j, \quad 1 \leq i < j \leq n.$$

We also have that

$$(3.6) \quad \gamma^{-1}(A_{ii}) = \gamma^{-1}(2M_{\psi_i, \psi_i^\dagger}) = \frac{1}{2}(\psi_i \psi_i^\dagger - \psi_i^\dagger \psi_i) \stackrel{(2.5)}{=} \psi_i \psi_i^\dagger - \frac{1}{2}, \quad 1 \leq i \leq n.$$

For  $n \geq 2$ , the dominant integral weights are those weights of the form

$$(3.7) \quad \lambda = \sum_{i=1}^n \lambda_i \epsilon_i, \quad \lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_{n-1} \geq |\lambda_n|,$$

such that  $(\lambda_i \in \frac{1}{2} + \mathbb{Z} \text{ for all } 1 \leq i \leq n) \quad \text{or} \quad (\lambda_i \in \mathbb{Z} \text{ for all } 1 \leq i \leq n).$

For  $n = 1$ , we adopt the convention that the set of dominant integral weights is  $\frac{1}{2}\mathbb{Z}\epsilon_1$ .

**Remark 3.1** ( $N = 2$ ). When  $N = 2$ ,

$$\mathfrak{so}(V) = \left\{ \begin{pmatrix} a_1 & 0 \\ 0 & -a_1 \end{pmatrix} : a_1 \in \mathbb{C} \right\}$$

is a one-dimensional abelian Lie algebra. For  $z \in \mathbb{C}$ , we call the one-dimensional representation  $z\epsilon_1 : \mathfrak{so}(V) \rightarrow \mathbb{C} \cong \text{End}_{\mathbb{C}}(\mathbb{C})$  the *simple highest-weight  $\mathfrak{so}(V)$ -module with highest weight  $z\epsilon_1$*  since this will often allow us to make uniform statements for  $N \geq 2$ .

**3.2. Type B (odd  $N$ ).** We suppose throughout this subsection that  $N = 2n + 1$  is odd, so that  $\mathfrak{so}(V)$  is the simple Lie algebra of type  $B_n$ . We continue to assume that  $N \geq 2$ , i.e.  $n \geq 1$ .

In the ordered basis  $\psi_1, \dots, \psi_n, \frac{1}{\sqrt{2}}e_{2n+1}, \psi_n^\dagger, \dots, \psi_1^\dagger$ , the matrices of  $\mathfrak{so}(V)$  are those of the form

$$\begin{pmatrix} A & u & B \\ -v^t & 0 & -u^t \\ C & v & -A' \end{pmatrix}, \quad u, v \in \mathbb{C}^n, \quad A, B, C \in \text{Mat}_n(\mathbb{C}), \quad B' = -B, \quad C' = -C,$$

where the notation  $A'$  is defined in (3.3). The Cartan subalgebra  $\mathfrak{h}$  consists of the diagonal matrices. For  $1 \leq i \leq n$ , define

$$\epsilon_i \in \mathfrak{h}^*, \quad \epsilon_i(\text{diag}(a_1, \dots, a_n, 0, -a_n, \dots, -a_1)) = a_i.$$

Recall that  $E_{ij}$ ,  $1 \leq i, j \leq n$  denote the usual matrix units of  $\text{Mat}_n(\mathbb{C})$ , and let  $u_i$  be the element of  $\mathbb{C}^n$  with a 1 in the  $i$ -th position and 0 in all other positions. Then define, for  $1 \leq i, j \leq n$ ,

$$(3.8) \quad \begin{aligned} A_{ij} &= \begin{pmatrix} E_{ij} & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -E'_{ij} \end{pmatrix}, \quad X_i = \begin{pmatrix} 0 & u_i & 0 \\ 0 & 0 & -u_{n-i+1}^t \\ 0 & 0 & 0 \end{pmatrix}, \quad Y_i = \begin{pmatrix} 0 & 0 & 0 \\ -u_i^t & 0 & 0 \\ 0 & u_{n-i+1} & 0 \end{pmatrix}, \\ B_{ij} &= \begin{pmatrix} 0 & 0 & E_{i,n-j+1} - E_{j,n-i+1} \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad C_{ij} = \begin{pmatrix} & & 0 & 0 & 0 \\ & & 0 & 0 & 0 \\ E_{n-i+1,j} - E_{n-j+1,i} & 0 & 0 \end{pmatrix}. \end{aligned}$$

Then the  $A_{ij}$ ,  $B_{ij}$ ,  $C_{ij}$ ,  $X_i$ , and  $Y_i$  are the root vectors, with corresponding roots  $\epsilon_i - \epsilon_j$ ,  $\epsilon_i + \epsilon_j$ ,  $-\epsilon_i - \epsilon_j$ ,  $\epsilon_i$ , and  $-\epsilon_i$ , respectively. We choose the positive system of roots given by

$$\epsilon_i \pm \epsilon_j, \quad \epsilon_k, \quad 1 \leq i < j \leq n, \quad 1 \leq k \leq n.$$

Thus, the positive root spaces of  $\mathfrak{so}(V)$  are spanned by

$$A_{ij}, \quad B_{ij}, \quad X_k, \quad 1 \leq i < j \leq n, \quad 1 \leq k \leq n.$$

It is straightforward to verify, recalling the definition (3.2) of  $M_{u,v}$ , that we have

$$2M_{\psi_i, \psi_j^\dagger} = A_{ij}, \quad 2M_{\psi_i, \psi_j} = B_{ij}, \quad 2M_{\psi_i^\dagger, \psi_j^\dagger} = C_{ij}, \quad \sqrt{2}M_{\psi_i, e_{2n+1}} = X_i, \quad \sqrt{2}M_{\psi_i^\dagger, e_{2n+1}} = Y_i.$$

Thus, the positive root vectors are

$$2M_{\psi_i, \psi_j^\dagger}, \quad 2M_{\psi_i, \psi_j}, \quad \sqrt{2}M_{\psi_k, e_{2n+1}}, \quad 1 \leq i < j \leq n, \quad 1 \leq k \leq n.$$

The images under the isomorphism  $\gamma^{-1}$ , given in (3.1), of these elements are

$$\psi_i \psi_j^\dagger, \quad \psi_i \psi_j, \quad \frac{1}{\sqrt{2}} \psi_k e_{2n+1}, \quad 1 \leq i < j \leq n, \quad 1 \leq k \leq n.$$

We also have that

$$\gamma^{-1}(A_{ii}) = \gamma^{-1}(2M_{\psi_i, \psi_i^\dagger}) = \frac{1}{2}(\psi_i \psi_i^\dagger - \psi_i^\dagger \psi_i) \stackrel{(2.5)}{=} \psi_i \psi_i^\dagger - \frac{1}{2}, \quad 1 \leq i \leq n.$$

The dominant integral weights are those weights of the form

$$(3.9) \quad \lambda = \sum_{i=1}^n \lambda_i \epsilon_i, \quad \lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_{n-1} \geq \lambda_n \geq 0,$$

such that  $(\lambda_i \in \frac{1}{2} + \mathbb{Z} \text{ for all } 1 \leq i \leq n) \quad \text{or} \quad (\lambda_i \in \mathbb{Z} \text{ for all } 1 \leq i \leq n).$

#### 4. REPRESENTATIONS OF PIN AND SPIN GROUPS

In this section, we collect some facts about representations of the pin and spin groups that will be important for us.



**4.1. The spin and vector modules.** Recall the Cl-module  $S$  introduced in Section 2.2. By restriction,  $S$  is a  $\text{Pin}(V)$ -module and a  $\text{Spin}(V)$ -module. Passing to the Lie algebra, we obtain a  $\mathfrak{so}(V)$ -module structure on  $S$ , most conveniently computed via the isomorphism  $\gamma$  obtained in (3.1). With respect to the Cartan subalgebras introduced in Sections 3.1 and 3.2, the vectors  $x_I$  for  $I \subseteq [n]$  are all weight vectors.

First suppose that  $N$  is even. As  $\mathfrak{so}(V)$ -modules and as  $\text{Spin}(V)$ -modules, we have a decomposition

$$(4.1) \quad S = S^+ \oplus S^-, \quad S^+ = \text{span}_{\mathbb{C}}\{x_I : |I| \text{ is even}\}, \quad S^- = \text{span}_{\mathbb{C}}\{x_I : |I| \text{ is odd}\}.$$

Note that  $S$  remains simple as a  $\text{Pin}(V)$ -module. When  $N \geq 2$ , we also see that  $S^+$  is a simple highest-weight  $\mathfrak{so}(V)$ -module with highest-weight vector  $x_{\emptyset}$  of weight  $\frac{1}{2}(\epsilon_1 + \cdots + \epsilon_n)$  and that  $S^-$  is a simple highest-weight  $\mathfrak{so}(V)$ -module with highest-weight vector  $x_{\{n\}}$  of weight  $\frac{1}{2}(\epsilon_1 + \cdots + \epsilon_{n-1} - \epsilon_n)$ .

Now suppose that  $N$  is odd. In this case, there are two choices of a Cl-module structure on  $S$  depending on the choice of  $\varepsilon \in \{\pm 1\}$ , as in (2.10), but they give rise to isomorphic  $\text{Spin}(V)$ -modules. In this case, there is a unique highest weight vector  $x_{\emptyset}$ , so the spin module  $S$  is a simple  $\mathfrak{so}(V)$ -module of highest weight  $\frac{1}{2}(\epsilon_1 + \cdots + \epsilon_n)$ .

We view  $V$  as a  $\text{Pin}(V)$ -module with action

$$(4.2) \quad g \cdot v := gv g^{-1}, \quad g \in \text{Pin}(V), \quad v \in V.$$

As a representation of  $\mathfrak{so}(V)$ ,  $V$  is simple with highest weight  $\epsilon_1$  if  $N \geq 3$ .

**Remark 4.1** (Low values of  $N$ ). As noted in Remark 2.4, the cases  $N \leq 2$  behave differently than the cases  $N \geq 3$ .

- When  $N = 0$ , we have  $V = S^- = 0$  and  $S^+$  is the nontrivial one-dimensional module for  $\text{Pin}(V) \cong C_2$ . Of course,  $S$  is the trivial module for the trivial group  $\text{Spin}(V)$  and the zero Lie algebra  $\mathfrak{so}(V)$ .
- When  $N = 1$ , we have that  $V$  is the trivial  $\text{Pin}(V)$ -module. We also have that  $S$  is the nontrivial one-dimensional module for  $\text{Spin}(V) \cong C_2$ . The  $\text{Pin}(V)$ -module structure on  $S$  depends on the choice of  $\varepsilon \in \{\pm 1\}$ , as in (2.10).
- When  $N = 2$ , recall the isomorphism  $\mathbb{G}_m \cong \text{Spin}(V)$  of (2.20). Let  $L_r$ ,  $r \in \mathbb{Z}$ , denote the one-dimensional  $\mathbb{G}_m$ -module with action  $t \cdot v = t^r v$ ,  $t \in \mathbb{G}_m$ ,  $v \in L_r$ . Since

$$\left(t + (t^{-1} - t)\psi_1^\dagger \psi_1\right) x_{\emptyset} = t x_{\emptyset}, \quad \left(t + (t^{-1} - t)\psi_1^\dagger \psi_1\right) x_{\{1\}} = t^{-1} x_{\{1\}},$$

we have  $S^\pm \cong L_{\pm 1}$ . We also have  $V \cong L_{-2} \oplus L_2$ . As  $\mathfrak{so}(V)$ -modules, we have  $L_r = L\left(\frac{r}{2}\epsilon_1\right)$ . Both  $V$  and  $S$  are simple as modules for  $\text{Pin}(V) \cong \mathbb{G}_m \rtimes C_2$ , with the generator of  $C_2$  interchanging the summands  $L_r$  and  $L_{-r}$ .

**4.2. Classification of simple modules.** When  $N \leq 1$ , the groups  $\text{Spin}(V)$  and  $\text{Pin}(V)$  are finite (see Remark 2.4), and their representation theory is straightforward. Therefore, we assume in this subsection that  $N \geq 2$ .

We have an exact sequence of groups

$$(4.3) \quad \{1\} \rightarrow \text{Spin}(V) \rightarrow \text{Pin}(V) \xrightarrow{\pi} \{\pm 1\} \rightarrow \{1\},$$

where  $\{\pm 1\}$  is the cyclic group of order 2, written multiplicatively, and  $\pi(g) = (-1)^{\deg g}$ . The finite-dimensional representation theory of  $\text{Pin}(V)$  can be described in terms of the representation theory of  $\text{Spin}(V)$  using Clifford theory. Since  $\text{Pin}(V)$  and  $\text{Spin}(V)$  are reductive, their categories of finite-dimensional representations are both semisimple, and so it suffices to describe their simple modules. We begin with a discussion of the representation theory of  $\text{Spin}(V)$ .

The group  $\text{Spin}(V)$  is connected and reductive. Let  $H$  denote its abstract Cartan. This is canonically isomorphic to the abelianisation of every Borel subgroup of  $\text{Spin}(V)$ . Write  $X^*(H) =$

$\text{Hom}(H, \mathbb{G}_m)$  for the weight lattice of  $\text{Spin}(V)$ . Dominance is defined in the usual way from any choice of Borel subgroup. We write  $X^*(H)^+$  for the subset of dominant weights.

The choice of Borel subalgebra of  $\mathfrak{so}(V)$  spanned by the  $A_{ij}$ ,  $B_{ij}$ , and  $X_k$ , for  $1 \leq i < j \leq n$  and  $1 \leq k \leq n$  (the  $X_k$  only appearing in type  $B$ ) induces an isomorphism  $X^*(H) \otimes_{\mathbb{Z}} \mathbb{C} \cong \mathfrak{h}^*$ , which we use to write down elements of  $X^*(H)$  as linear combinations of  $\epsilon_1, \epsilon_2, \dots, \epsilon_n$ .

Write  $\text{Irr}(\text{Spin}(V))$  for the set of isomorphism classes of finite-dimensional simple  $\text{Spin}(V)$ -modules. These are classified by highest weight theory. Explicitly, there is an isomorphism  $X^*(H)^+ \cong \text{Irr}(\text{Spin}(V))$ ,  $\lambda \mapsto L(\lambda)$ , characterised by the following fact: For all Borel subgroups  $B$  of  $\text{Spin}(V)$ , there exists nonzero  $v \in L(\lambda)$  such that  $bv = \lambda(b)v$  for all  $b \in B$ .

The group  $\text{Pin}(V)$  acts on  $\text{Spin}(V)$  by conjugation. For  $g \in \text{Pin}(V)$  and  $W$  a  $\text{Spin}(V)$ -module, we let  $W^g$  denote the  $\text{Spin}(V)$ -module that is equal to  $W$  as a vector space, but with the twisted action

$$(4.4) \quad h \cdot w = (ghg^{-1})w, \quad h \in \text{Spin}(V), \quad w \in W^g,$$

where the juxtaposition  $hw$  denotes the action of  $h \in \text{Spin}(V)$  on  $w \in W$ .

The group  $\text{Pin}(V)$  also acts by conjugation on  $H$  and hence by precomposition on  $X^*(H)^+ \cong \text{Irr}(\text{Spin}(V))$ . We let  $g\lambda$  denote the result of  $g \in \text{Pin}(V)$  acting on  $\lambda \in X^*(H)$ . The subgroup  $\text{Spin}(V)$  acts trivially, so this descends to an action of the quotient  $\pi_0(\text{Pin}(V)) \cong \{\pm 1\}$ . For  $\lambda \in X^*(H)^+$  and  $g \in \text{Pin}(V)$ , we have

$$L(\lambda)^g \cong L(g\lambda).$$

In particular, up to isomorphism,  $L(\lambda)^g$  depends only  $\lambda$  and the class of  $g$  in  $\pi_0(\text{Pin}(V))$ .

To pass between representations of  $\text{Spin}(V)$  and  $\text{Pin}(V)$  we use the biadjoint pair of restriction and induction functors

$$(4.5) \quad \text{Res}: \text{Pin}(V)\text{-mod} \rightarrow \text{Spin}(V)\text{-mod} \quad \text{and} \quad \text{Ind}: \text{Spin}(V)\text{-mod} \rightarrow \text{Pin}(V)\text{-mod},$$

where  $G\text{-mod}$  denotes the category of finite-dimensional modules of an algebraic group  $G$ . These satisfy

$$(4.6) \quad \text{Res} \circ \text{Ind}(W) \cong W \oplus W^P,$$

where  $P$  is any element of  $\text{Pin}(V) \setminus \text{Spin}(V)$ . In order to make explicit computations, we will fix

$$(4.7) \quad P = \begin{cases} e_1 e_2 \cdots e_N & \text{if } N \text{ is odd,} \\ e_1 e_2 \cdots e_{N-1} & \text{if } N \text{ is even.} \end{cases}$$

**Proposition 4.2.** *Let  $W$  be a simple  $\text{Pin}(V)$ -module. Then there exists a unique  $\pi_0(\text{Pin}(V))$ -orbit  $\mathcal{O}$  on  $X^*(H)^+$  such that*

$$(4.8) \quad \text{Res}(W) \cong \bigoplus_{\lambda \in \mathcal{O}} L(\lambda).$$

Furthermore, given an orbit  $\mathcal{O}$ , the number of nonisomorphic simple  $\text{Pin}(V)$ -modules  $W$  satisfying (4.8) is equal to the size of the stabiliser of  $\pi_0(\text{Pin}(V))$  acting on an element of  $\mathcal{O}$ .

*Proof.* By Frobenius reciprocity, every simple  $\text{Pin}(V)$ -module is a simple summand of  $\text{Ind}(M)$  for some simple  $\text{Spin}(V)$ -module  $M$ . Thus, it suffices to prove the result for such simple summands.

Suppose  $M$  is a simple  $\text{Spin}(V)$ -module. By Frobenius reciprocity,

$$\dim \text{Hom}_{\text{Pin}(V)}(\text{Ind}(M), \text{Ind}(M)) = \dim \text{Hom}_{\text{Spin}(V)}(M, \text{Res} \circ \text{Ind}(M))$$

which, by (4.6), is equal to two if  $M \cong M^P$ , and is equal to one otherwise. In the former case,  $\text{Ind } M$  is of the form  $W_1 \oplus W_2$  with  $W_1, W_2$  nonisomorphic simple modules satisfying  $\text{Res}(W_1) \cong \text{Res}(W_2) \cong M$ . Thus  $W_1$  and  $W_2$  satisfy (4.8), with the orbit  $\mathcal{O}$  having one element, namely  $M$ . In

the latter case,  $W = \text{Ind}(M)$  is simple and also satisfies (4.8) by (4.6). The final statement of the proposition also follows from this discussion.  $\square$

It follows from Proposition 4.2 that the simple  $\text{Pin}(V)$ -modules are:

- $\text{Ind}(M)$  for a simple  $\text{Spin}(V)$ -module  $M$  with  $M^P \not\cong M$ ,
- the two simple summands of  $\text{Ind}(M)$  for a simple  $\text{Spin}(V)$ -module  $M$  with  $M^P \cong M$ .

In particular, if  $\mathcal{O}$  is an orbit of size two, then the unique simple  $\text{Pin}(V)$ -module  $W$  satisfying (4.8) is  $\text{Ind}(L(\lambda))$  where  $\lambda$  is any element of  $\mathcal{O}$ .

We let  $\text{triv}^0$  denote the trivial  $\text{Pin}(V)$ -module and let  $\text{triv}^1$  be the one-dimensional module with action given by  $gv = (-1)^{\deg g}v$ ,  $v \in \text{triv}^1$ . If  $M$  is a simple  $\text{Spin}(V)$ -module fixed under the  $\text{Pin}(V)$ -action (i.e.,  $M^g \cong M$  as  $\text{Spin}(V)$ -modules for  $g \in \text{Pin}(V)$ ), and  $M'$  and  $M''$  are its two lifts to a  $\text{Pin}(V)$ -module, then these are related by

$$(4.9) \quad M' \otimes \text{triv}^1 \cong M''.$$

We now study the action of  $\pi_0(\text{Pin}(V))$  on  $\text{Irr}(\text{Spin}(V)) \cong X^*(H)^+$ . When  $N$  is even, define

$$(4.10) \quad \tilde{\lambda} := \lambda_1\epsilon_1 + \cdots + \lambda_{n-1}\epsilon_{n-1} - \lambda_n\epsilon_n \quad \text{for } \lambda = \lambda_1\epsilon_1 + \cdots + \lambda_{n-1}\epsilon_{n-1} + \lambda_n\epsilon_n.$$

**Theorem 4.3.** *If  $N$  is odd, then  $\pi_0(\text{Pin}(V))$  acts trivially on  $\text{Irr}(\text{Spin}(V)) \cong X^*(H)^+$ . If  $N$  is even, the action of the non-trivial element  $P \in \pi_0(\text{Pin}(V))$  is  $P\lambda = \tilde{\lambda}$ .*

*Proof.* If  $N$  is odd then  $P$  is central and so there is nothing to do. From now on, suppose  $N = 2n$  is even. Then

$$(4.11) \quad Pe_iP^{-1} = (-1)^{\delta_{iN}}e_i, \quad 1 \leq i \leq N.$$

It follows that

$$(4.12) \quad P\psi_nP^{-1} = \psi_n^\dagger, \quad P\psi_n^\dagger P^{-1} = \psi_n, \quad P\psi_iP^{-1} = \psi_i, \quad P\psi_i^\dagger P^{-1} = \psi_i^\dagger, \quad 1 \leq i < n.$$

Hence conjugation by  $P$  preserves the set of positive root vectors (3.5) and acts on the elements (3.6) of the Cartan subalgebra of  $\mathfrak{so}(V)$  as

$$\begin{aligned} P\left(\psi_i\psi_i^\dagger - \frac{1}{2}\right)P^{-1} &= \psi_i\psi_i^\dagger - \frac{1}{2}, \quad 1 \leq i < n, \\ P\left(\psi_n\psi_n^\dagger - \frac{1}{2}\right)P^{-1} &= \psi_n^\dagger\psi_n - \frac{1}{2} \stackrel{(2.5)}{=} -\left(\psi_n\psi_n^\dagger - \frac{1}{2}\right). \end{aligned} \quad \square$$

For the remainder of this subsection, we assume that  $N$  is even. It follows from Theorem 4.3 that, for any dominant integral weight  $\lambda$ , we have

$$(4.13) \quad L(\lambda)^P \cong L(\tilde{\lambda}) \quad \text{as } \text{Spin}(V)\text{-modules.}$$

In particular, for  $N \geq 2$ ,

$$(4.14) \quad (S^\pm)^P \cong S^\mp \quad \text{as } \text{Spin}(V)\text{-modules.}$$

For all even  $N$ ,

$$(4.15) \quad S^P \cong S, \quad V^P \cong V \quad \text{as } \text{Spin}(V)\text{-modules,}$$

since  $V = L(-\epsilon_1) \oplus L(\epsilon_1)$  when  $N = 2$  (see Remark 4.1), and  $V = L(\epsilon_1)$  for  $N \geq 3$ . Note that, when  $N \geq 4$ ,

$$S \cong \text{Ind}\left(L\left(\frac{1}{2}\epsilon_1 + \frac{1}{2}\epsilon_2 + \cdots + \frac{1}{2}\epsilon_{n-1} \pm \frac{1}{2}\epsilon_n\right)\right).$$

**Lemma 4.4.** *Suppose  $N$  is even. Let  $M_1$  and  $M_2$  be two simple  $\text{Pin}(V)$ -modules whose restrictions to  $\text{Spin}(V)$  are isomorphic. Then, for all  $r \geq 1$ , the multiplicities of  $M_1$  and  $M_2$  in  $S^{\otimes r}$  are equal.*

*Proof.* Since  $S \cong \text{Ind}(S^\pm)$  is self-dual, we have, for  $i \in \{1, 2\}$ ,

$$\begin{aligned} \text{Hom}_{\text{Pin}(V)}(S^{\otimes r}, M_i) &\cong \text{Hom}_{\text{Pin}(V)}(S, S^{\otimes(r-1)} \otimes M_i) \\ &\cong \text{Hom}_{\text{Pin}(V)}\left(\text{Ind}(S^+), S^{\otimes(r-1)} \otimes M_i\right) \cong \text{Hom}_{\text{Spin}(V)}(S^+, S^{\otimes(r-1)} \otimes M_i), \end{aligned}$$

where we used Frobenius reciprocity in the final isomorphism.  $\square$

**4.3. Invariant bilinear form.** For a subset  $I$  of  $[n]$ , we let  $I^c = [n] \setminus I$  denote its complement. Define a bilinear form on  $S$  by

$$(4.16) \quad \Phi_S(x_I, x_J) = \begin{cases} (-1)^{\binom{|I|}{2} + nN|I| + |\{(i,j) \in I \times I^c : i > j\}|} & \text{if } J = I^c, \\ 0 & \text{otherwise,} \end{cases}$$

and extending by bilinearity.

**Lemma 4.5.** *We have*

$$(4.17) \quad \Phi_S(vx, y) = (-1)^{nN} \Phi_S(x, vy), \quad x, y \in S, \quad v \in V \subseteq \text{Cl}.$$

*Proof.* Since both sides of (4.17) are linear in  $v$ ,  $x$  and  $y$ , it suffices to prove that

$$(4.18) \quad \Phi_S(\psi_k^\dagger x_I, x_J) = (-1)^{nN} \Phi_S(x_I, \psi_k^\dagger x_J) \quad \text{and} \quad \Phi_S(\psi_k x_I, x_J) = (-1)^{nN} \Phi_S(x_I, \psi_k x_J),$$

for all  $1 \leq k \leq n$  and  $I, J \subseteq [n]$ , and, if  $N$  is odd, that

$$(4.19) \quad \Phi_S(e_{2n+1} x_I, x_J) = (-1)^n \Phi_S(x_I, e_{2n+1} x_J),$$

for all  $I, J \subseteq [n]$ .

For  $I, J \subseteq [n]$ , define

$$(4.20) \quad \sigma_{I,J} := (-1)^{|\{(i,j) \in I \times J : i > j\}|}.$$

Then, for  $I, J, I_1, J_1, I_2, J_2 \subseteq [n]$ , with  $I \cap J = I_1 \cap I_2 = J_1 \cap J_2 = \emptyset$ , we have

$$(4.21) \quad \sigma_{I,J} = (-1)^{|I||J|} \sigma_{J,I}, \quad \sigma_{I_1 \sqcup I_2, J} = \sigma_{I_1, J} \sigma_{I_2, J}, \quad \sigma_{I, J_1 \sqcup J_2} = \sigma_{I, J_1} \sigma_{I, J_2}.$$

First note that both sides of the first equation in (4.18) are zero unless  $I \cap J = \emptyset$  and  $I \cup J = \{1, \dots, k-1, k+1, \dots, n\}$ . Thus, we assume that  $I$  and  $J$  satisfy these two conditions. Then

$$\Phi_S(\psi_k^\dagger x_I, x_J) \stackrel{(2.9)}{=} \sigma_{\{k\}, I} \Phi_S(x_{I \cup \{k\}}, x_J) \stackrel{(4.16)}{\stackrel{(4.21)}{=}} (-1)^{\binom{|I|+1}{2} + nN(|I|+1)} \sigma_{\{k\}, I} \sigma_{I, J} \sigma_{\{k\}, J}$$

and

$$\Phi_S(x_I, \psi_k^\dagger x_J) \stackrel{(2.9)}{=} \sigma_{\{k\}, J} \Phi_S(x_I, x_{J \cup \{k\}}) \stackrel{(4.16)}{\stackrel{(4.21)}{=}} (-1)^{\binom{|I|}{2} + nN|I|} \sigma_{\{k\}, J} \sigma_{I, J} \sigma_{I, \{k\}}.$$

Since

$$(4.22) \quad \sigma_{I, \{k\}} \sigma_{\{k\}, I} = (-1)^{|I|} \quad \text{and} \quad \binom{|I|}{2} + |I| = \binom{|I|+1}{2},$$

the first equality in (4.18) follows.

Next, note that both sides of the second equality in (4.18) are zero unless  $I \cap J = \{k\}$  and  $I \cup J = [n]$ . Thus, we assume that  $I$  and  $J$  satisfy these two conditions. Then

$$\begin{aligned} \Phi_S(\psi_k x_I, x_J) &\stackrel{(2.9)}{=} \sigma_{\{k\}, I} \Phi_S(x_{I \setminus \{k\}}, x_J) \\ &\stackrel{(4.16)}{=} (-1)^{\binom{|I|-1}{2} + nN(|I|-1)} \sigma_{\{k\}, I} \sigma_{I \setminus \{k\}, J} \stackrel{(4.21)}{=} (-1)^{\binom{|I|-1}{2} + nN(|I|-1)} \sigma_{\{k\}, I} \sigma_{I, J} \sigma_{\{k\}, J} \end{aligned}$$

and

$$\Phi_S(x_I, \psi_k x_J) \stackrel{(2.9)}{=} \sigma_{\{k\}, J} \Phi_S(x_I, x_{J \setminus \{k\}})$$

$$\stackrel{(4.16)}{=} (-1)^{\binom{|I|}{2} + nN|I|} \sigma_{\{k\}, J} \sigma_{I, J \setminus \{k\}} \stackrel{(4.21)}{=} (-1)^{\binom{|I|}{2} + nN|I|} \sigma_{\{k\}, J} \sigma_{I, J} \sigma_{I, \{k\}}.$$

Using the second equality in (4.22) with  $|I|$  replaced by  $|I| - 1$  then implies the second equality in (4.18).

Now suppose that  $N$  is odd. To prove (4.19), we assume that  $I \cap J = \emptyset$  and  $I \cup J = [n]$ , since otherwise both sides are zero. Then we have

$$\Phi_S(e_{2n+1}x_I, x_J) \stackrel{(2.10)}{=} \pm(-1)^{|I|} \Phi_S(x_I, x_J) = \pm(-1)^{n+|J|} \Phi_S(x_I, x_J) \stackrel{(2.10)}{=} (-1)^n \Phi_S(x_I, e_{2n+1}x_J),$$

as desired.  $\square$

As in the introduction, define

$$(4.23) \quad \mathbf{G}(V) := \begin{cases} \text{Pin}(V) & \text{if } N \text{ is even,} \\ \text{Spin}(V) & \text{if } N \text{ is odd.} \end{cases}$$

**Corollary 4.6.** *We have*

$$(4.24) \quad \Phi_S(gx, gy) = \Phi_S(x, y) \quad \text{for all } g \in \mathbf{G}(V), \ x, y \in S,$$

$$(4.25) \quad \Phi_S(Xx, y) = -\Phi_S(x, Xy) \quad \text{for all } X \in \mathfrak{so}(V), \ x, y \in S.$$

*Proof.* When  $N < 2$ , the identity (4.24) is trivial, since  $\mathbf{G}(V) = \{\pm 1\}$ , acting by the scalar  $\{\pm 1\}$  on  $S$ . Now suppose  $N \geq 2$ . Let  $v_1, \dots, v_k \in V$  satisfy  $\Phi_V(v_i, v_i) = 1$  for all  $1 \leq i \leq k$ . Then, for  $x, y \in S$ , it follows from (4.17) that

$$\begin{aligned} \Phi_S(v_1 v_2 \cdots v_k x, v_1 v_2 \cdots v_k y) &= \begin{cases} \Phi_S(x, v_k \cdots v_1 v_1 \cdots v_k y) & \text{if } N = 2n, \\ (-1)^{kn} \Phi_S(x, v_k \cdots v_1 v_1 \cdots v_k y) & \text{if } N = 2n + 1, \end{cases} \\ &= \begin{cases} \Phi_S(x, y) & \text{if } N = 2n, \\ (-1)^{kn} \Phi_S(x, y) & \text{if } N = 2n + 1. \end{cases} \end{aligned}$$

Thus, (4.24) follows from (2.17) and (2.18). The identity (4.25) follows from (4.24) by differentiating.  $\square$

**Proposition 4.7.** *We have*

$$(4.26) \quad \Phi_S(x, y) = (-1)^{\binom{n}{2} + nN} \Phi_S(y, x) \quad \text{for all } x, y \in S.$$

*Proof.* Since  $\Phi_S(x_I, x_J) = 0 = \Phi_S(x_J, x_I)$  unless  $I \cup J = [n]$  and  $I \cap J = \emptyset$ , we assume that  $I$  and  $J$  satisfy these two conditions. Then, defining  $\sigma_{I, J}$  as in (4.20), we have

$$\begin{aligned} \Phi_S(x_J, x_I) &\stackrel{(4.16)}{=} (-1)^{\binom{|J|}{2} + nN|J|} \sigma_{J, I} \stackrel{(4.21)}{=} (-1)^{\binom{|J|}{2} + nN|J| + |I||J|} \sigma_{I, J} \\ &\stackrel{(4.16)}{=} (-1)^{\binom{|J|}{2} + \binom{|I|}{2} + nN(|I| + |J|) + |I||J|} \Phi_S(x_I, x_J). \end{aligned}$$

Then the result follows from the fact that  $nN(|I| + |J|) = n^2N \equiv nN$  modulo 2 and that  $\binom{|I|}{2} + \binom{|J|}{2} + |I||J| = \binom{n}{2}$ .  $\square$

**Remark 4.8.** Since  $S$  is a simple, self-dual  $\mathbf{G}(V)$ -module, it follows from (4.24) that  $\Phi_S$  is the *unique* invariant bilinear form on  $S$ , up to scalar multiple. On the other hand, if  $N \equiv 3 \pmod{4}$ , then  $S$  is not self-dual as a  $\text{Pin}(V)$ -module, and so there is no  $\text{Pin}(V)$ -invariant bilinear form on  $S$ . This is our main motivation for defining  $\mathbf{G}(V)$  to be  $\text{Spin}(V)$  when  $N$  is odd; see also Remark 2.5.

**4.4. Tensor product decompositions.** We now recall some tensor product decompositions that will be important for us. For a weight  $\text{Spin}(V)$ -module  $M$ , we let  $\text{wt}(M)$  denote its set of weights. Thus, for example, when  $N \geq 2$ ,

$$\text{wt}(S) = \left\{ \left( \pm \frac{1}{2}, \dots, \pm \frac{1}{2} \right) \right\}.$$

For the next result, recall, from Section 3.1, that the set of dominant integral weights is  $\frac{1}{2}\mathbb{Z}\epsilon_1$  when  $N = 2$ .

**Lemma 4.9.** *Suppose  $N \geq 2$ , and let  $\lambda$  be a dominant integral weight. Then*

$$S \otimes L(\lambda) \cong \bigoplus_{\epsilon \in \text{wt}(S)} L(\lambda + \epsilon) \quad \text{as Spin}(V)\text{-modules,}$$

where we define  $L(\lambda + \epsilon)$  to be zero if  $\lambda + \epsilon$  is not dominant.

*Proof.* For  $N = 2$ , this is a straightforward direct computation using the description of  $S$  in Remark 4.1. For  $N \geq 3$ , it is a standard application of the Weyl character formula.  $\square$

**Corollary 4.10.** (a) *If  $N = 2$ , then*

$$(4.27) \quad S \otimes V \cong S \oplus \text{Ind} \left( L \left( \frac{3}{2}\epsilon_1 \right) \right) \quad \text{as Pin}(V)\text{-modules.}$$

(b) *If  $N = 2n + 1 \geq 3$  (type  $B_n$ ), then*

$$(4.28) \quad S \otimes V \cong S \otimes L(\epsilon_1) \cong S \oplus L \left( \frac{3}{2}\epsilon_1 + \frac{1}{2}\epsilon_2 + \dots + \frac{1}{2}\epsilon_n \right) \quad \text{as Spin}(V)\text{-modules.}$$

(c) *If  $N = 2n \geq 4$  (type  $D_n$ ), then*

$$(4.29) \quad S \otimes V \cong S \otimes L(\epsilon_1) \cong S \oplus \text{Ind} \left( L \left( \frac{3}{2}\epsilon_1 + \frac{1}{2}\epsilon_2 + \dots + \frac{1}{2}\epsilon_n \right) \right) \quad \text{as Pin}(V)\text{-modules.}$$

*Proof.* Part (a) is a direct computation using Remark 4.1. Parts (b) and (c) follows from Lemma 4.9 and (3.7) and (3.9).  $\square$

**Proposition 4.11.** (a) *If  $N = 2n + 1$  (type  $B_n$ ), we have*

$$(4.30) \quad \Lambda^k(V) \cong \Lambda^{N-k}(V) \quad \text{as Pin}(V)\text{-modules,} \quad 0 \leq k \leq n,$$

and  $\Lambda^k(V)$  is simple for  $0 \leq k \leq N$ . Furthermore, if  $n \geq 1$ , we have

$$(4.31) \quad \Lambda^k(V) \cong \Lambda^{N-k}(V) \cong L(\epsilon_1 + \dots + \epsilon_k) \quad \text{as Spin}(V)\text{-modules,} \quad 0 \leq k \leq n.$$

(b) *If  $N = 2n$  (type  $D_n$ ), we have*

$$(4.32) \quad \Lambda^k(V) \not\cong \Lambda^{N-k}(V) \quad \text{as Pin}(V)\text{-modules,} \quad 0 \leq k < n,$$

and  $\Lambda^k(V)$  is simple for  $0 \leq k \leq N$ . Furthermore, if  $n \geq 2$ , we have

$$(4.33) \quad \Lambda^k(V) \cong \Lambda^{N-k}(V) \cong L(\epsilon_1 + \dots + \epsilon_k), \quad 0 \leq k \leq n,$$

$$(4.34) \quad \Lambda^n(V) \cong L(\epsilon_1 + \dots + \epsilon_{n-1} + \epsilon_n) \oplus L(\epsilon_1 + \dots + \epsilon_{n-1} - \epsilon_n)$$

as  $\text{Spin}(V)$ -modules.

*Proof.* For  $N \leq 2$ , the results follow from straightforward computations using the explicit descriptions of  $V$  and  $S$  given in Remark 4.1.

Now suppose that  $N \geq 3$ . A proof that  $\Lambda^k(V) \cong L(\epsilon_1 + \dots + \epsilon_k)$  as  $\mathfrak{so}(V)$ -modules, and hence as  $\text{Spin}(V)$ -modules, for the given ranges on  $k$  can be found, for instance, in [Car05, Th. 13.9, Th. 13.11]. (The ranges on  $k$  are slightly more restrictive there, since those results relate exterior powers to fundamental modules, but the proofs give the isomorphisms for our ranges on  $k$ .) To prove (4.34), one notes that  $\epsilon_1 + \dots + \epsilon_{n-1} \pm \epsilon_n$  are both weights that appear in  $\Lambda^n(V)$ . Furthermore, they are highest weights since adding any simple root produces a weight that does not appear in  $\Lambda^n(V)$ .

Hence,  $\Lambda^n(V)$  contains a submodule isomorphic to the right-hand side of (4.34). A straightforward application of the Weyl dimension formula then shows that this submodule is all of  $\Lambda^n(V)$ .

Next, note that we have a pairing of  $\Lambda^k(V)$  with  $\Lambda^{N-k}(V)$  given by the composition

$$\Lambda^k(V) \otimes \Lambda^{N-k}(V) \xrightarrow{\wedge} \Lambda^N(V) \xrightarrow{\cong} \mathbb{C}.$$

This is a  $\text{Spin}(V)$ -module homomorphism, and so identifies  $\Lambda^{N-k}(V)$  with the dual of  $\Lambda^k(V)$ . Since  $\Lambda^k(V)$  is self-dual, this yields an isomorphism of  $\Lambda^{N-k}(V)$  with  $\Lambda^k(V)$  as  $\text{Spin}(V)$ -modules.

In type  $B_n$ , the element  $P$ , defined in (4.7), acts trivially on  $V$ , and so the actions of  $P$  on  $\Lambda^k(V)$  and  $\Lambda^{N-k}(V)$  are also trivial. This completes the proof of (4.30).

In type  $D_n$ , the highest-weight spaces of  $\Lambda^k(V)$  and  $\Lambda^{N-k}(V)$ ,  $1 \leq k < n$ , are spanned, respectively, by

$$v_k := \psi_1 \wedge \psi_2 \wedge \cdots \wedge \psi_k \quad \text{and} \quad w_k := \psi_1 \wedge \psi_2 \wedge \cdots \wedge \psi_n \wedge \psi_n^\dagger \wedge \cdots \wedge \psi_{k+1}^\dagger.$$

By (4.12), the action of  $P$  on these highest-weight vectors is given by

$$P \cdot v_k = v_k, \quad P \cdot w_k = -w_k.$$

Thus,  $\Lambda^k(V) \not\cong \Lambda^{N-k}(V)$  as  $\text{Pin}(V)$ -modules. □

**Corollary 4.12.** (a) When  $N = 2n + 1$  (type  $B_n$ ), we have

$$(4.35) \quad S^{\otimes 2} \cong \bigoplus_{k=0}^n \Lambda^k(V) \quad \text{as } \text{Spin}(V)\text{-modules.}$$

(b) When  $N = 2n$  (type  $D_n$ ), we have

$$(4.36) \quad S^{\otimes 2} \cong \bigoplus_{k=0}^{2n} \Lambda^k(V) \quad \text{as } \text{Pin}(V)\text{-modules.}$$

*Proof.* (a) When  $N = 1$ , it follows immediately from the descriptions of  $S$  and  $V$  given in Remark 4.1 that  $S^{\otimes 2} \cong \text{triv}^0 \cong \Lambda^0(V)$ . For  $N \geq 3$ , it follows from Lemma 4.9 that

$$S^{\otimes 2} \cong \bigoplus_{k=0}^n L(\epsilon_1 + \cdots + \epsilon_k) \cong \bigoplus_{k=0}^n \Lambda^k(V) \quad \text{as } \text{Spin}(V)\text{-modules.}$$

(b) When  $N = 0$ , it follows immediately from the descriptions of  $S$  and  $V$  given in Remark 4.1 that  $S^{\otimes 2} \cong \text{triv}^0 \cong \Lambda^0(V)$ . Now suppose  $N = 2$ . Then, in the notation of Remark 4.1, we have

$$S^{\otimes 2} \cong L_{-2} \oplus L_2 \oplus L_0^{\oplus 2}$$

as modules for  $\text{Spin}(V) \cong \mathbb{G}_m$ . As  $\text{Pin}(V)$ -modules, the summand  $L_{-2} \oplus L_2$  is isomorphic to  $\Lambda^1(V) \cong V$ . It remains to show that the summand  $L_0^{\oplus 2}$  contains the trivial  $\text{Pin}(V)$ -module  $\Lambda^0(V)$  and the nontrivial  $\text{Pin}(V)$ -module  $\Lambda^2(V)$ . As modules for the subgroup  $C_2 \subseteq \mathbb{G}_m \rtimes C_2 \cong \text{Pin}(V)$ ,  $S$  decomposes as a sum of the trivial module and the nontrivial  $C_2$ -module. Hence,  $S^{\otimes 2}$  contains two copies of the trivial  $C_2$ -module and two copies of the nontrivial  $C_2$ -module. Since the summand  $L_{-2} \oplus L_2$  contains one of each, we are done.

For  $N \geq 4$ , it follows from Lemma 4.9 and Proposition 4.11(b) that

$$\begin{aligned} S^{\otimes 2} &\cong (S^+ \otimes S) \oplus (S^- \otimes S) \\ &\cong \left( \bigoplus_{k=0}^n L(\epsilon_1 + \cdots + \epsilon_k) \right) \oplus \left( L(\epsilon_1 + \cdots + \epsilon_{n-1} - \epsilon_n) \oplus \bigoplus_{k=0}^{n-1} L(\epsilon_1 + \cdots + \epsilon_k) \right) \end{aligned}$$

$$\cong \bigoplus_{k=0}^{2n} \Lambda^k(V),$$

as  $\text{Spin}(V)$ -modules. The result then follows from Lemma 4.4 and Proposition 4.11(b).  $\square$

## 5. THE SPIN BRAUER CATEGORY

In this section, we introduce our main category of interest. We work over an arbitrary commutative ring  $\mathbb{k}$  in which 2 is invertible.

**Definition 5.1.** For  $d, D \in \mathbb{k}$  and  $\kappa \in \{\pm 1\}$ , the *spin Brauer category*  $\mathcal{SB}(d, D; \kappa)$  is the strict  $\mathbb{k}$ -linear monoidal category presented as follows. The generating objects are  $\mathbb{S}$  and  $\mathbb{V}$ , whose identity morphisms we depict by a black strand and a dotted blue strand:

$$| := 1_{\mathbb{S}}, \quad \cdot := 1_{\mathbb{V}}.$$

The generating morphisms are

$$\begin{aligned} \cap : \mathbb{S} \otimes \mathbb{S} &\rightarrow \mathbb{1}, & \cup : \mathbb{1} &\rightarrow \mathbb{S} \otimes \mathbb{S}, & \cap : \mathbb{V} \otimes \mathbb{V} &\rightarrow \mathbb{1}, & \cup : \mathbb{1} &\rightarrow \mathbb{V} \otimes \mathbb{V}, \\ \times : \mathbb{S} \otimes \mathbb{S} &\rightarrow \mathbb{S} \otimes \mathbb{S}, & \times : \mathbb{V} \otimes \mathbb{S} &\rightarrow \mathbb{S} \otimes \mathbb{V}, & \times : \mathbb{S} \otimes \mathbb{V} &\rightarrow \mathbb{V} \otimes \mathbb{S}, & \times : \mathbb{V} \otimes \mathbb{V} &\rightarrow \mathbb{V} \otimes \mathbb{V}, \\ & & \wedge : \mathbb{V} \otimes \mathbb{S} &\rightarrow \mathbb{S}. \end{aligned}$$

To state the defining relations, we will use the convention that a relation involving  $r \geq 1$  dashed red strands (as in (5.1)) means we impose the  $2^r$  relations obtained from the replacing each such strand with either a black strand or a dotted blue strand. The defining relations on morphisms are then as follows:

$$(5.1) \quad \begin{array}{c} \text{dashed red } \cap \\ \text{dashed red } \times \\ \text{dashed red } \cup \\ \text{dashed red } \cap \\ \text{dashed red } \times \end{array} = \begin{array}{c} \text{black } \cap \\ \text{black } \times \\ \text{black } \cup \\ \text{black } \cap \\ \text{black } \times \end{array}, \quad \begin{array}{c} \text{dashed red } \cup \\ \text{dashed red } \times \\ \text{dashed red } \cap \\ \text{dashed red } \times \\ \text{dashed red } \cup \end{array} = \begin{array}{c} \text{black } \cup \\ \text{black } \times \\ \text{black } \cap \\ \text{black } \times \\ \text{black } \cup \end{array},$$

$$(5.2) \quad \begin{array}{c} \text{dashed blue } \times \\ \text{dashed blue } \times \end{array} = \begin{array}{c} \text{black } \times \\ \text{black } \times \end{array}, \quad \begin{array}{c} \text{dashed blue } \times \\ \text{dashed blue } \times \end{array} = \begin{array}{c} \text{black } \times \\ \text{black } \times \end{array}$$

$$(5.3) \quad \begin{array}{c} \text{dashed blue } \cup \\ \text{dashed blue } \cup \end{array} = \begin{array}{c} \text{black } \cup \\ \text{black } \cup \end{array},$$

$$(5.4) \quad \begin{array}{c} \text{dashed blue } \cap \\ \text{dashed blue } \cap \end{array} = \kappa \begin{array}{c} \text{black } \cap \\ \text{black } \cap \end{array},$$

$$(5.5) \quad \begin{array}{c} \text{dashed blue } \wedge \\ \text{dashed blue } \wedge \end{array} + \begin{array}{c} \text{black } \wedge \\ \text{black } \wedge \end{array} = 2 \begin{array}{c} \text{black } \wedge \\ \text{black } \wedge \end{array},$$

$$(5.6) \quad \begin{array}{c} \text{dashed blue } \cap \\ \text{dashed blue } \cap \end{array} = d1_{\mathbb{1}}, \quad \begin{array}{c} \text{black } \cap \\ \text{black } \cap \end{array} = D1_{\mathbb{1}}.$$

This concludes the definition of  $\mathcal{SB}(d, D; \kappa)$ .

The third and fourth relations in (5.1) imply that  $\mathcal{SB}(d, D; \kappa)$  is a rigid monoidal category, with the objects  $\mathbb{S}$  and  $\mathbb{V}$  being self-dual. The first, second, and sixth relations in (5.1), together with (5.2) imply that  $\mathcal{SB}(d, D; \kappa)$  is symmetric monoidal, with symmetry given by the crossings. Then (5.3) implies that  $\mathcal{SB}(d, D; \kappa)$  is strict pivotal, with duality given by rotating diagrams through  $180^\circ$ . This means that diagrams are isotopy invariant, and so rotated versions of all the defining relations hold. For example, we have

$$\begin{array}{c} \text{rotated dashed red } \cup \\ \text{rotated dashed red } \cup \end{array} = \begin{array}{c} \text{rotated black } \cup \\ \text{rotated black } \cup \end{array}, \quad \begin{array}{c} \text{rotated dashed red } \cap \\ \text{rotated dashed red } \cap \end{array} = \begin{array}{c} \text{rotated black } \cap \\ \text{rotated black } \cap \end{array}, \quad \begin{array}{c} \text{rotated dashed red } \times \\ \text{rotated dashed red } \times \end{array} = \begin{array}{c} \text{rotated black } \times \\ \text{rotated black } \times \end{array}.$$

Throughout this document, we will refer to a relation by its equation number even when we are, in fact, using a rotated version of that relation.



We introduce other trivalent morphisms by successive clockwise rotation:

$$(5.7) \quad \Upsilon := \text{diagram}, \quad \wedge := \text{diagram}, \quad \Upsilon := \text{diagram}, \quad \wedge := \text{diagram}, \quad \Upsilon := \text{diagram}.$$

Since  $\mathcal{SB}(d, D; \kappa)$  is strict pivotal, the trivalent morphisms are also related in the natural way by counterclockwise rotation:

$$(5.8) \quad \text{diagram} = \Upsilon, \quad \text{diagram} = \wedge, \quad \text{diagram} = \Upsilon, \quad \text{diagram} = \wedge, \quad \text{diagram} = \Upsilon, \quad \text{diagram} = \wedge.$$

**Lemma 5.2.** *We have*

$$(5.9) \quad \text{diagram} = \kappa \wedge, \quad \text{diagram} = \kappa \wedge, \quad \text{diagram} = \kappa \wedge.$$

*Proof.* The first relation in (5.9) is simply a rewriting of (5.4), using (5.8). Then, composing on the bottom of the first relation in (5.9) with  $\times$  and using the first relation in (5.1) gives the second relation in (5.9). For the third relation in (5.9), we compute

$$\text{diagram} \stackrel{(5.2)}{=} \text{diagram} \stackrel{(5.1)}{=} \text{diagram} \stackrel{(5.4)}{=} \kappa \wedge. \quad \square$$

**Remark 5.3.** The need for the choice of  $\kappa \in \{\pm 1\}$  in the definition of the spin Brauer category arises from that fact that, under the incarnation functor to be defined in Section 6, the objects  $\mathbf{S}$  and  $\mathbf{V}$  will be sent to the spin and vector representations, respectively, of  $\text{Pin}(N)$  or  $\text{Spin}(N)$ . For some values of  $N$ , the trivial representation and the vector representation either both live in the symmetric square or both live in the exterior square of the spin representation. In this case, we can take  $\kappa = 1$ . However, for other values of  $N$ , one of the trivial or spin representations lives in the symmetric square while the other lives in the exterior square. In this case, we need to take  $\kappa = -1$ . See (6.1) and Theorem 6.1 for details.

It will also sometimes be convenient to draw horizontal strands. Since  $\mathcal{SB}(d, D; \kappa)$  is strict pivotal, the meaning of diagrams containing such strands is unambiguous. For example,

$$(5.10) \quad \text{diagram} = \text{diagram} = \text{diagram} = \text{diagram} = \text{diagram}.$$

**Lemma 5.4.** *We have*

$$(5.11) \quad \text{diagram} = d \text{diagram}.$$

*Proof.* We compute

$$2 \text{diagram} \stackrel{(5.1)}{=} \text{diagram} + \text{diagram} \stackrel{(5.5)}{=} 2 \text{diagram} \stackrel{(5.6)}{=} 2d \text{diagram}.$$

Since we have assumed that 2 is invertible in the ground ring  $\mathbb{k}$ , the result follows.  $\square$

We have an isomorphism of monoidal categories

$$(5.12) \quad \mathcal{SB}(d, D; \kappa) \rightarrow \mathcal{SB}(d, D; \kappa)^{\text{op}}$$

that is the identity on objects and reflects morphisms in the horizontal axis. Here  $\mathcal{C}^{\text{op}}$  denotes the opposite of a category  $\mathcal{C}$ . We also have an isomorphism of monoidal categories

$$(5.13) \quad \mathcal{SB}(d, D; \kappa) \rightarrow \mathcal{SB}(d, D; \kappa)^{\text{rev}}$$

that is the identity on objects and reflects morphisms in the vertical axis. Here  $\mathcal{C}^{\text{rev}}$  denotes the reverse of a monoidal category  $\mathcal{C}$ , where we reverse the order of the tensor product.

**Lemma 5.5.** *We have*

$$(5.14) \quad \text{diagram} + \text{diagram} = 2\kappa \text{diagram}.$$

*Proof.* By (5.5), we have

$$\left| \begin{array}{c} \diagdown \\ \diagup \end{array} \right| + \left| \begin{array}{c} \diagup \\ \diagdown \end{array} \right| = 2 \left| \begin{array}{c} | \\ | \end{array} \right|.$$

Then (5.14) follows after composing on top with  $\left| \begin{array}{c} \diagdown \\ \diagup \end{array} \right|$  and using (5.1), (5.2) and (5.9).  $\square$

It will be convenient to introduce a shorthand for multiple strands:

$$(5.15) \quad 0 \left| \begin{array}{c} | \\ | \end{array} \right| := 1_{\mathbb{1}}, \quad r \left| \begin{array}{c} | \cdots | \\ \underbrace{\phantom{| \cdots |}}_r \end{array} \right| := \underbrace{\left| \begin{array}{c} | \cdots | \\ \underbrace{\phantom{| \cdots |}}_r \end{array} \right|}_r, \quad r \geq 1.$$

The first two relations in (5.1) imply that we can interpret any element of the symmetric group  $\mathfrak{S}_r$  on  $r$  strands as a morphism in  $\text{End}_{\mathcal{SB}(d,D;\kappa)}(\mathbf{V}^{\otimes r})$ . For a permutation  $g \in \mathfrak{S}_r$ , let  $\text{sgn}(g)$  denote its sign. Then define the *antisymmetrizer*

$$(5.16) \quad \boxed{r} := \underbrace{\left| \begin{array}{c} | \cdots | \\ \underbrace{\phantom{| \cdots |}}_r \end{array} \right|}_r := \sum_{g \in \mathfrak{S}_r} \text{sgn}(g) \underbrace{\left( \underbrace{\phantom{| \cdots |}}_g \right)}_r, \quad r \geq 0,$$

where we label the strands by  $r$  when we wish to emphasize how many there are. Thus, for example,

$$\boxed{3} = \underbrace{\left| \begin{array}{c} | | | \\ | | | \end{array} \right|}_3 = \left| \begin{array}{c} | | | \\ | | | \end{array} \right| - \left| \begin{array}{c} | \diagdown \\ \diagup | \end{array} \right| - \left| \begin{array}{c} | \diagup \\ \diagdown | \end{array} \right| + \left| \begin{array}{c} \diagdown \diagdown \\ \diagup \diagup \end{array} \right| + \left| \begin{array}{c} \diagup \diagup \\ \diagdown \diagdown \end{array} \right| - \left| \begin{array}{c} \diagdown \diagup \\ \diagup \diagdown \end{array} \right|.$$

It follows from (5.15) that the antisymmetrizer (5.16) is  $1_{\mathbb{1}}$  when  $r = 0$ . It also follows directly from the definition that

$$(5.17) \quad \underbrace{\left| \begin{array}{c} \diagdown \diagup \\ \diagup \diagdown \end{array} \right|}_r^{s \quad r-s-2} = - \underbrace{\left| \begin{array}{c} | \\ | \end{array} \right|}_r \quad \text{for all } 0 \leq s \leq r-2.$$

**Proposition 5.6.** *Suppose that  $r$  is a positive integer such that either*

- *$r$  is even and invertible, or*
- *$r$  is odd and  $r-d$  is invertible.*

*Then*

$$(5.18) \quad \underbrace{\left( \underbrace{\phantom{| \cdots |}}_r \right)}_r = 0.$$

*Proof.* We have

$$\begin{aligned} d \underbrace{\left( \underbrace{\phantom{| \cdots |}}_r \right)}_r &\stackrel{(5.11)}{=} \underbrace{\left( \underbrace{\phantom{| \cdots |}}_r \right)}_r \stackrel{(5.14)}{=} - \underbrace{\left( \underbrace{\phantom{| \cdots |}}_{r-1} \right)}_r + 2\kappa \underbrace{\left( \underbrace{\phantom{| \cdots |}}_{r-1} \right)}_r \\ &\stackrel{(5.14)}{=} \underbrace{\left( \underbrace{\phantom{| \cdots |}}_{r-2} \right)}_r - 2\kappa \underbrace{\left( \underbrace{\phantom{| \cdots |}}_{r-2} \right)}_r + 2\kappa \underbrace{\left( \underbrace{\phantom{| \cdots |}}_{r-1} \right)}_r = \cdots = (-1)^r \underbrace{\left( \underbrace{\phantom{| \cdots |}}_r \right)}_r + 2\kappa \sum_{s=0}^{r-1} (-1)^s \underbrace{\left( \underbrace{\phantom{| \cdots |}}_{r-s-1} \right)}_s \\ &\stackrel{(5.17)}{=} (-1)^r \underbrace{\left( \underbrace{\phantom{| \cdots |}}_r \right)}_r + 2\kappa \sum_{s=0}^{r-1} \underbrace{\left( \underbrace{\phantom{| \cdots |}}_{r-1} \right)}_r \stackrel{(5.11)}{=} (2r + (-1)^r d) \underbrace{\left( \underbrace{\phantom{| \cdots |}}_r \right)}_r. \end{aligned}$$

Thus

$$(2r + ((-1)^r - 1)d) \underbrace{\left( \underbrace{\phantom{| \cdots |}}_r \right)}_r = 0.$$

If  $r$  is even, then the coefficient on the left-hand side above is  $2r$  and so (5.18) follows when  $r$  is invertible. If  $r$  is odd, then the coefficient is  $2(r - d)$  and (5.18) follows as long as  $r - d$  is invertible.  $\square$

**Remark 5.7.** Note that the case  $r = d \in 2\mathbb{N} + 1$  is not covered by Proposition 5.6. In fact, the diagram in (5.18) is *not* zero in this case; see Remark 7.2.

**Definition 5.8.** For  $d \in 2\mathbb{N} + 1$ , let  $\overline{\mathcal{SB}}(d, D; \kappa)$  denote the quotient of  $\mathcal{SB}(d, D; \kappa)$  by the relation

$$(5.19) \quad \begin{array}{c} \boxed{d} \\ \circ \\ \circ \\ \boxed{d} \end{array} = D^2(d!)^2 \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \boxed{d}.$$

For  $d \notin 2\mathbb{N} + 1$ , let  $\overline{\mathcal{SB}}(d, D; \kappa) = \mathcal{SB}(d, D; \kappa)$ .

The next result gives sufficient conditions under which all closed diagrams in  $\overline{\mathcal{SB}}(d, D; \kappa)$  can be reduced to a multiple of the empty diagram  $1_{\mathbb{1}}$ .

**Proposition 5.9.** *Suppose that  $\mathbb{k}$  is a  $\mathbb{Q}$ -algebra and  $r - d$  is invertible for all  $r \in (2\mathbb{N} + 1) \setminus \{d\}$ . (For instance, this is satisfied when  $\mathbb{k}$  is a field of characteristic zero.) Then  $\text{End}_{\overline{\mathcal{SB}}(d, D; \kappa)}(\mathbb{1}) = \mathbb{k}1_{\mathbb{1}}$ .*

*Proof.* We give an algorithm to simplify any diagram in  $\text{End}_{\overline{\mathcal{SB}}(d, D; \kappa)}(\mathbb{1})$  to a scalar multiple of the identity. Throughout, we freely use our observations about isotopy invariance of diagrams in  $\text{End}_{\mathcal{SB}(d, D; \kappa)}(\mathbb{1})$ , as discussed immediately after the definition of  $\mathcal{SB}(d, D; \kappa)$ . We proceed by induction on the number of trivalent vertices in the diagram.

Suppose we have a diagram with at least one trivalent vertex. Consider the black curve that is part of that trivalent vertex. Since our diagram has no sources or sinks, this curve is part of a loop. Our first goal is to remove all self-intersections of this loop, and make the interior of this loop empty. We can separate all other black strands from this loop and remove self-intersections of this loop using (5.1) and (5.2). We separate all other dotted blue strands that do not have any trivalent vertices on this loop in the same manner. We can then use the same techniques, in addition to (5.4), to ensure the interior of this loop is empty. Let  $r$  be the number of trivalent vertices on this loop.

Unless  $r = d$  and  $d$  an odd number, we have

$$0 \stackrel{(5.18)}{=} \begin{array}{c} \circ \\ \text{---} \\ \boxed{r} \end{array} \stackrel{(5.5)}{=} r! \begin{array}{c} \circ \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \boxed{r} + A,$$

where  $A$  is a linear combination of diagrams with fewer than  $r$  dotted blue strands attached to the black circle. Since  $\mathbb{k}$  is a  $\mathbb{Q}$ -algebra,  $r!$  is invertible in  $\mathbb{k}$ . We can then use this relation to write our diagram as a linear combination of diagrams with fewer trivalent vertices, as is our inductive goal.

Suppose instead that  $r = d$  is an odd positive integer. Since the total number of trivalent vertices is even, there must be another black loop with a trivalent vertex. We can repeat the process discussed above with that loop, and can either rewrite in terms of diagrams with fewer trivalent vertices, or that other loop also has  $r$  trivalent vertices, in which case we end up with a subdiagram of the form



Then we have

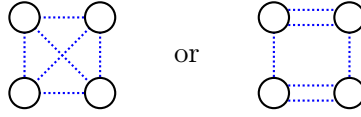
$$D^2(r!)^2 \begin{array}{c} \text{---} \\ \text{---} \\ \boxed{r} \end{array} \stackrel{(5.19)}{=} \begin{array}{c} \circ \\ \text{---} \\ \boxed{r} \end{array} \begin{array}{c} \circ \\ \text{---} \\ \boxed{r} \end{array} \stackrel{(5.5)}{=} (r!)^2 \begin{array}{c} \circ \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \boxed{r} + A,$$

where again  $A$  is a linear combination of diagrams with fewer trivalent vertices, and we proceed as before.

This completes the inductive step and reduces us to considering the case where there are zero trivalent vertices. In this case, the relations (5.1) (which are the same as in the Brauer category) suffice to rewrite our diagrams as a disjoint union of circles, which are evaluated as scalars by (5.6).  $\square$

**Remark 5.10.** (a) Note that Proposition 5.9 does not imply that  $\text{End}_{\overline{\mathcal{SB}}(d,D;\kappa)}(\mathbb{1})$  is a free  $\mathbb{k}$ -module of rank one. Rather, it states that it is spanned by  $1_{\mathbb{1}}$ . A priori, this endomorphism algebra could be a quotient of  $\mathbb{k}$ . However, see Corollary 6.2 for conditions that insure it is free of rank one.

(b) When  $d$  is an odd positive integer, the authors believe that Proposition 5.9 is false when  $\overline{\mathcal{SB}}(d,D;\kappa)$  is replaced by  $\mathcal{SB}(d,D;\kappa)$ , because of the condition on  $r$  in Proposition 5.6 (see Remark 5.7). For instance, if  $d = 3$ , the authors do not now how to reduce the diagrams



to a scalar multiple of the empty diagram  $1_{\mathbb{1}}$  without the additional relation (5.19).

**Lemma 5.11.** *We have*

$$(5.20) \quad \left| \begin{array}{c} \vdots \\ \vdots \\ \vdots \end{array} \right| + 2 \left| \begin{array}{c} \vdots \\ \vdots \\ \vdots \\ \vdots \end{array} \right| + \left| \begin{array}{c} \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \end{array} \right| = 4 \left| \begin{array}{c} \vdots \\ \vdots \end{array} \right|.$$

*Proof.* We have

$$\left| \begin{array}{c} \vdots \\ \vdots \\ \vdots \end{array} \right| + 2 \left| \begin{array}{c} \vdots \\ \vdots \\ \vdots \\ \vdots \end{array} \right| + \left| \begin{array}{c} \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \end{array} \right| \stackrel{(5.14)}{=} 2\kappa \left| \begin{array}{c} \vdots \\ \vdots \\ \vdots \\ \vdots \end{array} \right| + 2\kappa \left| \begin{array}{c} \vdots \\ \vdots \\ \vdots \\ \vdots \end{array} \right| \stackrel{(5.2)}{=} \stackrel{(5.5)}{=} \stackrel{(5.9)}{=} 4 \left| \begin{array}{c} \vdots \\ \vdots \end{array} \right|. \quad \square$$

**Remark 5.12.** The image of the relation (5.20) under the incarnation functor to be defined in Theorem 6.1 corresponds to [Wen20, Lem. 1.3], which plays a key role in the arguments of that paper. Note that our  $\beta$ , defined in (6.15), is equal to  $2C$ , where  $C$  is defined in [Wen20, §1.4].

We conclude this section with two lemmas that will be needed in the sequel.

**Lemma 5.13.** *We have*

$$(5.21) \quad \boxed{r} = d(d-1) \cdots (d-r+1) 1_{\mathbb{1}}, \quad r \in \mathbb{N},$$

where we interpret the right-hand side as  $1_{\mathbb{1}}$  when  $r = 0$ .

*Proof.* We prove the result by induction on  $r$ . The base case  $r = 0$  is immediate. (The case  $r = 1$  is the first relation in (5.6).) For the inductive step, note that

$$(5.22) \quad \boxed{r+1} = \sum_{i=0}^r (-1)^i \begin{array}{c} \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \end{array} \begin{array}{c} \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \end{array}.$$

Thus,

$$\boxed{r+1} \stackrel{(5.22)}{=} \boxed{r} \begin{array}{c} \circ \\ \circ \end{array} + \sum_{i=1}^r (-1)^i r^{r-i} \begin{array}{c} \circ \\ \circ \\ \vdots \\ \circ \\ \circ \\ \circ \\ \circ \\ \circ \\ \circ \end{array} \stackrel{(5.17)}{=} (d-r) \boxed{r} = d(d-1) \cdots (d-r)$$

by the inductive hypothesis.  $\square$



Fix a basis  $\mathbf{B}_S$  of  $S$ , and let  $\mathbf{B}_S^\vee = \{x^\vee : x \in \mathbf{B}_S\}$  denote the left dual basis with respect to  $\Phi_S$ , defined by

$$\Phi_S(x^\vee, y) = \delta_{x,y}, \quad x, y \in \mathbf{B}_S.$$

We fix a basis  $\mathbf{B}_V$  of  $V$  and define the left dual basis  $\mathbf{B}_V^\vee = \{v^\vee : v \in V\}$  similarly. Then we have  $G(V)$ -module homomorphisms

$$(6.3) \quad \Phi_S^\vee: \mathbb{k} \rightarrow S \otimes S, \quad \lambda \mapsto \lambda \sum_{x \in \mathbf{B}_S} x \otimes x^\vee, \quad \lambda \in \mathbb{k},$$

$$(6.4) \quad \Phi_V^\vee: \mathbb{k} \rightarrow V \otimes V, \quad \lambda \mapsto \lambda \sum_{v \in \mathbf{B}_V} v \otimes v^\vee, \quad \lambda \in \mathbb{k}.$$

These are independent of the choices of bases.

It follows from Proposition 4.7 and the fact that the form  $\Phi_V$  is symmetric that the left dual bases of  $\mathbf{B}_V^\vee$  and  $\mathbf{B}_S^\vee$  are given by

$$(6.5) \quad (v^\vee)^\vee = v, \quad v \in \mathbf{B}_V, \quad \text{and} \quad (x^\vee)^\vee = \sigma_N x, \quad x \in \mathbf{B}_S,$$

respectively.

For any  $\mathbb{k}$ -modules  $U$  and  $W$ , we define the linear map

$$(6.6) \quad \text{flip} = \text{flip}_{U,W}: U \otimes W \rightarrow W \otimes U, \quad u \otimes w \mapsto w \otimes u,$$

extended by linearity. If  $U$  and  $W$  are  $G(V)$ -modules, then flip is a homomorphism of  $G(V)$ -modules. We also let

$$(6.7) \quad \tau: V \otimes S \rightarrow S, \quad v \otimes x \mapsto vx,$$

denote the homomorphism of  $G(V)$ -modules induced by multiplication in the Clifford algebra  $\text{Cl}(V)$ ; see Remark 2.1.

**Theorem 6.1.** *There is a unique monoidal functor*

$$\mathbf{F}: \mathcal{SB}(V) \rightarrow G(V)\text{-mod}$$

given on objects by  $S \mapsto S$ ,  $V \mapsto V$ , and on morphisms by

$$(6.8) \quad \cap \mapsto \Phi_S, \quad \cup \mapsto \Phi_V, \quad \text{Y} \mapsto \tau,$$

$$(6.9) \quad \times \mapsto \sigma_N \text{flip}_{S,S}, \quad \times \mapsto \text{flip}_{S,V}, \quad \times \mapsto \text{flip}_{V,S}, \quad \times \mapsto \text{flip}_{V,V}.$$

Furthermore, we have

$$(6.10) \quad \cup \mapsto \Phi_S^\vee, \quad \cup \mapsto \Phi_V^\vee.$$

We call  $\mathbf{F}$  the *incarnation functor*.

*Proof.* We first show that (6.8) to (6.10) indeed yield a functor  $\mathbf{F}$ . We must show that  $\mathbf{F}$  respects the relations of Definition 5.1. The fifth relation in (5.1) follows from Proposition 4.7 and the fact that  $\Phi_V$  is symmetric. The remaining relations in (5.1) are straightforward. Relation (5.2) is also straightforward.

The image under  $\mathbf{F}$  of the left-hand side of (5.3) is the map  $S \rightarrow S \otimes V$  given by

$$\begin{aligned} x \mapsto \sum_{\substack{v \in \mathbf{B}_V \\ y \in \mathbf{B}_S}} x \otimes v \otimes y \otimes y^\vee \otimes v^\vee &\mapsto \sum_{\substack{v \in \mathbf{B}_V \\ y \in \mathbf{B}_S}} x \otimes vy \otimes y^\vee \otimes v^\vee \mapsto \sum_{\substack{v \in \mathbf{B}_V \\ y \in \mathbf{B}_S}} \Phi_S(x, vy) y^\vee \otimes v^\vee \\ &\stackrel{(4.17)}{=} (-1)^{nN} \sum_{\substack{v \in \mathbf{B}_V \\ y \in \mathbf{B}_S}} \Phi_S(vx, y) y^\vee \otimes v^\vee = (-1)^{nN} \sum_{v \in \mathbf{B}_V} vx \otimes v^\vee. \end{aligned}$$

On the other hand, the image under  $\mathbf{F}$  of the diagram in the right-hand side of (5.3) is the map given by

$$\begin{aligned} x \mapsto \sum_{\substack{v \in \mathbf{B}_V \\ y \in \mathbf{B}_S}} y \otimes v \otimes v^\vee \otimes y^\vee \otimes x &\mapsto \sum_{\substack{v \in \mathbf{B}_V \\ y \in \mathbf{B}_S}} y \otimes v \otimes v^\vee y^\vee \otimes x \mapsto \sum_{\substack{v \in \mathbf{B}_V \\ y \in \mathbf{B}_S}} \Phi_S(v^\vee y^\vee, x) y \otimes v \\ &\stackrel{(4.17)}{=} (-1)^{nN} \sum_{\substack{v \in \mathbf{B}_V \\ y \in \mathbf{B}_S}} \Phi_S(y^\vee, v^\vee x) y \otimes v = (-1)^{nN} \sum_{v \in \mathbf{B}_V} v^\vee x \otimes v \stackrel{(6.5)}{=} (-1)^{nN} \sum_{v \in \mathbf{B}_V} vx \otimes v^\vee. \end{aligned}$$

Since  $\kappa_N = (-1)^{nN}$ ,  $\mathbf{F}$  respects relation (5.3).

The image under  $\mathbf{F}$  of the left-hand side of (5.4) is the map  $S \otimes V \mapsto S$  given by

$$x \otimes v \mapsto v \otimes x \mapsto vx.$$

On the other hand, the image under  $\mathbf{F}$  of the right-hand side of (5.4) is the map given by

$$\begin{aligned} x \otimes v \mapsto \sum_{y \in \mathbf{B}_S} x \otimes v \otimes y \otimes y^\vee &\mapsto \sum_{y \in \mathbf{B}_S} x \otimes vy \otimes y^\vee \mapsto \sum_{y \in \mathbf{B}_S} \Phi_S(x, vy) y^\vee \\ &\stackrel{(4.17)}{=} (-1)^{nN} \sum_{y \in \mathbf{B}_S} \Phi_S(vx, y) y^\vee = (-1)^{nN} vx. \end{aligned}$$

Thus,  $\mathbf{F}$  respects (5.4).

The image under  $\mathbf{F}$  of the left-hand side of relation (5.5) is the map  $V \otimes V \otimes S \rightarrow S$  given by

$$v \otimes w \otimes x \mapsto (vw + wv)x \stackrel{(2.1)}{=} 2\Phi_V(v, w)x,$$

which agrees with the image under  $\mathbf{F}$  of the right-hand side of (5.5).

For the first relation in (5.6), we use the fact that  $\Phi_V$  is symmetric and that  $\dim_{\mathbb{k}}(V) = N$  to compute

$$\mathbf{F}(\textcircled{\circ})(1) = \sum_{v \in \mathbf{B}_V} \Phi_V(v, v^\vee) = \sum_{v \in \mathbf{B}_V} \Phi_V(v^\vee, v) = N.$$

Finally, for the second relation in (5.6), we use Proposition 4.7 and the fact that  $\dim_{\mathbb{k}}(S) = 2^n$  to compute

$$\mathbf{F}(\textcircled{\circ})(1) = \sum_{x \in \mathbf{B}_S} \Phi_S(x, x^\vee) = \sum_{x \in \mathbf{B}_S} \sigma_N \Phi_S(x^\vee, x) = \sigma_N 2^n.$$

It remains to prove that, for any functor as in the first sentence of the theorem, we have (6.10). Suppose that

$$\mathbf{F}(\cup): 1 \mapsto \sum_{x, y \in \mathbf{B}_S} a_{xy} x \otimes y, \quad a_{xy} \in \mathbb{k}.$$

Then, for all  $z \in \mathbf{B}_S$ , we have

$$z = \mathbf{F}\left(\left|\right.\right)(z) = \left(\textcircled{\cup}\right)(z) = \sum_{x, y \in \mathbf{B}_S} a_{xy} \Phi_S(y, z)x = \sum_{x \in \mathbf{B}_S} a_{xz} z.$$

It follows that  $a_{xz} = \delta_{xz}$  for all  $x, z \in \mathbf{B}_S$ , and so  $\mathbf{F}(\cup) = \Phi_S^\vee$ . The proof that  $\mathbf{F}(\textcircled{\cup}) = \Phi_V^\vee$  is analogous.  $\square$

**Corollary 6.2.** *Let  $\mathbb{k}_0 = \mathbb{Q}[d, D][\frac{1}{d-1}, \frac{1}{d-3}, \frac{1}{d-5}, \dots]$ , and suppose that  $\mathbb{k}$  is a commutative  $\mathbb{k}_0$ -algebra. (In particular, this holds when  $\mathbb{k}$  is a field of characteristic zero and  $d \notin 2\mathbb{N} + 1$ .) Then*

$$\text{End}_{\mathcal{SB}(d, D; 1)}(\mathbb{1}) \cong \mathbb{k}.$$

*Proof.* It suffices to prove the result when  $\mathbb{k} = \mathbb{k}_0$ , since the general result then follows after extending scalars from  $\mathbb{k}_0$  to  $\mathbb{k}$ . By Proposition 5.9, we have  $\text{End}_{\mathcal{SB}(d,D,1)}(\mathbb{1}) \cong \mathbb{k}_0/I$  for some ideal  $I$  of  $\mathbb{k}_0$ . Suppose there exists a nonzero element  $f(d, D) \in I$ . Then there exists a positive integer  $n$  such that  $f\left(2n, (-1)^{\binom{n}{2}}2^{2n}\right) \neq 0$ . Viewing  $\mathbb{C}$  as a  $\mathbb{k}_0$ -module via the map  $d \mapsto 2n$ ,  $D \mapsto (-1)^{\binom{n}{2}}2^{2n}$ , we can extend scalars in  $\mathcal{SB}(d, D; 1)$  and we then have an incarnation functor

$$\mathcal{SB}(d, D; 1) \otimes_{\mathbb{k}_0} \mathbb{C} \rightarrow \text{G}(V)\text{-mod.}$$

This functor sends  $f1_{\mathbb{1}}$  to a nonzero element of  $\text{End}_{\text{G}(V)}(\text{triv}^0)$ , which is a contradiction.  $\square$

Our next goal is to show that the incarnation functor factors through  $\overline{\mathcal{SB}}(V)$ .

**Lemma 6.3.** *When  $N$  is an odd positive integer, we have*

$$(6.11) \quad \mathbf{F} \left( \begin{array}{c} \textcircled{\phantom{0}} \\ \vdots \\ \boxed{N} \\ \vdots \\ \textcircled{\phantom{0}} \end{array} \right) = 2^{N-1}(N!)^2.$$

*Proof.* Let

$$Y = \{-n, 1-n, \dots, n\}.$$

In what follows, we will use the fact that

$$(6.12) \quad \Phi_S(x_I, x_I^\vee) \stackrel{(4.26)}{=} (-1)^{\binom{n}{2}+n(2n+1)} \Phi_S(x_I^\vee, x_I) = (-1)^{\binom{n+1}{2}} \quad \text{for all } I \subseteq [n].$$

Recall the definition of  $\psi_i$  for  $i \leq 0$  from (2.11). The dual of the ordered basis  $\{\psi_{-n}, \psi_{1-n}, \dots, \psi_n\}$  of  $V$  is  $\{2\psi_n, 2\psi_{n-1}, \dots, 2\psi_{-n}\}$ . Therefore, by (5.7) and (6.10)

$$\mathbf{F} \left( \begin{array}{c} \textcircled{\phantom{0}} \\ \vdots \\ \textcircled{\phantom{0}} \end{array} \right) : x \mapsto 2 \sum_{i=-n}^n \psi_{-i} \otimes \psi_i x.$$

Thus,

$$\mathbf{F} \left( \begin{array}{c} \textcircled{\phantom{0}} \\ \vdots \\ \boxed{N} \\ \vdots \\ \textcircled{\phantom{0}} \end{array} \right) = \mathbf{F} \left( \begin{array}{c} \textcircled{\phantom{0}} \\ \vdots \\ \textcircled{\phantom{0}} \end{array} \right)$$

is the map

$$\begin{aligned} 1 &\mapsto \sum_{I \subseteq [n]} x_I \otimes x_I^\vee \\ &\mapsto 2^N \sum_{I \subseteq [n]} \sum_{i_{-n}, i_{1-n}, \dots, i_n = -n}^n \psi_{-i_n} \otimes \psi_{-i_{n-1}} \otimes \cdots \otimes \psi_{-i_n} \otimes \psi_{i_n} \cdots \psi_{i_{n-1}} \psi_{i_n} x_I \otimes x_I^\vee \\ &\mapsto 2^N \sum_{I \subseteq [n]} \sum_{i_{-n}, i_{1-n}, \dots, i_n = -n}^n \Phi_S(\psi_{i_{-n}} \cdots \psi_{i_{n-1}} \psi_{i_n} x_I, x_I^\vee) \psi_{-i_n} \otimes \psi_{-i_{n-1}} \otimes \cdots \otimes \psi_{-i_n}. \end{aligned}$$

Applying the antisymmetrizer  $\mathbf{F} \left( \begin{array}{c} \textcircled{\phantom{0}} \\ \vdots \\ \boxed{N} \\ \vdots \\ \textcircled{\phantom{0}} \end{array} \right)$ , which annihilates any terms for which the map  $j \mapsto i_j$  is not some permutation  $\varpi \in \mathfrak{S}_Y$ , we obtain

$$\begin{aligned} &2^N \sum_{\varpi, \varpi' \in \mathfrak{S}_Y} \text{sgn}(\varpi') \sum_{I \subseteq [n]} \Phi_S(\psi_{\varpi(-n)} \cdots \psi_{\varpi(n-1)} \psi_{\varpi(n)} x_I, x_I^\vee) \psi_{-\varpi\varpi'(n)} \otimes \psi_{-\varpi\varpi'(n-1)} \otimes \cdots \otimes \psi_{-\varpi\varpi'(-n)} \\ &\stackrel{(2.12)}{=} 2^{N-1/2} \varepsilon \sum_{\varpi, \varpi' \in \mathfrak{S}_Y} \text{sgn}(\varpi\varpi') \Phi_S(x_{I_\varpi}, x_{I_\varpi}^\vee) \psi_{-\varpi\varpi'(n)} \otimes \psi_{-\varpi\varpi'(n-1)} \otimes \cdots \otimes \psi_{-\varpi\varpi'(-n)} \end{aligned}$$



$$\begin{aligned}
 & \stackrel{(6.12)}{=} 2^{N-1/2} \varepsilon(-1) \binom{n+1}{2} \sum_{\varpi, \varpi' \in \mathfrak{S}_Y} \operatorname{sgn}(\varpi \varpi') \psi_{-\varpi \varpi'(n)} \otimes \psi_{-\varpi \varpi'(n-1)} \otimes \cdots \otimes \psi_{-\varpi \varpi'(-n)} \\
 & = 2^{N-1/2} \varepsilon(-1) \binom{n+1}{2} N! \sum_{\varpi \in \mathfrak{S}_Y} \operatorname{sgn}(\varpi) \psi_{\varpi(-n)} \otimes \psi_{\varpi(1-n)} \otimes \cdots \otimes \psi_{\varpi(n)},
 \end{aligned}$$

where, in the last equality, we re-indexed the summation, noting that the sign of the permutation  $\varpi(-j) \mapsto -\varpi \varpi'(j)$  is  $\operatorname{sgn}(\omega')$ .

We now apply

$$\mathbf{F} \left( \begin{array}{c} \bigcirc \\ \vdots \\ N \end{array} \right) = \mathbf{F} \left( \begin{array}{c} N \\ \bigcirc \end{array} \right)$$

to obtain

$$\begin{aligned}
 & 2^{N-1/2} \varepsilon(-1) \binom{n+1}{2} N! \sum_{\varpi \in \mathfrak{S}_Y} \sum_{I \subseteq [n]} \operatorname{sgn}(\varpi) \Phi_S(\psi_{\varpi(-n)} \psi_{\varpi(1-n)} \psi_{\varpi(n)} x_I, x_I^\vee) \\
 & \stackrel{(2.12)}{=} 2^{N-1} (-1) \binom{n+1}{2} N! \sum_{\varpi \in \mathfrak{S}_Y} \Phi_S(x_{I_\varpi}, x_{I_\varpi}^\vee) \stackrel{(6.12)}{=} 2^{N-1} (N!)^2. \quad \square
 \end{aligned}$$

**Theorem 6.4.** *The incarnation functor  $\mathbf{F}$  of Theorem 6.1 factors through  $\overline{\mathcal{SB}}(V)$ .*

*Proof.* If  $N$  is even, there is nothing to prove, since  $\overline{\mathcal{SB}}(V) = \mathcal{SB}(V)$  in this case. Therefore, we suppose that  $N$  is odd. The images under  $\mathbf{F}$  of the two sides of (5.19) are endomorphisms of  $\operatorname{End}_{\mathbb{G}(V)}(\Lambda^N(V))$ , which is one dimensional. Therefore, there exists a scalar  $a \in \mathbb{k}$  such

$$\mathbf{F} \left( \begin{array}{c} \boxed{N} \\ \bigcirc \\ \bigcirc \\ \boxed{N} \end{array} \right) = a \mathbf{F} \left( \begin{array}{c} \vdots \\ \boxed{N} \end{array} \right).$$

We then have

$$2^{N-1} (N!)^2 \stackrel{(6.11)}{=} \mathbf{F} \left( \begin{array}{c} \bigcirc \\ \vdots \\ \boxed{N} \\ \vdots \\ \bigcirc \end{array} \right) \stackrel{(5.17)}{=} \frac{1}{N!} \mathbf{F} \left( \begin{array}{c} \bigcirc \\ \vdots \\ \boxed{N} \\ \vdots \\ \bigcirc \end{array} \right) = \frac{a}{N!} \mathbf{F} \left( \begin{array}{c} \boxed{N} \end{array} \right) \stackrel{(5.21)}{=} a.$$

It follows that  $a = 2^{N-1} (N!)^2$ , as desired.  $\square$

**Lemma 6.5.** *We have*

$$(6.13) \quad \mathbf{F} \left( \begin{array}{c} \bigcirc \\ \vdots \\ \bigcirc \end{array} \right) : S \otimes V \rightarrow S, \quad x \otimes v \mapsto (-1)^{nN} vx,$$

$$(6.14) \quad \mathbf{F} \left( \begin{array}{c} \vdots \\ \bigcirc \end{array} \right) : S \otimes S \rightarrow S \otimes S, \quad x \otimes y \mapsto (-1)^{nN} \beta(x \otimes y),$$

where

$$(6.15) \quad \beta := \sum_{i=1}^N e_i \otimes e_i \in \operatorname{Cl}^{\otimes 2}.$$

*Proof.* Using (5.9), we see that (6.13) follows from the part of the proof of Theorem 6.1 where we verified (5.4). Then we have

$$\mathbf{F} \left( \begin{array}{c} \vdots \\ \bigcirc \end{array} \right) \stackrel{(5.10)}{=} \mathbf{F} \left( \begin{array}{c} \bigcirc \\ \vdots \\ \bigcirc \end{array} \right) : x \otimes y \mapsto (-1)^{nN} \sum_{i=1}^n e_i x \otimes e_i y = (-1)^{nN} \beta(x \otimes y). \quad \square$$

**Remark 6.6.** There are other possible incarnation functors. In particular, for  $m, k \in \mathbb{N}$ , let  $\mathrm{OSp}(m|2k)$  be the corresponding orthosymplectic supergroup, defined to be the supergroup preserving a nondegenerate supersymmetric bilinear form  $\Phi_W$  on a vector superspace  $W$  whose even part has dimension  $m$  and odd part has dimension  $2k$ . Then there is a unique monoidal functor

$$\mathcal{SB}(N, \sigma_N(m-2k)2^n; \kappa_N) \rightarrow (\mathrm{G}(V) \times \mathrm{OSp}(m|2k))\text{-mod}$$

given on objects by  $\mathbf{S} \mapsto S \otimes W$ ,  $\mathbf{V} \mapsto V$ , and on morphisms by

$$\begin{aligned} \cap &\mapsto \Phi_S \otimes \Phi_W, & \cup &\mapsto \Phi_V, & \frown &\mapsto \tau \otimes \mathrm{id}_W, \\ \times &\mapsto \sigma_N \mathrm{flip}_{S \otimes W, S \otimes W}, & \times &\mapsto \mathrm{flip}_{S \otimes W, V}, & \times &\mapsto \mathrm{flip}_{V, S \otimes W}, & \times &\mapsto \mathrm{flip}_{V, V}, \end{aligned}$$

where we now use the super analogue of the map  $\mathrm{flip}$  of (6.6), given by  $u \otimes w \mapsto (-1)^{\bar{u}\bar{w}} w \otimes u$ , where  $\bar{v}$  is the parity of a homogeneous vector  $v$ . The proof of the existence and uniqueness of this functor is similar to that of Theorem 6.1, as is the proof that it factors through  $\overline{\mathcal{SB}}(N, \sigma_N(m-2k)2^n; \kappa_N)$ .

**Corollary 6.7.** *Suppose that  $\mathbb{k}$  is a  $\mathbb{Q}$ -algebra and  $d$  is an odd positive integer. Then*

$$\mathrm{End}_{\overline{\mathcal{SB}}(d, D, \kappa_d)}(\mathbb{1}) \cong \mathbb{k}.$$

*Proof.* The proof is analogous to that of Corollary 6.2, using the extra incarnation functors of Remark 6.6.  $\square$

**Remark 6.8.** When  $\mathbb{k}$  is a field of characteristic not equal to two, we have an incarnation functor from  $\mathcal{SB}(V)$  to the category of tilting modules for the group  $\mathrm{Spin}(V)$ , given in an analogous manner to Theorem 6.1. This functor exists since our constructions can be carried out over  $\mathbb{Z}[\frac{1}{2}]$ , the defining and spin representations are tilting away from characteristic two, and the category of tilting modules is closed under tensor products. The restriction on the characteristic is necessary since the module  $V$  is not tilting in characteristic two. We expect that this incarnation functor is full.

## 7. ESSENTIAL SURJECTIVITY OF THE INCARNATION FUNCTOR

In this section, we prove that, after passing to the additive Karoubi envelope, the incarnation functor is essentially surjective, i.e., it induces a surjection on isomorphism classes of objects. We begin by defining idempotents in the spin Brauer category that correspond, under the incarnation functor, to projections onto the simple summands of the tensor products  $S^{\otimes 2}$ , as in Corollary 4.12, and onto the summand  $S$  in  $S \otimes V$ . We then use these idempotents to prove the desired essential surjectivity. Throughout this section we assume that  $\mathbb{k}$  is a field of characteristic zero. When we make a statement about the incarnation functor, we further assume that  $\mathbb{k} = \mathbb{C}$ .

Recall the definition of the antisymmetrizer (5.16). If  $D \neq 0$ , define

$$(7.1) \quad \pi_r := \frac{1}{D(r!)^2} \begin{array}{c} \cup \\ \vdots \\ \boxed{r} \\ \vdots \\ \cap \end{array} \in \mathrm{End}_{\mathcal{SB}(d, D; \kappa)}(S^{\otimes 2}), \quad r \in \mathbb{N}.$$

**Proposition 7.1.** (a) *If  $d \notin 2\mathbb{N} + 1$ , then  $\pi_r \pi_s = 0$  for all  $r \neq s$ .*

(b) *If  $d \in 2\mathbb{N} + 1$ , then  $\pi_r \pi_s = 0$  for all  $r \neq s$ ,  $0 \leq r + s < d$ .*

(c) *If  $d \notin \{0, 1, \dots, r-1\}$ , then  $\pi_r^2 = \pi_r$ .*

(d) *If  $d = N$ ,  $D = \sigma_N 2^n$ , and  $0 \leq r \leq N$ , then  $\mathbf{F}(\pi_r)$  is the projection  $S^{\otimes 2} \rightarrow \Lambda^r(V)$  with respect to the decompositions of Corollary 4.12.*

*Proof.* Recall that, for  $r, s \in \mathbb{N}$ , an  $(r, s)$ -shuffle is a permutation  $g$  of the set  $\{1, \dots, r+s\}$  such that

$$g(1) < \dots < g(r) \quad \text{and} \quad g(r+1) < \dots < g(r+s).$$

The set  $\text{Sh}(r, s)$  of  $(r, s)$ -shuffles is a complete set of representatives of the left cosets of the subgroup  $\mathfrak{S}_r \times \mathfrak{S}_s$  of  $\mathfrak{S}_{r+s}$ . Thus, we have

$$(7.2) \quad \begin{array}{c} \circ \\ | \\ \boxed{r+s} \end{array} = \sum_{g \in \text{Sh}(r,s)} \text{sgn}(g) \begin{array}{c} \circ \\ | \\ \boxed{g} \\ | \\ \boxed{r} \quad \boxed{s} \end{array} \stackrel{(5.5)}{=} \sum_{g \in \text{Sh}(r,s)} \begin{array}{c} \text{---} \\ | \quad | \\ \boxed{r} \quad \boxed{s} \end{array} + \sum_{a=1}^{\min(r,s)} c_a \begin{array}{c} \text{---} \\ | \quad | \\ \boxed{r} \quad \boxed{s} \end{array},$$

for some  $c_a \in \mathbb{k}$ ,  $1 \leq a \leq \min(r, s)$ .

We now prove (a) by induction on  $r + s$ . Suppose  $d \notin 2\mathbb{N} + 1$  and  $r \neq s$ . It suffices to show that

$$\begin{array}{c} \text{---} \\ | \quad | \\ \boxed{r} \quad \boxed{s} \end{array} = 0.$$

For the base case  $r + s = 1$ , the result follows immediately from the  $r = 1$  case of (5.18). Now suppose  $r + s > 1$ . Then, for  $1 \leq a \leq \min(r, s)$ , we have

$$\begin{array}{c} \text{---} \\ | \quad | \\ \boxed{r} \quad \boxed{s} \end{array} \stackrel{(5.17)}{=} \frac{1}{(r-a)!(s-a)!} \begin{array}{c} \text{---} \\ | \quad | \\ \boxed{r-a} \quad \boxed{s-a} \\ | \quad | \\ \boxed{r} \quad \boxed{s} \end{array} = 0,$$

where the last equality follows from the inductive hypothesis. Therefore,

$$(7.3) \quad 0 \stackrel{(5.18)}{=} \begin{array}{c} \circ \\ | \\ \boxed{r+s} \end{array} \stackrel{(7.2)}{=} \frac{(r+s)!}{r!s!} \begin{array}{c} \text{---} \\ | \quad | \\ \boxed{r} \quad \boxed{s} \end{array} + \sum_{a=1}^{\min(r,s)} c_a \begin{array}{c} \text{---} \\ | \quad | \\ \boxed{r} \quad \boxed{s} \end{array} = \frac{(r+s)!}{r!s!} \begin{array}{c} \text{---} \\ | \quad | \\ \boxed{r} \quad \boxed{s} \end{array},$$

and the result follows. The proof of (b) is identical, except that the first equality in (7.3) uses the assumption that  $r + s < d$ .

Next, we prove (c). We first show, by induction on  $r$ , that

$$(7.4) \quad \begin{array}{c} \boxed{r} \\ | \\ \circ \\ | \\ \boxed{r} \end{array} = b_r \begin{array}{c} \boxed{r} \\ | \\ \boxed{r} \end{array} \quad \text{for some } b_r \in \mathbb{k}.$$

The base case  $r = 0$  follows immediately from the second relation in (5.6). Now assume  $r > 1$  and that the result holds for  $r - 1$ . Then, for  $a \geq 1$ ,

$$(7.5) \quad \begin{array}{c} \text{---} \\ | \quad | \\ \boxed{r} \quad \boxed{r} \end{array} \stackrel{(5.17)}{=} \frac{1}{((r-a)!)^2} \begin{array}{c} \text{---} \\ | \quad | \\ \boxed{r-a} \quad \boxed{r-a} \\ | \quad | \\ \boxed{r} \quad \boxed{r} \end{array} = \frac{b_{r-a}}{((r-a)!)^2} \begin{array}{c} \text{---} \\ | \quad | \\ \boxed{r-a} \\ | \quad | \\ \boxed{r} \quad \boxed{r} \end{array} \stackrel{(5.17)}{=} \frac{b_{r-a}}{(r-a)!} \begin{array}{c} \text{---} \\ | \quad | \\ \boxed{r} \quad \boxed{r} \end{array}.$$

Thus,

$$0 \stackrel{(5.18)}{=} \begin{array}{c} \circ \\ | \\ \boxed{2r} \end{array} \stackrel{(7.2)}{=} \frac{(2r)!}{(r!)^2} \begin{array}{c} \text{---} \\ | \quad | \\ \boxed{r} \quad \boxed{r} \end{array} + \sum_{a=1}^r c_a \begin{array}{c} \text{---} \\ | \quad | \\ \boxed{r} \quad \boxed{r} \end{array} \stackrel{(7.5)}{=} \frac{(2r)!}{(r!)^2} \begin{array}{c} \text{---} \\ | \quad | \\ \boxed{r} \quad \boxed{r} \end{array} + \sum_{a=1}^r \frac{c_a b_{r-a}}{(r-a)!} \begin{array}{c} \text{---} \\ | \quad | \\ \boxed{r} \quad \boxed{r} \end{array},$$

and (7.4) follows.

Now, taking the trace of both sides of (7.4), we see that

$$b_r \text{ [Diagram: box } r \text{ with a loop]} = \text{ [Diagram: box } r \text{ with two boxes } r \text{ in a loop]} \stackrel{(5.17)}{=} r! \text{ [Diagram: box } r \text{ with a loop]}.$$

Thus, by (5.21) and (5.23), we have

$$b_r d(d-1) \cdots (d-r+1) = D(r!)^2 d(d-1) \cdots (d-r+1),$$

and so  $b_r = D(r!)^2$ .

Finally, to prove (d), note that  $F(\pi_r)$  is an idempotent  $\text{Pin}(V)$ -module homomorphism  $S^{\otimes 2} \rightarrow \Lambda^r(V) \rightarrow S^{\otimes 2}$ . Since its trace is nonzero by (5.23), the result follows from Corollary 4.12.  $\square$

For  $N \geq 1$ , we have

$$(7.6) \quad V^{\otimes 2} \cong S^2(V) \oplus \Lambda^2(V), \quad S^2(V) \cong \text{triv}^0 \oplus W, \quad \text{as Pin}(V)\text{-modules.}$$

When  $N = 1$ , we have  $W = \Lambda^2(V) = 0$ . For  $N \geq 2$ , the  $\text{Pin}(V)$ -modules  $W$  and  $\Lambda^2(V)$  are simple. We have

$$(7.7) \quad \Lambda^2(V) = \text{triv}^1 \quad \text{and} \quad W = \text{Ind}(L(2\epsilon_1)) \quad \text{when } N = 2.$$

Moreover, when  $N \geq 3$ , we have

$$(7.8) \quad W \cong L(2\epsilon_1), \quad \Lambda^2(V) \cong \begin{cases} L(\epsilon_1 + \epsilon_2) & \text{if } N > 3, \\ L(\epsilon_1) & \text{if } N = 3, \end{cases} \quad \text{as Spin}(V)\text{-modules.}$$

**Remark 7.2.** Suppose  $d = N$  is odd. It follows from Proposition 7.1(d), Proposition 4.11, and Corollary 4.12 that  $\mathbf{F}(\pi_0)$  and  $\mathbf{F}(\pi_N)$  are both the projection from  $S^{\otimes 2}$  onto its trivial  $\text{Pin}(V)$ -module summand. It follows that  $\mathbf{F}(\pi_0\pi_N) \neq 0$ , and so  $\pi_0\pi_N \neq 0$ . This shows that the equality in (5.18) *fails* when  $r = d$  is odd.

**Proposition 7.3.** *If  $d \neq 0$ , the morphisms*

$$(7.9) \quad \frac{1}{d} \text{ [Diagram: two parallel lines with a loop between them]}, \quad \frac{1}{2} \left( \text{ [Diagram: two parallel lines with a plus sign]} + \text{ [Diagram: two parallel lines with a cross]} \right) - \frac{1}{d} \text{ [Diagram: two parallel lines with a loop between them]}, \quad \frac{1}{2} \left( \text{ [Diagram: two parallel lines with a plus sign]} - \text{ [Diagram: two parallel lines with a cross]} \right) \in \text{End}_{\mathcal{SB}(d,D;\kappa)}(V^{\otimes 2})$$

*are orthogonal idempotents. When  $d = N \geq 1$  and  $D = \sigma_N 2^n$ , their images under the incarnation functor  $\mathbf{F}$  are the projections onto the summands  $\text{triv}^0$ ,  $W$ , and  $\Lambda^2(V)$ , respectively, of  $V^{\otimes 2}$ .*

*Proof.* The proof that these are orthogonal idempotents is a straightforward diagrammatic computation, analogous to the corresponding computation in the Brauer category. Since the images under  $\mathbf{F}$  of

$$\frac{1}{2} \left( \text{ [Diagram: two parallel lines with a plus sign]} + \text{ [Diagram: two parallel lines with a cross]} \right) \quad \text{and} \quad \frac{1}{2} \left( \text{ [Diagram: two parallel lines with a plus sign]} - \text{ [Diagram: two parallel lines with a cross]} \right)$$

are the symmetrizer and antisymmetrizer, respectively, and the first morphism in (7.9) clearly factors through the trivial module, the final statement in the proposition follows.  $\square$

Recall the decomposition of  $S \otimes V$  given in Corollary 4.10.

**Lemma 7.4.** *If  $d \neq 0$ , the morphism*

$$(7.10) \quad \frac{1}{d} \text{ [Diagram: two lines merging into one]} \in \text{End}_{\mathcal{SB}(d,D;\kappa)}(S \otimes V)$$

*is an idempotent. When  $d = N \geq 1$  and  $D = \sigma_N 2^n$ , its image under the incarnation functor  $\mathbf{F}$  is the projection onto the summand  $S$  of  $S \otimes V$ .*

*Proof.* We have

$$\left(\frac{1}{d} \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array}\right)^{\circ 2} = \frac{1}{d^2} \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \\ \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array} \stackrel{(5.11)}{=} \frac{1}{d} \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array}.$$

Thus, the morphism (7.10) is an idempotent. When  $d = N$  and  $D = \sigma_N 2^n$ , its image under  $\mathbf{F}$  is a morphism  $S \otimes V \rightarrow S \rightarrow S \otimes V$ . Thus, it is the projection onto the summand  $S$  of  $S \otimes V$  as long as it is nonzero. Recalling the trace map of (8.9), we have

$$\mathrm{Tr} \left( \frac{1}{d} \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array} \right) = \bigcirc \stackrel{(5.11)}{=} \stackrel{(5.6)}{=} dD1_{\mathbb{1}} \neq 0,$$

and the result follows.  $\square$

Let  $\mathrm{Kar}(\mathcal{SB}(d, D; \kappa))$  be the additive Karoubi envelope (that is, the idempotent completion of the additive envelope) of  $\mathcal{SB}(d, D; \kappa)$ . Since  $\mathbf{G}(V)\text{-mod}$  is additive and idempotent complete,  $\mathbf{F}$  induces a monoidal functor

$$(7.11) \quad \mathrm{Kar}(\mathbf{F}): \mathrm{Kar}(\mathcal{SB}(V)) \rightarrow \mathbf{G}(V)\text{-mod}.$$

**Theorem 7.5.** *For all  $N \in \mathbb{N}$ , the functor  $\mathrm{Kar}(\mathbf{F})$  is essentially surjective.*

*Proof.* For  $N \leq 2$ , we use the explicit descriptions of Remarks 2.4 and 4.1. If  $N = 0$ , then  $S$  is the nontrivial one-dimensional module for  $\mathrm{Pin}(V) \cong C_2$  and the result follows. If  $N = 1$ , then  $S$  is the nontrivial one-dimensional module for  $\mathrm{Spin}(V) \cong C_2$  and, again, the result follows. When  $N = 2$ , the simple modules for  $\mathrm{Pin}(V) \cong \mathbb{G}_m \times C_2$  are the  $\mathbb{G}_m$ -modules  $L_{-r} \oplus L_r$ , with the generator of  $C_2$  interchanging the summands. This module is a summand of  $S^{\otimes r}$ , and the result follows.

Now suppose  $N \geq 3$ . We first show that  $\mathrm{Kar}(\mathrm{Res}_{\mathbf{G}} \mathbf{F})$  is essentially surjective, where

$$\mathrm{Res}_{\mathbf{G}}: \mathbf{G}(V)\text{-mod} \rightarrow \mathrm{Spin}(V)\text{-mod}$$

is the restriction functor. (So,  $\mathrm{Res}_{\mathbf{G}} = \mathrm{Res}$  when  $N$  is even, and  $\mathrm{Res}_{\mathbf{G}}$  is the identity functor when  $N$  is odd.) Since the category  $\mathrm{Spin}(V)\text{-mod}$  is semisimple, it suffices to show that every simple  $\mathrm{Spin}(V)$ -module appears in the image of  $\mathrm{Kar}(\mathbf{F})$ , up to isomorphism. Let  $\omega_1, \dots, \omega_n$  be the fundamental weights. Then any dominant integral weight can be written in the form  $\lambda = \sum_{k=1}^n a_k \omega_k$ ,  $a_k \in \mathbb{N}$ , and  $L(\lambda)$  is the  $\mathrm{Spin}(V)$ -submodule of

$$L(\omega_1)^{\otimes a_1} \otimes \dots \otimes L(\omega_n)^{\otimes a_n}$$

generated by the vector of highest weight. Thus, it suffices to show that the fundamental modules  $L(\omega_k)$ ,  $1 \leq k \leq n$  are in the image of  $\mathrm{Kar}(\mathrm{Res}_{\mathbf{G}} \mathbf{F})$ .

In type  $B_n$ , where  $N = 2n + 1$ , we have

$$\omega_k = \epsilon_1 + \dots + \epsilon_k, \quad 1 \leq k \leq n-1, \quad \omega_n = \frac{1}{2}(\epsilon_1 + \dots + \epsilon_n),$$

We have  $L(\omega_n) \cong S$  and, by Proposition 4.11,  $L(\omega_k) \cong \Lambda^k(V)$ ,  $1 \leq k \leq n-1$ . Hence, the result follows from Proposition 7.1.

In type  $D_n$ , where  $N = 2n$ , we have

$$\omega_k = \epsilon_1 + \dots + \epsilon_k, \quad 1 \leq k \leq n-2, \quad \omega_{n-1} = \frac{1}{2}(\epsilon_1 + \dots + \epsilon_n), \quad \omega_n = \frac{1}{2}(\epsilon_1 + \dots + \epsilon_{n-1} - \epsilon_n).$$

We have  $L(\omega_{n-1}) \cong S^+$ ,  $L(\omega_n) \cong S^-$ , and, by Proposition 4.11,  $L(\omega_k) \cong \Lambda^k(V)$ ,  $1 \leq k \leq n-2$ . Hence the result again follows from Proposition 7.1.

Now we consider the statement of the theorem. In type  $B_n$  we are done, since  $\mathrm{Res}_{\mathbf{G}}$  is the identity functor. Now suppose  $N$  is even, so that  $\mathrm{Res}_{\mathbf{G}} = \mathrm{Res}$ . Since the trivial  $\mathrm{Pin}(V)$ -module is isomorphic to  $\Lambda^0(V)$ , it follows from Proposition 4.11 that  $\Lambda^N(V)$  is isomorphic to the  $\mathrm{Pin}(V)$ -module  $\mathrm{triv}^1$ , defined in Section 4.2. By Proposition 7.1,  $\Lambda^N(V)$  is in the image of  $\mathrm{Kar}(\mathbf{F})$ . Let  $M$  be a simple

$\text{Pin}(V)$ -module. By the discussion in Section 2.3,  $\text{Res}(M)$  is either a sum of two simple  $\text{Spin}(V)$ -modules  $M_1$  and  $M_2$ , or  $\text{Res}(M)$  remains simple as a  $\text{Spin}(V)$ -module. In the first case, since both  $M_1$  and  $M_2$  lie in the image of  $\text{Kar}(\text{Res } \mathbf{F})$ ,  $M$  must lie in the image of  $\text{Kar}(\mathbf{F})$ . In the second case, there are precisely two nonisomorphic simple  $\text{Pin}(V)$ -modules  $M$  and  $M'$  that become isomorphic upon restriction to  $\text{Spin}(V)$ . It then follows from (4.9) that both lie in the image of  $\text{Kar}(\mathbf{F})$ .  $\square$

**Remark 7.6.** The Lie algebra of  $\text{G}(V)$  is  $\mathfrak{so}(V)$ . Passage to the Lie algebra induces a functor  $\text{G}(V)\text{-mod} \rightarrow \mathfrak{so}(V)\text{-mod}$ , where  $\mathfrak{so}(V)\text{-mod}$  denotes the category of finite-dimensional  $\mathfrak{so}(V)$ -modules. We can compose the incarnation functor  $\mathbf{F}$  with this passage to the Lie algebra to yield a functor  $\mathbf{F}' : \mathcal{SB}(V) \rightarrow \mathfrak{so}(V)\text{-mod}$ . The proof of Theorem 7.5 shows that the functor  $\mathbf{F}'$  is also essentially surjective. However, while we will show in Theorem 8.9 that  $\mathbf{F}$  is full, the functor  $\mathbf{F}'$  is *not* full when  $N$  is even. For example, as  $\mathfrak{so}(V)$ -modules,  $\Lambda^N(V)$  is isomorphic to the trivial module. But this isomorphism is not contained in the image of  $\text{Kar}(\mathbf{F}')$  since  $\Lambda^N(V)$  is nontrivial as a  $\text{Pin}(V)$ -module when  $N$  is even, by (4.33). This is our main motivation for considering the larger group  $\text{Pin}(V)$  when  $N$  is even.

## 8. FULLNESS OF THE INCARNATION FUNCTOR

In the current section, we prove that the incarnation functor of Theorem 6.1 is full. Until the statement of Theorem 8.8, we assume that  $N \geq 2$ .

Recall the element  $\beta \in \text{Cl}^{\otimes 2}$  from (6.15). We define a *barbell* to be any element of the form  $1^{\otimes t} \otimes \beta \otimes 1^{r-t-2} \in \text{Cl}^{\otimes r}$ ,  $0 \leq t \leq r-2$ ,  $r \geq 2$ . The action of a barbell yields an element of  $\text{End}_{\text{G}(V)}(S^{\otimes r})$ .

**Lemma 8.1.** *The action of the barbell  $\beta$  generates  $\text{End}_{\text{G}(V)}(S \otimes S)$ .*

*Proof.* By Corollary 4.12 and Proposition 4.11, the  $\text{G}(V)$ -module  $S \otimes S$  is multiplicity free and, by [Wen20, Lem. 1.2], the action of  $\beta$  has a different eigenvalue on each summand. (There is a typo in [Wen20, Lem. 1.2(b)]; the index  $j$  should run from 0 to  $k$  inclusive.)  $\square$

**Lemma 8.2.** *For all  $k \geq 0$ , the morphism*

$$\mathbf{F} \left( \begin{array}{c} \text{U}_k \\ \text{U}_k \end{array} \right)$$

*lies in the subalgebra of  $\text{End}_{\text{G}(V)}(S^{\otimes 2k})$  generated by barbells, where the thick cup and cap labelled by  $k$  denote  $k$  nested cups and caps, respectively.*

*Proof.* We have

$$\mathbf{F} \left( \begin{array}{c} \text{U}_k \\ \text{U}_k \end{array} \right) = \mathbf{F} \left( \begin{array}{c} \text{U}_{k-1} \\ \text{U}_{k-1} \end{array} \right).$$

By Lemma 8.1, the innermost  $\mathbf{F}(\smile)$  can be written as a polynomial in

$$\mathbf{F} \left( \begin{array}{c} | \cdots | \\ | \cdots | \end{array} \right).$$

Then, using the fact that

$$\left| \begin{array}{c} \text{U}_{k-1} \\ \text{U}_{k-1} \end{array} \right| = \left| \begin{array}{c} \text{U}_{k-1} \\ \text{U}_{k-1} \end{array} \right|,$$

the lemma follows by induction.  $\square$

**Lemma 8.3.** *Let  $r \in \mathbb{N}$ , and let  $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_n)$  be a dominant integral weight with  $\lambda_1 = \frac{r}{2}$ . Then  $L(\lambda)$  is a direct summand of the  $\text{Spin}(V)$ -module  $S^{\otimes r}$ .*

*Proof.* We prove this by induction on  $r$ , the base case  $r = 0$  being trivial. Suppose  $r \geq 1$  and let  $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_n)$  with  $\lambda_1 = \frac{r}{2}$ . Let  $k$  be the largest index for which  $\lambda_k > 0$ . Let  $\epsilon = (\frac{1}{2}, \dots, \frac{1}{2}, -\frac{1}{2}, \dots, -\frac{1}{2})$ , where there are  $k$  occurrences of  $\frac{1}{2}$ . Then  $\lambda - \epsilon$  is dominant and integral. By the inductive hypothesis,  $L(\lambda - \epsilon)$  is a direct summand of  $S^{\otimes(r-1)}$ . Lemma 4.9 implies that  $L(\lambda)$  is a summand of  $S \otimes L(\lambda - \epsilon)$ , hence is a direct summand of  $S^{\otimes r}$ , as required.  $\square$

**Corollary 8.4.** *Suppose  $N$  is even, and let  $r$  be a positive integer. Let  $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_n)$  be a dominant integral weight with  $\lambda_1 = \frac{r}{2}$ , and let  $M$  be a simple  $\text{Pin}(V)$ -module whose restriction to  $\text{Spin}(V)$  is isomorphic to  $L(\lambda)$ . Then  $M$  is a summand of the  $\text{Pin}(V)$ -module  $S^{\otimes r}$ .*

*Proof.* This follows from Lemmas 4.4 and 8.3.  $\square$

**Remark 8.5.** Note that the condition  $r > 0$  in Corollary 8.4 is necessary since  $\text{triv}^1$  is not a summand of the trivial  $\text{Pin}(V)$ -module  $S^{\otimes 0}$ . However, when  $N$  is even,  $\text{triv}^1$  is a summand of  $S^{\otimes r}$  for  $r \in 2\mathbb{N}$ , by Lemma 4.4.

Let  $r \in \mathbb{N}$ , and let  $X_r$  be the  $\text{Spin}(V)$ -submodule of  $S^{\otimes r}$  that is the sum of all simple summands of highest weight  $\lambda$  with  $\lambda_1 = \frac{r}{2}$ . Note that  $X_r$  is also  $G(V)$ -submodule.

Recall the definition of  $A_{ij}$  from (3.4) and (3.8), and let  $Y_r$  be the  $\frac{r}{2}$ -eigenspace of  $A_{11}$  on  $X_r$  (equivalently, the  $\frac{r}{2}$ -eigenspace of  $A_{11}$  on  $S^{\otimes r}$ ). Recall the definition of the elements  $x_I \in S$  from (2.7). The space  $Y_r$  is the span of all  $x_{I_1} \otimes x_{I_2} \otimes \dots \otimes x_{I_r}$  where  $1 \in I_i$  for all  $i$ .

Let

$$W = \begin{cases} \text{span}_{\mathbb{k}} \left\{ \psi_2, \psi_3, \dots, \psi_n, \psi_n^\dagger, \dots, \psi_3^\dagger, \psi_2^\dagger \right\} \subseteq V & \text{if } N \in 2\mathbb{N}, \\ \text{span}_{\mathbb{k}} \left\{ \psi_2, \psi_3, \dots, \psi_n, e_N, \psi_n^\dagger, \dots, \psi_3^\dagger, \psi_2^\dagger \right\} \subseteq V & \text{if } N \in 2\mathbb{N} + 1. \end{cases}$$

We have a natural inclusion of groups  $G(W) \subseteq G(V)$ . Since the actions of  $A_{11}$  and  $G(W)$  on  $V$  commute,  $Y_r$  is a  $G(W)$ -submodule of  $V$ . Let  $S_W$  be the spin module for  $G(W)$ . Then  $x_I$ ,  $I \subseteq \{2, 3, \dots, n\}$ , is a basis for  $S_W$ . It is straightforward to verify that the map

$$(8.1) \quad Y_r \rightarrow S_W^{\otimes r}, \quad x_{I_1} \otimes \dots \otimes x_{I_r} \mapsto x_{I_1 \setminus \{1\}} \otimes \dots \otimes x_{I_r \setminus \{1\}},$$

is an isomorphism of  $G(W)$ -modules.

**Lemma 8.6.** *For every  $f \in \text{End}_{G(V)}(X_r)$ , we have  $f(Y_r) \subseteq Y_r$ . Furthermore, restriction to  $Y_r$  yields an isomorphism of  $\mathbb{k}$ -modules*

$$(8.2) \quad \text{End}_{G(V)}(X_r) \xrightarrow{\cong} \text{End}_{G(W)}(Y_r).$$

*Proof.* The first assertion follows from the fact that any element of  $f \in \text{End}_{G(V)}(X_r)$  commutes with the action of  $A_{11}$ , hence leaves the eigenspace  $Y_r$  invariant. Since  $G(W)$  is a subgroup of  $G(V)$ , it follows that the restriction of  $f$  lies in  $\text{End}_{G(W)}(Y_r)$ . Thus, we have a homomorphism of  $\mathbb{k}$ -modules

$$(8.3) \quad \text{End}_{G(V)}(X_r) \rightarrow \text{End}_{G(W)}(Y_r).$$

Our goal is to show that this linear map is an isomorphism.

Since  $G(V)$ -mod is a semisimple category, any element of  $\text{End}_{G(V)}(X_r)$  is determined by its action on highest-weight vectors. An analogous statement holds for  $\text{End}_{G(W)}(Y_r)$ . Therefore, to see that (8.3) is injective, it suffices to show that the space of highest-weight vectors of the  $G(V)$ -module  $X_r$  is equal to the space of highest-weight vectors of the  $G(W)$ -module  $Y_r$ .

First suppose that  $v$  is a highest-weight vector in  $X_r$  of weight  $(\lambda_1, \dots, \lambda_n)$ . Then  $v \in Y_r$ , since  $\lambda_1 = \frac{r}{2}$  by definition of  $X_r$ . Since the inclusion  $G(W) \subseteq G(V)$  respects upper triangularity, it follows that  $v$  is a highest-weight vector of the  $G(W)$ -module  $Y_r$ . Conversely, suppose  $v$  is a highest-weight vector in the  $G(W)$ -module  $Y_r$ . Then

$$A_{11}A_{12}v = A_{12}A_{11}v + [A_{11}, A_{12}]v = \left(\frac{r}{2} + 1\right)A_{12}v.$$

Since there are no nonzero  $w \in S^{\otimes r}$  satisfying  $A_{11}w = (\frac{r}{2} + 1)w$ , we have  $A_{12}(v) = 0$ . If  $\mathfrak{n}$  and  $\mathfrak{n}'$  are the subalgebras of strictly upper-triangular matrices in  $\mathfrak{so}(V)$  and  $\mathfrak{so}(W)$  respectively, then  $\mathfrak{n}$  is generated by  $\mathfrak{n}'$  and  $A_{12}$ . Hence  $\mathfrak{n}$  annihilates  $v$ , and so  $v$  is a highest-weight vector in  $X_r$ . This completes the argument that (8.3) is injective.

To finish the proof of the lemma, it suffices to show that the dimensions of  $\text{End}_{G(V)}(X_r)$  and  $\text{End}_{G(W)}(Y_r)$  are equal. Since  $G(V)\text{-mod}$  and  $G(W)\text{-mod}$  are both semisimple categories, these endomorphism algebras are isomorphic to products of matrix algebras. To see that their dimensions are equal, it is enough to show that the identification of highest-weight spaces given above preserves multiplicities of weights. This, in turn, follows from the fact that two highest-weight vectors in  $X_r$  have equal  $G(V)$ -weights if and only if they have equal  $G(W)$ -weights, since the highest weights in  $X_r$  are constrained to have  $\lambda_1 = \frac{r}{2}$ .  $\square$

Since  $X_r$  is a sum of isotypic components of  $S^{\otimes r}$ , any endomorphism of  $S^{\otimes r}$  leaves  $X_r$  invariant. Therefore, restriction to  $X_r$  yields a natural projection  $\text{End}_{G(V)}(S^{\otimes r}) \rightarrow \text{End}_{G(V)}(X_r)$ . We thus have a surjective composite of  $\mathbb{k}$ -module homomorphisms

$$(8.4) \quad \Psi_r: \text{End}_{G(V)}(S^{\otimes r}) \twoheadrightarrow \text{End}_{G(V)}(X_r) \xrightarrow[\cong]{(8.2)} \text{End}_{G(W)}(Y_r) \xrightarrow{\cong} \text{End}_{G(W)}(S_W^{\otimes r}),$$

where the final isomorphism is induced by (8.1).

**Lemma 8.7.** *For  $0 \leq t \leq r - 2$ ,  $r \geq 2$ , we have*

$$\Psi_r \left( 1^{\otimes r} \otimes \beta \otimes 1^{\otimes(t-r-2)} \right) = 1^{\otimes r} \otimes \beta_W \otimes 1^{\otimes(t-r-2)},$$

where  $\beta_W = \sum_{i=3}^N e_i \otimes e_i$  is the barbell for  $W$ .

*Proof.* Using (2.4), we compute that

$$(8.5) \quad e_1 \otimes e_1 + e_2 \otimes e_2 = 2(\psi_1 \otimes \psi_1^\dagger + \psi_1^\dagger \otimes \psi_1).$$

Now suppose that  $I_1, I_2, \dots, I_r \subseteq [n]$  satisfy  $1 \in I_k$  for all  $1 \leq k \leq r$ . Then

$$\begin{aligned} \left( 1^{\otimes t} \otimes \psi_1 \otimes \psi_1^\dagger \otimes 1^{\otimes(r-t-2)} \right) (x_{I_1} \otimes x_{I_2} \otimes \cdots \otimes x_{I_r}) &= 0 \\ &= \left( 1^{\otimes t} \otimes \psi_1^\dagger \otimes \psi_1 \otimes 1^{\otimes(r-t-2)} \right) (x_{I_1} \otimes x_{I_2} \otimes \cdots \otimes x_{I_r}). \end{aligned}$$

Thus, the result follows from (8.5).  $\square$

For the remainder of this section, we drop the assumption that  $N \geq 2$ .

**Theorem 8.8.** *Suppose  $r, r_1, r_2 \in \mathbb{N}$ .*

(a) *The incarnation functor  $\mathbf{F}$  induces a surjection*

$$\text{Hom}_{S_{\mathcal{B}}(V)}(S^{\otimes r_1}, S^{\otimes r_2}) \twoheadrightarrow \text{Hom}_{G(V)}(S^{\otimes r_1}, S^{\otimes r_2}).$$

(b) *The algebra  $\text{End}_{G(V)}(S^{\otimes r})$  is generated by barbells.*

*Proof.* Since the components of the weights of  $S^{\otimes r}$  lie in  $\frac{r}{2} + \mathbb{Z}$ , we have  $\text{Hom}_{G(V)}(S^{\otimes r_1}, S^{\otimes r_2}) = 0$  when  $r_1 + r_2 \notin 2\mathbb{Z}$ . Thus, for statement (a), we will assume for the remainder of this proof that  $r_1 + r_2 \in 2\mathbb{Z}$ .

We have a commutative diagram

$$(8.6) \quad \begin{array}{ccc} \text{Hom}_{S_{\mathcal{B}}(V)}(S^{\otimes r_1}, S^{\otimes r_2}) & \xrightarrow{\cong} & \text{Hom}_{S_{\mathcal{B}}(V)}(S^{\otimes(r_1+r_2)}, \mathbb{1}) \\ \downarrow \mathbf{F} & & \downarrow \mathbf{F} \\ \text{Hom}_{G(V)}(S^{\otimes r_1}, S^{\otimes r_2}) & \xrightarrow{\cong} & \text{Hom}_{G(V)}(S^{\otimes(r_1+r_2)}, \text{triv}^0) \end{array}$$



where the horizontal maps are the usual isomorphisms that hold in any rigid monoidal category. In particular, the top horizontal map is the  $\mathbb{k}$ -linear isomorphism given on diagrams by

$$\begin{array}{c} \begin{array}{|c|} \hline \dots \\ \hline \square \\ \hline \dots \\ \hline \end{array} \mapsto \begin{array}{|c|} \hline \dots \\ \hline \text{cap} \\ \hline \dots \\ \hline \end{array} \quad \text{with inverse} \quad \begin{array}{|c|} \hline \dots \\ \hline \square \\ \hline \dots \\ \hline \end{array} \mapsto \begin{array}{|c|} \hline \dots \\ \hline \text{cup} \\ \hline \dots \\ \hline \end{array}, \end{array}$$

where the rectangles denote some diagram. Therefore, part (a) holds for  $r_1, r_2 \in \mathbb{N}$  if and only if it holds for all other  $r'_1, r'_2 \in \mathbb{N}$  satisfying  $r_1 + r_2 = r'_1 + r'_2$ . It also follows that, for  $0 \leq k \leq \frac{r}{2}$ ,

$$(8.7) \quad \text{Hom}_{\mathbb{G}(V)}(S^{\otimes(r-2k)}, S^{\otimes r}) = \left(1^{\otimes k} \otimes \text{End}_{\mathbb{G}(V)}(S^{\otimes(r-k)})\right) \circ \mathbf{F} \left(\downarrow_k \otimes 1^{\otimes(r-2k)}\right),$$

$$(8.8) \quad \text{Hom}_{\mathbb{G}(V)}(S^{\otimes r}, S^{\otimes(r-2k)}) = \mathbf{F} \left(\uparrow_k \otimes 1^{\otimes(r-2k)}\right) \circ \left(1^{\otimes k} \otimes \text{End}_{\mathbb{G}(V)}(S^{\otimes(r-k)})\right),$$

where, as in Lemma 8.2, the thick cup and cap labelled by  $k$  denote  $k$  nested cups and caps, respectively.

We now prove the theorem by induction on  $N = \dim V$ . The base cases are  $N = 0$  and  $N = 1$ . In these cases,  $S$  is one-dimensional, and so  $\text{End}_{\mathbb{G}(V)}(S^{\otimes r})$  only consists of scalars, which makes the theorem trivial in these cases.

Now suppose that  $N \geq 2$  and that the result holds for  $0 \leq \dim V < N$ . For  $r \in \mathbb{N}$ , let  $F(r)$  be the statement that (a) holds for all  $r_1 + r_2 = 2r$  and that (b) holds. By the argument given above,  $F(r)$  is equivalent to the statement that (a) holds for *some*  $r_1, r_2 \in \mathbb{N}$  satisfying  $r_1 + r_2 = 2r$  and that (b) holds. We will prove that  $F(r)$  holds for all  $r \in \mathbb{N}$  by induction on  $r$ . The base of the induction consists of the cases  $r \leq 2$ . The cases  $r \leq 1$  are trivial as  $S$  is a simple  $\mathbb{G}(V)$ -module, and so  $\text{Hom}_{\mathbb{G}(V)}(S^{\otimes r})$  consists of scalar multiples of the identity. The case  $r = 2$  follows from Lemma 8.1.

Now suppose that  $r \geq 3$ , and that  $F(k)$  holds for all  $k < r$ . Recall the surjective  $\mathbb{k}$ -linear map

$$\Psi_r: \text{End}_{\mathbb{G}(V)}(S^{\otimes r}) \rightarrow \text{End}_{\mathbb{G}(W)}(S_W^{\otimes r})$$

defined in (8.4). The kernel of  $\Psi_r$  consists of all elements of  $\text{End}_{\mathbb{G}(V)}(S^{\otimes r})$  that factor through simple modules with highest weights  $\lambda$  with  $\lambda_1 < r/2$ . By Corollary 8.4 and Remark 8.5, these simple modules are precisely the simple modules that occur as summands in  $S^{\otimes(r-2k)}$  for  $0 < k \leq \frac{r}{2}$ . Therefore,  $\ker \Psi_r$  is the sum of all images of all compositions

$$\text{Hom}_{\mathbb{G}(V)}(S^{\otimes r}, S^{\otimes(r-2k)}) \times \text{Hom}_{\mathbb{G}(V)}(S^{\otimes(r-2k)}, S^{\otimes r}) \rightarrow \text{End}_{\mathbb{G}(V)}(S^{\otimes r}), \quad 0 < k \leq \frac{r}{2}.$$

It follows from (8.7), (8.8), Lemma 8.2, and the inductive hypothesis that  $\ker \Psi_r$  is generated by barbells and hence is in the image of  $\mathbf{F}$ .

By the inductive hypothesis for our induction on  $N$ , barbells generate  $\text{End}_{\mathbb{G}(W)}(S_W^{\otimes r})$ . By Lemma 8.7, every barbell in  $\text{End}_{\mathbb{G}(W)}(S_W^{\otimes r})$  is in the image of  $\Psi_r$ . Thus, if  $U$  denotes the subalgebra of  $\text{End}_{\mathbb{G}(V)}(S^{\otimes r})$  generated by barbells, we have  $\Psi_r(U) = \text{End}_{\mathbb{G}(W)}(S_W^{\otimes r})$ . Since  $\Psi_r$  is surjective, this implies that

$$U + \ker \Psi_r = \text{End}_{\mathbb{G}(V)}(S^{\otimes r}).$$

The subspace  $U$  lies in the image of  $\mathbf{F}$  since all barbells do. This completes the proof of the statement  $F(r)$ .  $\square$

**Theorem 8.9.** *The incarnation functor  $\mathbf{F}$  is full.*

*Proof.* We must show that

$$\mathbf{F}: \text{Hom}_{\mathcal{SB}(V)}(\mathbf{X}, \mathbf{Y}) \rightarrow \text{Hom}_{\mathbb{G}(V)}(\mathbf{F}(\mathbf{X}), \mathbf{F}(\mathbf{Y}))$$

is surjective for all objects  $X$  and  $Y$  in  $\mathcal{SB}(V)$ . By the first relation in (5.1), we have mutually inverse isomorphisms

$$\begin{array}{c} \diagup \diagdown \\ \text{---} \end{array} : S \otimes V \xrightarrow{\cong} V \otimes S \quad \text{and} \quad \begin{array}{c} \diagdown \diagup \\ \text{---} \end{array} : V \otimes S \xrightarrow{\cong} S \otimes V.$$

Therefore, it suffices to consider the case where  $X = S^{\otimes k_1} \otimes V^{\otimes l_1}$  and  $Y = S^{\otimes k_2} \otimes V^{\otimes l_2}$  for some  $k_1, l_1, k_2, l_2 \in \mathbb{N}$ . Consider an arbitrary morphism

$$f \in \text{Hom}_{G(V)} \left( S^{\otimes k_1} \otimes V^{\otimes l_1}, S^{\otimes k_2} \otimes V^{\otimes l_2} \right).$$

Define

$$f' = \left( 1_S^{\otimes k_2} \otimes \mathbf{F} \left( \begin{array}{c} \cup \\ \vdots \end{array} \right)^{\otimes l_2} \right) \circ f \circ \left( 1_S^{\otimes k_1} \otimes \mathbf{F} \left( \begin{array}{c} \cap \\ \vdots \end{array} \right)^{\otimes l_1} \right) \in \text{Hom}_{G(V)} \left( S^{\otimes(k_1+2l_1)}, S^{\otimes(k_2+2l_2)} \right).$$

By Theorem 8.8(a), there exists a  $g' \in \text{Hom}_{\mathcal{SB}(V)}(S^{\otimes(k_1+2l_1)}, S^{\otimes(k_2+2l_2)})$  such that  $\mathbf{F}(g') = f'$ . Let

$$g = \left( 1_S^{\otimes k_2} \otimes \left( \begin{array}{c} \cap \\ \vdots \end{array} \right)^{\otimes l_2} \right) \circ g' \circ \left( 1_S^{\otimes k_1} \otimes \left( \begin{array}{c} \cup \\ \vdots \end{array} \right)^{\otimes l_1} \right).$$

It was shown in the proof of Proposition 7.1(c) that

$$\begin{array}{c} \vdots \\ \bigcirc \\ \vdots \end{array} = D \begin{array}{c} \vdots \\ \vdots \end{array}.$$

It follows that

$$\mathbf{F}(g) = D^{l_1+l_2} f,$$

completing the proof.  $\square$

It is straightforward to verify that  $\mathcal{SB}(d, D; \kappa)$  is a spherical pivotal category, hence so is its idempotent completion  $\text{Kar}(\mathcal{SB}(d, D; \kappa))$ . (We refer the reader to [Sel11, §4.4.3] for the definition of a spherical pivotal category.) In any spherical pivotal category  $\mathcal{C}$ , we have a trace map  $\text{Tr}: \bigoplus_{X \in \mathcal{C}} \text{End}_{\mathcal{C}}(X) \rightarrow \text{End}_{\mathcal{C}}(\mathbb{1})$ . In terms of string diagrams, this corresponds to closing a diagram off to the right or left:

$$(8.9) \quad \text{Tr} \left( \begin{array}{c} \vdots \\ \boxed{f} \\ \vdots \end{array} \right) = \begin{array}{c} \bigcirc \\ \boxed{f} \end{array} = \begin{array}{c} \bigcirc \\ \boxed{f} \end{array},$$

where the second equality follows from the axioms of a spherical category. We say that a morphism  $f \in \text{Hom}_{\mathcal{C}}(X, Y)$  is *negligible* if  $\text{Tr}(f \circ g) = 0$  for all  $g \in \text{Hom}_{\mathcal{C}}(Y, X)$ . The negligible morphisms form a two-sided tensor ideal  $\mathcal{N}$  of  $\mathcal{C}$ , and the quotient  $\mathcal{C}/\mathcal{N}$  is called the *semisimplification* of  $\mathcal{C}$ .

**Theorem 8.10.** *For all  $N \in \mathbb{N}$ , the kernel of the functor  $\text{Kar}(\mathbf{F})$  of (7.11) is equal to the tensor ideal of negligible morphisms of  $\text{Kar}(\overline{\mathcal{SB}}(V))$ . The functor  $\text{Kar}(\mathbf{F})$  induces an equivalence of categories from the semisimplification of  $\text{Kar}(\overline{\mathcal{SB}}(V))$  to  $G(V)$ -mod.*

*Proof.* By Theorems 7.5 and 8.9 the functor  $\text{Kar}(\mathbf{F})$  is full and essentially surjective. It follows from Proposition 5.9 and [SW22, Prop. 6.9] that its kernel is the tensor ideal of negligible morphisms.  $\square$

## 9. THE AFFINE SPIN BRAUER CATEGORY

In this section we define an affine version of the spin Brauer category, together with an affine incarnation functor. This can be thought of as a spin version of the affine Brauer category introduced in [RS19].

**Definition 9.1.** For  $d, D \in \mathbb{k}$  and  $\kappa \in \{\pm 1\}$ , the *affine spin Brauer category* is the strict  $\mathbb{k}$ -linear monoidal category  $\mathcal{ASB}(d, D; \kappa)$  obtained from  $\mathcal{SB}(d, D; \kappa)$  by adjoining two additional generating morphisms

$$\dot{\downarrow}: \mathbb{S} \rightarrow \mathbb{S}, \quad \dot{\uparrow}: \mathbb{V} \rightarrow \mathbb{V},$$

which we call *dots*, subject to the relations

$$(9.1) \quad \begin{array}{c} \diagup \circ \diagdown - \diagdown \circ \diagup = 2 \left( \begin{array}{c} | \\ | \\ | \end{array} - \begin{array}{c} \cup \\ \cup \end{array} \right), \quad \begin{array}{c} \diagdown \circ \diagup - \diagup \circ \diagdown = \frac{1}{8} \left( \begin{array}{c} \diagdown \diagup \\ \diagup \diagdown \end{array} - \begin{array}{c} \diagup \diagdown \\ \diagdown \diagup \end{array} \right), \end{array}$$

$$(9.2) \quad \begin{array}{c} \diagdown \circ \diagup - \diagup \circ \diagdown = \begin{array}{c} \diagdown \diagup \\ \diagup \diagdown \end{array} - \kappa \begin{array}{c} \diagdown \diagup \\ \diagup \diagdown \end{array}, \quad \begin{array}{c} \diagup \circ \diagdown - \diagdown \circ \diagup = \begin{array}{c} \diagup \diagdown \\ \diagdown \diagup \end{array} - \kappa \begin{array}{c} \diagup \diagdown \\ \diagdown \diagup \end{array}, \end{array}$$

$$(9.3) \quad \begin{array}{c} \cup = - \cup, \quad \cap = - \cap, \end{array}$$

$$(9.4) \quad \begin{array}{c} \cup = \begin{array}{c} \cup \\ \circ \end{array} + \begin{array}{c} \cup \\ \circ \end{array}. \end{array}$$

Let  $\overline{\mathcal{ASB}}(d, D; \kappa)$  denote the quotient of  $\mathcal{ASB}(d, D; \kappa)$  by (5.19).

**Proposition 9.2.** *The following relations hold in  $\mathcal{ASB}(d, D; \kappa)$ :*

$$(9.5) \quad \begin{array}{c} \diagup \circ \diagdown - \diagdown \circ \diagup = 2 \left( \begin{array}{c} | \\ | \\ | \end{array} - \begin{array}{c} \cup \\ \cup \end{array} \right), \quad \begin{array}{c} \diagdown \circ \diagup - \diagup \circ \diagdown = \frac{1}{8} \left( \begin{array}{c} \diagdown \diagup \\ \diagup \diagdown \end{array} - \begin{array}{c} \diagup \diagdown \\ \diagdown \diagup \end{array} \right), \end{array}$$

$$(9.6) \quad \begin{array}{c} \diagdown \circ \diagup - \diagup \circ \diagdown = \begin{array}{c} \diagdown \diagup \\ \diagup \diagdown \end{array} - \kappa \begin{array}{c} \diagdown \diagup \\ \diagup \diagdown \end{array}, \quad \begin{array}{c} \diagup \circ \diagdown - \diagdown \circ \diagup = \begin{array}{c} \diagup \diagdown \\ \diagdown \diagup \end{array} - \kappa \begin{array}{c} \diagup \diagdown \\ \diagdown \diagup \end{array}, \end{array}$$

$$(9.7) \quad \begin{array}{c} \cup = - \cup, \quad \cap = - \cap, \end{array}$$

$$(9.8) \quad \begin{array}{c} \cup = \begin{array}{c} \cup \\ \circ \end{array} + \begin{array}{c} \cup \\ \circ \end{array}. \end{array}$$

*Proof.* Relations (9.5) and (9.7) follow from rotating (9.1) and (9.3) using cups and caps. The first relation in (9.6) follows from the first relation in (9.2) after composing on the top and bottom with  $\diagdown$ . The second relation in (9.6) follows similarly from the second relation in (9.2).

To prove (9.8), we compute

$$\kappa \begin{array}{c} \cup \\ \circ \end{array} \stackrel{(5.9)}{=} \begin{array}{c} \cup \\ \circ \end{array} \stackrel{(9.4)}{=} \begin{array}{c} \cup \\ \circ \end{array} + \begin{array}{c} \cup \\ \circ \end{array} \stackrel{(9.2)}{=} \begin{array}{c} \cup \\ \circ \end{array} + \begin{array}{c} \cup \\ \circ \end{array} \stackrel{(5.9)}{=} \kappa \left( \begin{array}{c} \cup \\ \circ \end{array} + \begin{array}{c} \cup \\ \circ \end{array} \right). \quad \square$$

The symmetries (5.12) and (5.13) can be extended to  $\mathcal{ASB}(d, D; \kappa)$ . Precisely, we have an isomorphism of monoidal categories

$$(9.9) \quad \mathcal{ASB}(d, D; \kappa) \rightarrow \mathcal{ASB}(d, D; \kappa)^{\text{op}}$$

that is the identity on objects and reflects morphisms in the horizontal axis. We also have an isomorphism of monoidal categories

$$(9.10) \quad \mathcal{ASB}(d, D; \kappa) \rightarrow \mathcal{ASB}(d, D; \kappa)^{\text{rev}}$$

that is the identity on objects and, on morphisms, reflects diagrams in the vertical axis and multiplies dots by  $-1$ .

Our goal in the remainder of this section is to define an affine version of the incarnation functor of Section 6. Since our construction will be based on the Lie algebra  $\mathfrak{so}(V)$ , we assume throughout that  $N \geq 2$  and we work over the ground field  $\mathbb{k} = \mathbb{C}$ . However, see Remark 9.9 for the cases  $N = 0$  and  $N = 1$ .

Let  $\mathbf{B}_{\mathfrak{so}(V)}$  be a basis of  $\mathfrak{so}(V)$  and let  $\{X^\vee : X \in \mathbf{B}_{\mathfrak{so}(V)}\}$  denote the dual basis with respect to the symmetric bilinear form

$$\langle X, Y \rangle = \frac{1}{2} \text{tr}(XY), \quad X, Y \in \mathfrak{so}(V),$$

where  $\text{tr}$  denotes the usual trace on the space of linear operators on  $V$ . We have

$$\begin{aligned}
\langle M_{e_i, e_j}, M_{e_k, e_l} \rangle &= \frac{1}{2} \sum_{m=1}^N \langle M_{e_i, e_j} M_{e_k, e_l} e_m, e_m \rangle \\
&\stackrel{(3.2)}{=} \frac{1}{2} \sum_{m=1}^N \langle M_{e_i, e_j} (\delta_{lm} e_k - \delta_{km} e_l), e_m \rangle \\
&\stackrel{(3.2)}{=} \frac{1}{2} \sum_{m=1}^N \langle \delta_{jk} \delta_{lm} e_i - \delta_{ik} \delta_{lm} e_j - \delta_{jl} \delta_{km} e_i + \delta_{il} \delta_{km} e_j, e_m \rangle \\
&= \delta_{jk} \delta_{il} - \delta_{ik} \delta_{jl}.
\end{aligned}$$

Thus, if we take the basis

$$\mathbf{B}_{\mathfrak{so}(V)} = \{M_{e_i, e_j} : 1 \leq i < j \leq N\},$$

then

$$M_{e_i, e_j}^\vee = M_{e_j, e_i} = -M_{e_i, e_j}, \quad 1 \leq i < j \leq N.$$

Define

$$(9.11) \quad \Omega = \sum_{X \in \mathbf{B}_{\mathfrak{so}(V)}} X \otimes X^\vee = \sum_{1 \leq i < j \leq N} M_{e_i, e_j} \otimes M_{e_j, e_i} \in \mathfrak{so}(V) \otimes \mathfrak{so}(V),$$

$$(9.12) \quad C = \sum_{X \in \mathbf{B}_{\mathfrak{so}(V)}} X X^\vee = \sum_{1 \leq i < j \leq N} M_{e_i, e_j} M_{e_j, e_i} \in U(\mathfrak{so}(V)).$$

The elements  $\Omega$  and  $C$  are both independent of the chosen basis  $\mathbf{B}_{\mathfrak{so}(V)}$ . Note that  $C$  is the Casimir element and we have

$$(9.13) \quad \Omega = \frac{1}{2}(\Delta(C) - C \otimes 1 - 1 \otimes C),$$

where  $\Delta$  is the standard coproduct on  $\mathfrak{so}(V)$ . Define

$$(9.14) \quad \tilde{\Omega} := 2\Omega + C \otimes 1 = \Delta(C) - 1 \otimes C.$$

The nondegenerate form  $\langle \cdot, \cdot \rangle$  remains nondegenerate when restricted to  $\mathfrak{h}$ , hence induces a pairing  $\langle \cdot, \cdot \rangle : \mathfrak{h}^* \times \mathfrak{h}^* \rightarrow \mathbb{C}$ , which we denote by the same symbol.

**Lemma 9.3.** *The element  $C$  acts on the simple  $\text{Spin}(V)$ -module  $L(\lambda)$  of highest weight  $\lambda$  as  $\langle \lambda, \lambda + 2\rho \rangle$ , where*

$$(9.15) \quad \rho = \frac{1}{2} \sum_{i=1}^n (N - 2i) \epsilon_i.$$

*Proof.* This is well-known. See, for example, [Car05, Prop. 11.36].  $\square$

**Corollary 9.4.** *The action of  $C$  commutes with the action of  $\text{Pin}(V)$ .*

*Proof.* Note that, if  $N = 2n$ , and  $\tilde{\lambda}$  is defined as in (4.10), then  $\langle \lambda, \lambda + 2\rho \rangle = \langle \tilde{\lambda}, \tilde{\lambda} + 2\rho \rangle$ . Thus,  $C$  acts on the simple  $\text{Pin}(V)$ -module  $\text{Ind}(L(\lambda))$  as  $\langle \lambda, \lambda + 2\rho \rangle$ . Then the corollary follows from the fact that  $\text{Pin}(V)$ -mod is a semisimple category.  $\square$

**Lemma 9.5.** *We have*

$$(9.16) \quad \beta^2(x \otimes y) = (N - 8\Omega)(x \otimes y) \quad \text{for all } x, y \in S.$$

*Proof.* Throughout this proof, we view all elements of  $\mathfrak{so}(V) \otimes \mathfrak{so}(V)$  as operators on  $S \otimes S$ . Then we have, via (3.1),  $M_{e_i, e_j} = \frac{1}{2}e_i e_j$  for  $i \neq j$ . Thus,

$$\Omega = \frac{1}{4} \sum_{1 \leq i < j \leq N} e_i e_j \otimes e_j e_i.$$

On the other hand,

$$\beta^2 = \sum_{i, j=1}^N e_i e_j \otimes e_i e_j \stackrel{(2.2)}{=} N - 2 \sum_{1 \leq i < j \leq N} e_i e_j \otimes e_j e_i = N - 8\Omega. \quad \square$$

**Lemma 9.6.** *The element  $C$  acts as*

- (a)  $k(N - k)$  on  $L(\epsilon_1 + \cdots + \epsilon_k)$ ,  $0 \leq k \leq n$ ;
- (b)  $\frac{N(N-1)}{8}$  on the spin representation  $S$ ;
- (c)  $2N$  on  $L(2\epsilon_1)$ ;
- (d)  $\frac{N^2}{4}$  on  $L(\epsilon_1 + \cdots + \epsilon_{n-1} - \epsilon_n)$  when  $N = 2n \geq 4$  (i.e. type  $D_n$ ).
- (e)  $\frac{N(N+7)}{8}$  on  $L(\frac{3}{2}\epsilon_1 + \frac{1}{2}\epsilon_2 + \cdots + \frac{1}{2}\epsilon_n)$ ,  $n \geq 2$ .
- (f)  $\frac{N(N+7)}{8}$  on  $L(\frac{3}{2}\epsilon_1 + \frac{1}{2}\epsilon_2 + \cdots + \frac{1}{2}\epsilon_{n-1} - \frac{1}{2}\epsilon_n)$  when  $N = 2n \geq 4$  (i.e. type  $D_n$ ).

*Proof.* These are all direct computations using Lemma 9.3. First note that  $\epsilon_1, \dots, \epsilon_n$  is an orthonormal basis of  $\mathfrak{h}^*$ . (It is dual to the orthonormal basis  $A_{11}, \dots, A_{nn}$  of  $\mathfrak{h}$ .)

(a) We have

$$\left\langle \sum_{i=1}^k \epsilon_i, \sum_{i=1}^k \epsilon_i + 2\rho \right\rangle = k + \sum_{i=1}^k (N - 2i) = k + kN - k(k+1) = k(N - k).$$

(b) In type  $D_n$ , so that  $N = 2n$ , we have  $S^\pm = L(\frac{1}{2}(\epsilon_1 + \cdots + \epsilon_{n-1} \pm \epsilon_n))$ . Then we compute

$$\begin{aligned} \left\langle \frac{1}{2} \sum_{i=1}^{n-1} \epsilon_i \pm \frac{1}{2} \epsilon_n, \frac{1}{2} \sum_{i=1}^{n-1} \epsilon_i \pm \frac{1}{2} \epsilon_n + 2\rho \right\rangle &= \frac{n}{4} + \frac{1}{2} \sum_{i=1}^{n-1} (N - 2i) \\ &= \frac{n}{4} + \frac{(n-1)N - (n-1)n}{2} = \frac{N(N-1)}{8}. \end{aligned}$$

In type  $B_n$ , so that  $N = 2n + 1$ , we have  $S = L(\frac{1}{2}(\epsilon_1 + \cdots + \epsilon_n))$ , and we compute

$$\left\langle \frac{1}{2} \sum_{i=1}^n \epsilon_i, \frac{1}{2} \sum_{i=1}^n \epsilon_i + 2\rho \right\rangle = \frac{n}{4} + \frac{1}{2} \sum_{i=1}^n (N - 2i) = \frac{n}{4} + \frac{nN - n(n+1)}{2} = \frac{N(N-1)}{8}.$$

(c) We compute

$$\langle 2\epsilon_1, 2\epsilon_1 + 2\rho \rangle = 4 + 2(N - 2) = 2N.$$

(d) We compute

$$\left\langle \sum_{i=1}^{n-1} \epsilon_i - \epsilon_n, \sum_{i=1}^{n-1} \epsilon_i - \epsilon_n + 2\rho \right\rangle = n + \sum_{i=1}^{n-1} (N - 2i) = n + (n-1)N - n(n-1) = \frac{N^2}{4}.$$

(e) We compute

$$\begin{aligned} \left\langle \frac{3}{2}\epsilon_1 + \frac{1}{2} \sum_{i=2}^n \epsilon_i, \frac{3}{2}\epsilon_1 + \frac{1}{2} \sum_{i=2}^n \epsilon_i + 2\rho \right\rangle &= \frac{n+8}{4} + \frac{3}{2}(N-2) + \frac{1}{2} \sum_{i=2}^n (N-2i) \\ &= \frac{2Nn - 2n^2 + 4N - n}{4}. \end{aligned}$$

When,  $N = 2n + 1$ , we have

$$2Nn - 2n^2 + 4N - n = (2n + 1)(n + 4) = \frac{N(N + 7)}{2}.$$

On the other hand, when  $N = 2n$ , we have

$$2Nn - 2n^2 + 4N - n = n(2n + 7) = \frac{N(N + 7)}{2}.$$

(f) This computation is almost identical to the previous one, using the fact that the  $\epsilon_n$  component of  $\rho$  is zero when  $N = 2n$ .  $\square$

The following lemma will play a key role in our proof that the affine incarnation functor satisfies the dot-crossing relations (9.1) and (9.2). It will describe the image of



under our affine incarnation functor.

**Lemma 9.7.** *For any  $M_1, M_2, M_3 \in \mathfrak{so}(V)\text{-mod}$ , we have*

$$(9.17) \quad (1 \otimes \Delta)(\tilde{\Omega}) - (\text{flip} \otimes 1) \circ (1 \otimes \tilde{\Omega}) \circ (\text{flip} \otimes 1) = 2\Omega \otimes 1 \quad \text{as operators on } M_1 \otimes M_2 \otimes M_3,$$

where  $\Delta$  is the usual coproduct of  $\mathfrak{so}(V)$  given by  $\Delta(X) = X \otimes 1 + 1 \otimes X$ .

*Proof.* For  $m_1 \in M_1$ ,  $m_2 \in M_2$ ,  $m_3 \in M_3$ , we have

$$\begin{aligned} & ((1 \otimes \Delta)(\tilde{\Omega}))(m_1 \otimes m_2 \otimes m_3) \\ &= \sum_{X \in \mathbf{B}_{\mathfrak{so}(V)}} (2Xm_1 \otimes X^\vee m_2 \otimes m_3 + 2Xm_1 \otimes m_2 \otimes X^\vee m_3 + XX^\vee m_1 \otimes m_2 \otimes m_3) \end{aligned}$$

and

$$\begin{aligned} & (\text{flip} \otimes 1) \circ (1 \otimes \tilde{\Omega}) \circ (\text{flip} \otimes 1)(m_1 \otimes m_2 \otimes m_3) \\ &= \sum_{X \in \mathbf{B}_{\mathfrak{so}(V)}} (2Xm_1 \otimes m_2 \otimes X^\vee m_3 + XX^\vee m_1 \otimes m_2 \otimes m_3). \end{aligned}$$

Subtracting these two sums proves the lemma.  $\square$

For a  $\mathbb{k}$ -linear category  $\mathcal{C}$ , let  $\mathcal{E}nd_{\mathbb{k}}(\mathcal{C})$  denote the strict monoidal category of  $\mathbb{k}$ -linear endofunctors and natural transformations. An *action* of a monoidal category  $\mathcal{D}$  on a category  $\mathcal{C}$  is a monoidal functor  $\mathcal{D} \rightarrow \mathcal{E}nd_{\mathbb{k}}(\mathcal{C})$ . It follows immediately from Theorem 6.1 that  $\mathcal{SB}(V)$  acts on  $G(V)\text{-mod}$  via

$$X \mapsto \mathbf{F}(X) \otimes -, \quad f \mapsto \mathbf{F}(f) \otimes -$$

for objects  $X$  and morphisms  $f$  in  $\mathcal{SB}(V)$ . The following result extends this action to

$$(9.18) \quad \mathcal{ASB}(V) := \mathcal{ASB}(N, \sigma_N 2^n; \kappa_N).$$

**Theorem 9.8.** *There is a unique monoidal functor  $\hat{\mathbf{F}}: \mathcal{ASB}(V) \rightarrow \mathcal{E}nd_{\mathbb{C}}(G(V)\text{-mod})$  given on objects by  $S \mapsto S \otimes -$ ,  $V \mapsto V \otimes -$ , and on morphisms by*

$$(9.19) \quad f \mapsto \mathbf{F}(f) \otimes -, \quad f \in \{\cap, \cup, \times, \curvearrowright\},$$

and  $\hat{\mathbf{F}}(\dot{\downarrow}) : S \otimes - \rightarrow S \otimes -$ ,  $\hat{\mathbf{F}}(\dot{\downarrow}) : V \otimes - \rightarrow V \otimes -$  are the natural transformations with components

$$\begin{aligned} \hat{\mathbf{F}}(\dot{\downarrow})_M : S \otimes M &\rightarrow S \otimes M, & x \otimes m &\mapsto \tilde{\Omega}(x \otimes m), \\ \hat{\mathbf{F}}(\dot{\downarrow})_M : V \otimes M &\rightarrow V \otimes M, & v \otimes m &\mapsto \tilde{\Omega}(v \otimes m), \end{aligned}$$

for  $M \in \mathfrak{so}(V)\text{-mod}$ , where  $\tilde{\Omega}$  is the element defined in (9.14). The functor  $\hat{\mathbf{F}}$  factors through  $\overline{\mathcal{ASB}}(V)$ .

*Proof.* When  $N$  is odd, the functor  $\hat{\mathbf{F}}$  respects the relation (5.19) since  $\mathbf{F}$  does. It follows from Corollary 9.4 that  $\hat{\mathbf{F}}(\downarrow)$  is a natural transformation of the functor  $S \otimes -$  and that  $\hat{\mathbf{F}}(\downarrow \circ \downarrow)$  is a natural transformation of the functor  $V \otimes -$ . Thus, it remains to verify that  $\hat{\mathbf{F}}$  respects the relations (9.1) to (9.4). Throughout,  $M$  will denote an arbitrary object in  $G(V)\text{-mod}$ .

*First relation in (9.1).* Composing on the top of the first relation in (9.1) with the invertible morphism  $\times$ , then using the fifth relation in (5.1), we see that the first relation in (9.1) is equivalent to

$$(9.20) \quad \begin{array}{c} \circ \\ \downarrow \\ \downarrow \end{array} - \begin{array}{c} \circ \\ \downarrow \times \\ \downarrow \end{array} = 2 \left( \begin{array}{c} \times \\ \downarrow \end{array} - \begin{array}{c} \cup \\ \downarrow \end{array} \right).$$

By Lemma 9.7, the image under  $\hat{\mathbf{F}}$  of the left-hand side of (9.20) is the natural endomorphism of the functor  $V \otimes V \otimes -$  given by  $2\Omega \otimes -$ . Recall the decompositions (7.6) and (7.8). Let  $1_\lambda: V^{\otimes 2} \rightarrow V^{\otimes 2}$  denote the projection onto the summand isomorphic to  $L(\lambda)$  as a  $\text{Spin}(V)$ -module. Recall also that  $V = L(\epsilon_1)$ . When  $N > 3$ , it follows from (9.13) and Lemma 9.6 that  $2\Omega$  acts on  $V^{\otimes 2}$  as

$$\begin{aligned} 2\Omega(u \otimes v) &= C(u \otimes v) - Cu \otimes v - u \otimes Cv \\ &= (2N1_{2\epsilon_1} + 2(N-2)1_{\epsilon_1+\epsilon_2})(u \otimes v) - (N-1)(u \otimes v) - (N-1)(u \otimes v) \\ &= (2(1-N)1_0 + 2(1_{2\epsilon_1} - 1_{\epsilon_1+\epsilon_2}))(u \otimes v). \end{aligned}$$

On the other hand, by Proposition 7.3,

$$\hat{\mathbf{F}} \left( \begin{array}{c} \times \\ \downarrow \\ \cup \end{array} \right) = \text{flip} - N1_0 = (1-N)1_0 + 1_{2\epsilon_1} - 1_{\epsilon_1+\epsilon_2}.$$

The cases  $N = 2$  and  $N = 3$  are analogous. Thus,  $\hat{\mathbf{F}}$  respects the first relation in (9.1).

*Second relation in (9.1).* Composing on the top of the second relation in (9.1) with the invertible morphism  $\times$ , then using (5.3) and the first relation in (5.1), we see that the second relation in (9.1) is equivalent to

$$\begin{array}{c} \circ \\ \downarrow \\ \downarrow \end{array} - \begin{array}{c} \circ \\ \downarrow \times \\ \downarrow \end{array} = \frac{1}{8} \left( \begin{array}{c} \times \\ \downarrow \\ \downarrow \end{array} - \begin{array}{c} \downarrow \\ \downarrow \\ \downarrow \end{array} \right) \stackrel{(5.5)}{=} \frac{1}{4} \left( \begin{array}{c} N \\ \downarrow \\ \downarrow \end{array} - \begin{array}{c} \downarrow \\ \downarrow \\ \downarrow \end{array} \right) \stackrel{(5.11)}{=} \frac{1}{4} \left( \begin{array}{c} N \\ \downarrow \\ \downarrow \end{array} - \begin{array}{c} \downarrow \\ \downarrow \\ \downarrow \end{array} \right).$$

Thus, the fact that  $\hat{\mathbf{F}}$  respects the second relation in (9.1) follows from Lemma 9.7 and (6.14) and (9.16).

*Relations (9.2).* Composing on the top of the first relation in (9.2) with the invertible morphism  $\times$ , then using (5.9) and the first relation in (5.1), we see that the first relation in (9.2) is equivalent to

$$(9.21) \quad \begin{array}{c} \circ \\ \downarrow \\ \downarrow \end{array} - \begin{array}{c} \circ \\ \downarrow \times \\ \downarrow \end{array} = \begin{array}{c} \downarrow \\ \downarrow \\ \downarrow \end{array} - \begin{array}{c} \downarrow \\ \downarrow \\ \downarrow \end{array}.$$

By Lemma 9.7, the image under  $\hat{\mathbf{F}}$  of the left-hand side of (9.21) is the natural endomorphism of the functor  $S \otimes V \otimes -$  given by  $2\Omega \otimes -$ . When  $N \geq 3$ , we have, from Corollary 4.10,

$$S \otimes V \cong S \otimes L(\epsilon_1) \cong S \oplus W,$$

where

$$W = \begin{cases} L\left(\frac{3}{2}\epsilon_1 + \frac{1}{2}\epsilon_2 + \cdots + \frac{1}{2}\epsilon_n\right) & \text{if } N = 2n + 1, \\ \text{Ind}\left(L\left(\frac{3}{2}\epsilon_1 + \frac{1}{2}\epsilon_2 + \cdots + \frac{1}{2}\epsilon_n\right)\right) & \text{if } N = 2n. \end{cases}$$

Then, as in our verification of the first relation in (9.1), we use (9.13) and Lemma 9.6 to compute that  $2\Omega$  acts on  $S \otimes V$  as

$$\frac{N(N-1)}{8}1_S + \frac{N(N+7)}{8}1_W - \frac{N(N-1)}{8} - (N-1) = 1 - N1_S.$$

By Lemma 7.4, this is also the action on  $S \otimes V$  of the image under  $\mathbf{F}$  of the left-hand side of (9.21). The case  $N = 2$  is similar. Thus,  $\hat{\mathbf{F}}$  respects the first relation in (9.2). The proof that  $\hat{\mathbf{F}}$  respects the second relation in (9.2) is almost identical.

*Relations (9.3).* Let  $U$  denote either  $V$  or  $S$ . The image under  $\hat{\mathbf{F}}$  of the left-hand side of relations (9.3) is the natural transformation with components  $U \otimes U \otimes M \rightarrow U \otimes U \otimes M$  given by

$$\begin{aligned} u \otimes v \otimes m &\mapsto \sum_{X \in \mathbf{B}_{\mathfrak{so}(V)}} (2\Phi_U(Xu \otimes X^\vee v)m + 2\Phi_U(Xu \otimes v)X^\vee m + \Phi_U(XX^\vee u, v)m) \\ &= - \sum_{X \in \mathbf{B}_{\mathfrak{so}(V)}} (2\Phi_U(u \otimes Xv)X^\vee m + \Phi_U(u, XX^\vee v)m), \end{aligned}$$

where the equality follows from (4.25) in the case  $U = S$  and from the definition of  $\mathfrak{so}(V)$  in the case  $U = V$ . Since the last sum above is precisely the image under  $\hat{\mathbf{F}}$  of the right-hand side of relations (9.3), we see that  $\hat{\mathbf{F}}$  preserves these relations.

*Relation (9.4).* We will show that, for any homomorphism  $f: U_1 \otimes U_2 \rightarrow W$  of  $G(V)$ -modules, we have

$$(9.22) \quad \tilde{\Omega} \circ (f \otimes 1) = (f \otimes 1) \circ ((1 \otimes \Delta)(\tilde{\Omega}) + (1 \otimes \tilde{\Omega})): U_1 \otimes U_2 \otimes M \rightarrow W \otimes M,$$

for any  $M \in G(V)$ -mod. Then the fact that  $\hat{\mathbf{F}}$  respects (9.4) follows from taking  $f = \tau$ , given by (6.7). To prove (9.22), we compute

$$\begin{aligned} \tilde{\Omega} \circ (f \otimes 1)(u_1 \otimes u_2 \otimes m) &= \sum_{X \in \mathbf{B}_{\mathfrak{so}(V)}} (2Xf(u_1 \otimes u_2) \otimes X^\vee m + XX^\vee f(u_1 \otimes u_2) \otimes m) \\ &= (f \otimes 1) \sum_{X \in \mathbf{B}_{\mathfrak{so}(V)}} (2Xu_1 \otimes u_2 \otimes X^\vee m + 2u_1 \otimes Xu_2 \otimes X^\vee m + XX^\vee u_1 \otimes u_2 \otimes m \\ &\quad + 2Xu_1 \otimes X^\vee u_2 \otimes m + u_1 \otimes XX^\vee u_2 \otimes m) \\ &= (f \otimes 1) \circ ((1 \otimes \Delta)(\tilde{\Omega}) + (1 \otimes \tilde{\Omega}))(u_1 \otimes u_2 \otimes m), \end{aligned}$$

proving (9.22).  $\square$

**Remark 9.9.** Although we assumed above that  $N \geq 2$ , one can define the affine incarnation functor for  $N = 0$  and  $N = 1$ . In these cases, the functor is defined as in Theorem 9.8, except that both dots are sent to the zero natural transformation.

**Remark 9.10.** Replacing  $\mathbf{F}$  by the functor  $\mathbf{F}': \mathcal{SB}(V) \rightarrow \mathfrak{so}(V)$ -mod of Remark 7.6, we can define an affine version  $\hat{\mathbf{F}}': \mathcal{ASB}(V) \rightarrow \mathcal{E}nd_{\mathbb{k}}(\mathfrak{so}(V)\text{-Mod})$  of that functor, defined in the same way as  $\hat{\mathbf{F}}$ . Here we choose to work with the category  $\mathfrak{so}(V)\text{-Mod}$  of *all*  $\mathfrak{so}(V)$ -modules (as opposed to just finite-dimensional ones) for reasons that will become apparent in Section 10.

## 10. CENTRAL ELEMENTS

We assume throughout this section that  $\mathbb{k} = \mathbb{C}$ . Let  $\mathfrak{g} = \mathfrak{so}(V)$  and let  $Z(\mathfrak{g})$  be the centre of its universal enveloping algebra  $U(\mathfrak{g})$ . This centre is identified with the endomorphism algebra of the identity functor  $\text{Id}_{\mathfrak{g}\text{-Mod}}$ . Precisely, evaluation on the identity element of the regular representation of  $U(\mathfrak{g})$  defines a canonical algebra isomorphism  $\text{End}(\text{Id}_{\mathfrak{g}\text{-Mod}}) \xrightarrow{\cong} Z(\mathfrak{g})$ . (It is here that we need to



consider all  $\mathfrak{so}(V)$ -modules, not just finite-dimensional ones; see Remark 9.10.) It follows that the affine incarnation functor

$$\hat{\mathbf{F}}': \mathcal{ASB}(V) \rightarrow \text{End}_{\mathbb{C}}(\mathfrak{g}\text{-Mod})$$

of Remark 9.10 induces a homomorphism

$$\chi: \text{End}_{\mathcal{ASB}(V)}(\mathbb{1}) \rightarrow Z(\mathfrak{g}).$$

The goal of this section is to describe the image of  $\chi$ . We will prove the following result.

**Theorem 10.1.** *The image of  $\chi$  is equal to  $Z(\mathfrak{g})^{\mathbf{G}(V)}$ .*

We first entertain a discussion of the structure of  $Z(\mathfrak{g})$ , which is given by the Harish-Chandra isomorphism. Recall that, for  $\lambda \in X^*(H)^+$ ,  $L(\lambda)$  is the simple highest-weight  $\mathfrak{g}$ -module with highest weight  $\lambda$ . By Schur's Lemma, any  $z \in Z(\mathfrak{g})$  acts on  $L(\lambda)$  by a scalar. The Harish-Chandra isomorphism is an isomorphism of algebras

$$\Gamma: Z(\mathfrak{g}) \rightarrow \mathbb{C}[\mathfrak{h}^*]^W, \quad z \mapsto (f_z: \mathfrak{h}^* \rightarrow \mathbb{C}),$$

where  $W$  is the Weyl group, uniquely characterised by the identity

$$zv = f_z(\lambda + \rho)v$$

for all  $z \in Z(\mathfrak{g})$  and  $v \in L(\lambda)$ . The Harish-Chandra isomorphism  $\Gamma$  is equivariant with respect to the natural actions of  $\mathbf{G}(V)$  on both sides by conjugation. Since  $\text{Spin}(V)$  acts trivially on  $Z(\mathfrak{g})$ , we have, by Theorem 4.3,

$$(10.1) \quad Z(\mathfrak{g})^{\mathbf{G}(V)} = \begin{cases} Z(\mathfrak{g}) & \text{if } N \in 2\mathbb{N} + 1, \\ Z(\mathfrak{g})^P & \text{if } N \in 2\mathbb{N}, \end{cases}$$

where  $P$  is as in (4.7).

To simplify notation, we define  $x_i = A_{ii}$  for  $1 \leq i \leq n$ , where  $A_{ii}$  is defined as in (3.4) and (3.8). If  $N$  is odd, then  $W = C_2^n \rtimes \mathfrak{S}_n$  and

$$Z(\mathfrak{g})^{\mathbf{G}(V)} \stackrel{(10.1)}{=} Z(\mathfrak{g}) \cong \mathbb{C}[\mathfrak{h}^*]^W \cong \mathbb{C}[x_1, x_2, \dots, x_n]^{C_2^n \rtimes \mathfrak{S}_n} = \mathbb{C}[x_1^2, x_2^2, \dots, x_n^2]^{\mathfrak{S}_n},$$

the ring of symmetric polynomials in  $x_1^2, x_2^2, \dots, x_n^2$ . (Here  $C_2$  is the cyclic group on two elements.)

If  $N$  is even, then the action of  $\mathbf{G}(V)$  on  $Z(\mathfrak{g})$  is no longer trivial; see (4.13). Here the action of the component group of  $\mathbf{G}(V)$  precisely compensates for the difference between the type  $B$  and type  $D$  Weyl groups. More precisely, we have

$$Z(\mathfrak{g})^{\mathbf{G}(V)} \cong (\mathbb{C}[\mathfrak{h}^*]^W)^P \cong \mathbb{C}[x_1, x_2, \dots, x_n]^{C_2^n \rtimes \mathfrak{S}_n} = \mathbb{C}[x_1^2, x_2^2, \dots, x_n^2]^{\mathfrak{S}_n},$$

where the first isomorphism arises from (10.1) and the Harish-Chandra isomorphism, while the second isomorphism follows from the fact that  $W$  and  $\pi_0(\mathbf{G}(V))$  generate the action of  $C_2^n \rtimes \mathfrak{S}_n$ , using (4.13). So, in either case, we have the isomorphism

$$(10.2) \quad Z(\mathfrak{g})^{\mathbf{G}(V)} \cong \mathbb{C}[x_1^2, x_2^2, \dots, x_n^2]^{\mathfrak{S}_n}.$$

Define

$$(10.3) \quad z_r := \chi(\bigcirc r), \quad r \in \mathbb{N}.$$

**Proposition 10.2.** *For each  $r \in \mathbb{N}$ ,*

$$f_{z_r} \in (-1)^{\binom{n}{2} + nN} \sum_{\varsigma_1, \dots, \varsigma_n \in \{\pm 1\}} \left( \sum_{i=1}^n \varsigma_i x_i \right)^r + \mathbb{C}[\mathfrak{h}^*]_{<r},$$

where  $\mathbb{C}[\mathfrak{h}^*]_{<r}$  denotes the space of polynomial functions on  $\mathfrak{h}^*$  of degree strictly less than  $r$ .

*Proof.* Let  $v$  be a highest-weight vector of  $L(\lambda - \rho)$ , and let  ${}^\vee x_I$ ,  $I \subseteq [n]$ , be the right dual basis to  $x_I$ ,  $I \subseteq [n]$ , defined by  $\Phi_S(x_I, {}^\vee x_J) = \delta_{IJ}$ . Note that

$$(10.4) \quad \Phi_S({}^\vee x_I, x_J) \stackrel{(4.26)}{=} (-1)^{\binom{n}{2} + nN} \Phi_S(x_J, {}^\vee x_I) = \delta_{IJ} (-1)^{\binom{n}{2} + nN}.$$

Unravelling the definition of  $\hat{\mathbf{F}}'(\bigcirc r)$ , we get

$$(10.5) \quad f_{z_r}(\lambda)v = z_r v = (\Phi_S \otimes \text{id})(1 \otimes \tilde{\Omega})^r \sum_{I \subseteq [n]} {}^\vee x_I \otimes x_I \otimes v.$$

Note that

$$\tilde{\Omega} = 2 \sum_{i=1}^n A_{ii} \otimes A_{ii} + \sum_{\alpha \in \Phi} X_\alpha \otimes Y_\alpha + C \otimes 1,$$

for some  $X_\alpha \in \mathfrak{g}_\alpha$ ,  $Y_\alpha \in \mathfrak{g}_{-\alpha}$ , where  $\Phi$  is the set of roots of  $\mathfrak{g}$

Write  $\tilde{\Omega}^r$  in the form  $\sum_j A_j \otimes B_j$ , where each term  $B_j$  is a monomial in a PBW basis of  $U(\mathfrak{g}) \cong U(\mathfrak{n}^-) \otimes U(\mathfrak{h}) \otimes U(\mathfrak{n}^+)$ . The terms with degree equal to  $r$  that give a nonzero contribution to (10.5) are exactly the monomials involving only elements of  $\mathfrak{h}$ , and for these monomials, we compute

$$\begin{aligned} (\Phi_S \otimes \text{id}) \left( 2 \sum_{i=1}^n 1 \otimes A_{ii} \otimes A_{ii} \right)^r \sum_{I \subseteq [n]} {}^\vee x_I \otimes x_I \otimes v \\ \stackrel{(10.4)}{=} (-1)^{\binom{n}{2} + nN} \sum_{\varsigma_1, \dots, \varsigma_n \in \{\pm \frac{1}{2}\}} \left( 2 \sum_{i=1}^n \varsigma_i (\lambda_i - \rho_i) \right)^r v, \end{aligned}$$

which is equal to  $(-1)^{\binom{n}{2} + nN} \sum_{\varsigma_1, \dots, \varsigma_n \in \{\pm 1\}} (\sum_{i=1}^n \varsigma_i \lambda_i)^r$  modulo terms of degree strictly less than  $r$  in the  $\lambda_i$ . The remaining terms that give a nonzero contribution all have degree less than  $r$ , and so lie in  $\mathbb{C}[\mathfrak{h}^*]_{<r}$ .  $\square$

We pause to introduce some symmetric functions notation. Let  $\Lambda$  denote the ring of symmetric functions with coefficients in  $\mathbb{Q}$ . We use  $p_r$  and  $h_r$  to denote the power sum and complete symmetric functions respectively, and  $\langle \cdot, \cdot \rangle$  to denote the Hall inner product. Let  $m_\pi$  denote the monomial symmetric function associated to a partition  $\pi$ . Given a partition  $\pi = 1^{m_1} 2^{m_2} \dots$ , we define  $\delta(\pi) = (m_1, m_2, \dots)$ . This is a composition of the length,  $\ell(\pi)$ , of  $\pi$ .

For  $r \in \mathbb{N}$ , define the symmetric polynomial

$$(10.6) \quad W_r(x_1, x_2, \dots, x_n) = \frac{1}{2^n} \sum_{\varsigma_1, \dots, \varsigma_n \in \{\pm 1\}} \left( \sum_{i=1}^n \varsigma_i \sqrt{x_i} \right)^{2r}.$$

Taking the inverse limit over  $n$ , these define a symmetric function  $W_r \in \Lambda$ . In terms of the monomial symmetric functions, we have the expansion

$$(10.7) \quad W_r = \sum_{\pi \vdash r} \binom{2r}{2\pi} m_\pi,$$

where  $\binom{2r}{2\pi}$  is a multinomial coefficient and  $2\pi$  denotes the partition obtained from  $\pi$  by multiplying all parts by 2.

**Proposition 10.3.** *Let  $B_{2r}$  denote the  $(2r)$ -th Bernoulli number. Then*

$$\langle W_r, p_r \rangle = -2^{2r-1} (2^{2r} - 1) B_{2r} \quad \text{for all } r \in \mathbb{N}.$$

*Proof.* Begin with the generating function identity

$$\sum_{k=1}^{\infty} \frac{p_k}{k} t^k = \log \left( \sum_{j=0}^{\infty} h_j t^j \right) = \sum_{m=0}^{\infty} \frac{(-1)^m}{m} \left( \sum_{j=1}^{\infty} h_j t^j \right)^m$$

and expand it to obtain

$$\frac{-p_r}{r} = \sum_{\pi \vdash r} \binom{\ell(\pi)}{\delta(\pi)} \frac{(-1)^{\ell(\pi)}}{\ell(\pi)} h_{\pi},$$

where, again,  $\binom{\ell(\pi)}{\delta(\pi)}$  is a multinomial coefficient. Since the complete symmetric functions are dual to the monomial symmetric functions, this implies that

$$\frac{-1}{r} \langle p_r, m_{\pi} \rangle = \binom{\ell(\pi)}{\delta(\pi)} \frac{(-1)^{\ell(\pi)}}{\ell(\pi)}.$$

The above equation, together with (10.7), implies that

$$(10.8) \quad \frac{-1}{r} \langle W_r, p_r \rangle = \sum_{\pi \vdash r} \binom{2r}{2\pi} \binom{\ell(\pi)}{\delta(\pi)} \frac{(-1)^{\ell(\pi)}}{\ell(\pi)}.$$

We now compute

$$\begin{aligned} \log(\cosh(x)) &= \log \left( 1 + \sum_{n=1}^{\infty} \frac{x^{2n}}{(2n)!} \right) = \sum_{m=1}^{\infty} \frac{(-1)^m}{m} \left( \sum_{n=1}^{\infty} \frac{x^{2n}}{(2n)!} \right)^m \\ &= \sum_{m=1}^{\infty} \frac{(-1)^m}{m} \sum_{n_1, \dots, n_m=1}^{\infty} \frac{x^{2(n_1 + \dots + n_m)}}{(2n_1)!(2n_2)! \cdots (2n_m)!}. \end{aligned}$$

Collect all terms with the same multiset  $\{n_1, n_2, \dots, n_m\}$  to make the inner sum into a sum over all partitions of length  $m$  and we get

$$\log(\cosh(x)) = \sum_{m=1}^{\infty} \frac{(-1)^m}{m} \sum_{\ell(\pi)=m} \binom{m}{\delta(\pi)} \frac{x^{2|\pi|}}{(2\pi_1)! \cdots (2\pi_m)!} = \sum_{r=1}^{\infty} \sum_{\pi \vdash r} \binom{2r}{2\pi} \binom{\ell(\pi)}{\delta(\pi)} \frac{(-1)^{\ell(\pi)}}{\ell(\pi)} \frac{x^{2r}}{(2r)!}.$$

Comparing this with (10.8), we obtain

$$\sum_{r=1}^{\infty} \frac{-1}{r} \langle W_r, p_r \rangle \frac{x^{2r}}{(2r)!} = \log(\cosh(x)).$$

Differentiating with respect to  $x$  gives

$$\sum_{r=1}^{\infty} \frac{-2}{(2r)!} \langle W_r, p_r \rangle x^{2r-1} = \tanh(x) = \sum_{r=1}^{\infty} \frac{2^{2r}(2^{2r}-1)B_{2r}}{(2r)!} x^{2r-1}.$$

Comparing the coefficients of  $x^{2r-1}$  gives the desired result.  $\square$

We use the following criterion for determining generators for  $\Lambda$ .

**Proposition 10.4.** *Let  $q_1, q_2, \dots$  be elements of  $\Lambda$  with  $q_i$  of degree  $i$ , and such that  $\langle q_i, p_i \rangle \neq 0$  for all  $i$ . Then the  $q_i$  are algebraically independent and generate  $\Lambda$ .*

*Proof.* Let  $\Lambda' = \mathbb{Q}[q'_1, q'_2, \dots]$  be the polynomial algebra on indeterminates  $q'_1, q'_2, \dots$  and consider the algebra homomorphism

$$\alpha: \Lambda' \rightarrow \Lambda, \quad q'_i \mapsto q_i, \quad i \geq 1.$$

Write  $\Lambda_r$  for the  $r$ -th graded piece of  $\Lambda$  and let  $X_r$  be the subspace of  $\Lambda_r$  spanned by all products of terms of lower degrees. Define  $\Lambda'_r$  and  $X'_r$  similarly. It suffices to show that the induced map  $\alpha_r: \Lambda'_r \rightarrow \Lambda_r$  is an isomorphism for all  $r \in \mathbb{N}$ . We prove this by induction. The base case  $r = 0$  is trivial.

Now suppose  $r \geq 1$ . Since  $\Lambda \cong \mathbb{Q}[h_1, h_2, \dots]$ , we know that  $X_r$  is of codimension 1 in  $\Lambda_r$ . If  $a, b \in \Lambda$  are of positive degree, then  $\langle ab, p_r \rangle = \langle a \otimes b, p_r \otimes 1 + 1 \otimes p_r \rangle = 0$ . Therefore  $\langle X_r, p_r \rangle = 0$ . In particular  $q_r \notin X_r$  and, since  $X_r$  is of codimension 1 in  $\Lambda_r$ , this implies that  $\Lambda_r$  is spanned by  $X_r$  and  $q_r$ . Thus,  $\alpha_r$  is surjective. Since  $X_r$  and  $X'_r$  have the same dimension, it follows that  $\alpha_r$  is an isomorphism, as desired.  $\square$

**Corollary 10.5.** *The symmetric functions  $W_r$ ,  $r \geq 1$ , are algebraically independent and generate  $\Lambda$ .*

*Proof.* This follows from Propositions 10.3 and 10.4 and the fact that the even Bernoulli numbers are nonzero.  $\square$

We can now prove Theorem 10.1.

*Proof of Theorem 10.1.* We first show that the image of  $\chi$  lies in  $Z(\mathfrak{g})^{G(V)}$ . By (10.1), it suffices to consider the case where  $N$  is even. Let  $a \in \text{End}_{\mathcal{A}SB(V)}(\mathbb{1})$ . We must show that  $(\Gamma \circ \chi)(a) \in (\mathbb{C}[\mathfrak{h}^*]^W)^P$ . By Theorem 4.3, it suffices to show that

$$(10.9) \quad (\Gamma \circ \chi)(a)(\lambda) = (\Gamma \circ \chi)(a)(\tilde{\lambda})$$

for all  $\lambda \in \mathfrak{h}^*$ , where  $\tilde{\lambda}$  is defined as in (4.10). In fact, since the set of dominant integral  $\lambda$  for which  $\tilde{\lambda} \neq \lambda$  is Zariski dense in  $\mathfrak{h}^*$ , it suffices to prove that (10.9) holds for all such  $\lambda$ .

Suppose that  $\lambda$  is a dominant integral weight satisfying  $\tilde{\lambda} \neq \lambda$ . Then  $\text{Ind}(L(\lambda))$  is a simple  $\text{Pin}(V)$ -module by Proposition 4.2, and so  $\hat{\mathbf{F}}(a)$  acts on it by a scalar. The action of  $\hat{\mathbf{F}}(a)$  on  $\text{Ind}(L(\lambda))$  is the same as the action of  $\hat{\mathbf{F}}'(a)$  on  $\text{Res} \circ \text{Ind}(L(\lambda)) \cong L(\lambda) \oplus L(\tilde{\lambda})$ . Therefore, (10.9) holds, as desired.

It remains to prove that  $\chi$  surjects onto  $Z(\mathfrak{g})^{G(V)}$ . But this follows from Proposition 10.2, Corollary 10.5, and the isomorphism (10.2).  $\square$

**Corollary 10.6.** *The elements*

$$\bigcirc^r \in \text{End}_{\mathcal{A}SB(V)}(\mathbb{1}), \quad r \geq 1,$$

*are algebraically independent.*

*Proof.* This follows immediately from the fact that their images under  $\chi$  are algebraically independent, by Proposition 10.2 and Corollary 10.5.  $\square$

Given their role above, it would be interesting to further study the symmetric functions  $W_r$ . Recall that a symmetric function is *Schur-positive* if, when written as a linear combination of Schur functions, all coefficients are nonnegative. Computer computations suggest the following conjecture.

**Conjecture 10.7.** *The symmetric functions  $W_r$  are Schur-positive.*

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